

11-18-2002

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office



102282912

To the Honorable Commissioner c

Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Courtesy Corporation

11-12-02

2. Name and address of receiving party(ies)

Name: Wachovia Bank, National Association

Internal Address: Charlotte Plaza Building CP-06

Street Address: 201 S. College Street

City: Charlotte State: NC Zip: 28288-0735

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 24, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/768,735 09/832,701 29/132,083 09/680,352
09/611,272

B. Patent No.(s)

4,567,995 4,598,837 4,706,835 4,709,830
5,215,220 6,116,477 D-435,218 D-438,114

Additional numbers attached ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kimberly Zirkle, Paralegal

Internal Address: Robinson, Bradshaw & Hinson, P.A.

Street Address: 101 N. Tryon Street

Suite 1900

City: Charlotte State: NC Zip: 28246

6. Total number of applications and patents involved:

20

7. Total fee (37 CFR 3.41) \$800.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

500327

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kimberly E. Zirkle, Paralegal

Name of Person Signing

Signature

November 4, 2002

Date

Total number of pages including cover sheet, attachments, and documents:

4

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Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

796825 15699-00013

PATENT
REEL: 013484 FRAME: 0050

Recordation Form Cover Sheet (Patents)

FORM PTO-1594

Additional Page

4.B. Additional Patent Numbers

6,213,351

6,299,027

6,299,038

6,269,982

6,338,425

D-451,021

4,696,410

**GRANT OF SECURITY INTEREST
IN PATENTS**

WHEREAS, Courtesy Corporation (the "Pledgor") is the owner of the patents listed on **Schedule A** attached hereto, which patents are registered or have pending applications in the United States Patent and Trademark Office as set forth on **Schedule A** attached hereto (all such patents, registrations and applications, collectively, the "Patents"); and

WHEREAS, the Pledgor has entered into a Pledge and Security Agreement (as amended, modified, restated or supplemented from time to time, the "Security Agreement"), dated as of October 24, 2002, in which the Pledgor has agreed with Wachovia Bank, National Association, as Administrative Agent (the "Administrative Agent"), with offices at **Charlotte Plaza Building CP-06, 201 South College Street, Charlotte, North Carolina 28288-0735**, to execute this Grant of Security Interest;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, as security for the payment and performance of the Secured Obligations (as defined in the Security Agreement), the Pledgor does hereby grant to the Administrative Agent a security interest in all of its right, title and interest in and to the Patents, and the use thereof, together with all proceeds and products thereof and the goodwill of the businesses symbolized by the Patents. This Grant of Security Interest has been given in conjunction with the security interest granted to the Administrative Agent under the Security Agreement, and the provisions of this Grant of Security Interest are without prejudice to and in addition to the provisions of the Security Agreement, which are incorporated herein by this reference.

COURTESY CORPORATION

By: _____

Michael Farrell, Executive Vice President
and Secretary

Schedule A**Patents**

<u>Country</u>	<u>Patent No.</u>	<u>Patent Date</u>	<u>Title</u>
U.S.	4,567,995	February 4, 1986	End closure having separate opening means
U.S.	4,598,837	July 8, 1986	End closure with tamper evident element
U.S.	4,706,835	November 17, 1987	Closure with top cut tamper evidence feature
U.S.	4,709,830	December 1, 1987	Closure with top cut tamper evidence feature for wide mouth container
U.S.	5,215,220	June 1, 1993	Tamper evidence closure with dispensing spout and integral opening member
U.S.	6,116,477	September 12, 2000	Two piece hinge closure
U.S.	D-435,218	December 19, 2000	Design for product dispenser top
U.S.	D-438,114	February 27, 2001	Design for twist top closure
U.S.	6,213,351	April 10, 2001	Push body valve closure
U.S.	6,299,027	October 9, 2001	Valve controlled dispensing closure (Aqua Valve)
U.S.	6,299,038	October 9, 2001	Telescoping twist closure
U.S.	4,696,410	September 29, 1987	Closure with Side Cut Tamper Evident Feature
U.S.	6,269,982	August 7, 2001	Trigger Activated Product Dispenser
U.S.	6,338,425	January 15, 2002	Dispensing Closure
U.S.	D451,021	November 27, 2001	Overcap for Dispensing Closure
Canada	1,242,090	September 20, 1988	Collapsible spoon
Australia	579692	December 20, 1985	Folding utensil

<u>Country</u>	<u>Patent No.</u>	<u>Patent Date</u>	<u>Title</u>
Canada	1,271,146	July 3, 1990	Closure with side cut tamper evidence feature
Australia	586573	July 29, 1987	Closure with side cut tamper evident feature
Japan	2,007,295	January 11, 1996	Closure with side cut tamper evident feature

Patent Applications

<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Title</u>
U.S.	09/611,272	July 6, 2000	Trigger Activated Dispenser
U.S.	09/680,352	October 5, 2000	Dispensing Closure
U.S.	29/132,083	November 1, 2000	Design for Overcap for Dispensing Closure
U.S.	09/768,735	January 24, 2001	Two Piece Hinged Closure
U.S.	09/832,701	April 11, 2001	Swivel Top Closure
Canada	2,163,863	June 8, 1994	Venting Closure Cap
Taiwan	89112601	June 27, 2000	Two Piece Hinge Closure
PCT	PCT/US00/07205	March 17, 2000	Universal Case Pull/Push-Twist Closure
PCT	PCT/US00/34014	December 15, 2000	Push Body Valve Closure
Taiwan	89109229	May 15, 2000	Universal Base Pull/Push-Twist Closure
EPO	97941001.6	September 10, 1997	Tamper-Evident Overcap
Canada	2,263,222	February 10, 1999	Tamper-Evident Overcap
Hong Kong	99105611.0	December 2, 1999	Tamper-Evident Overcap
Israel	128530	September 10, 1997	Tamper-Evident Overcap
Japan	517,523/1998	April 6, 1999	Tamper-Evident Overcap
Mexico	992786	March 24, 1999	Tamper-Evident Overcap
Poland	P332637	September 10, 1997	Tamper-Evident Overcap
Singapore	9900507-6	September 10, 1997	Tamper-Evident Overcap
EPO	9902223.9	January 13, 1999	Tamper-Evident Closure

Recordation Form Cover Sheet (Patents)

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