

11-19-2002

SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



.Y

Tab settings → → → ▼

102284594

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Michal N. Kozicki

11-13-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: September 27, 2002

## 2. Name and address of receiving party(ies):

Name: Arizona Board of Regents

Address: 2020 North Central Avenue, Suite 230

City: Phoenix

State/Prov.: Arizona

Country: U.S.A.

ZIP: 85004

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

10/163,059

June 4, 2002

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cynthia L. Pillote

Registration No. 42,999

Address: Snell &amp; Wilmer L.L.P.

One Arizona Center

400 East Van Buren

City: Phoenix

State/Prov.: Arizona

Country: U.S.A.

ZIP: 85004-2202

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

19-2814

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cynthia L. Pillote

Name of Person Signing

Signature

November 8, 2002

Date

3

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 013485 FRAME: 0883

11/18/2002 6:00PM  
10163059  
40.00 DP  
01 FC-0021

**ASSIGNMENT**

**WHEREAS**, MICHAEL N. KOZICKI of the address indicated below (hereinafter "ASSIGNOR"), is the owner of "**MICROELECTRONIC PHOTONIC STRUCTURE AND DEVICE AND METHOD OF FORMING THE SAME**", for which an application for Letters Patent of the United States was filed June 4, 2002;

**AND WHEREAS**, ARIZONA BOARD OF REGENTS (hereinafter "ASSIGNEE"), with an office at 2020 North Central Avenue, Suite 230, Phoenix, Arizona 85004, is desirous of acquiring an interest therein;

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the ASSIGNOR's full and exclusive right to the invention as described in the aforesaid application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue any Letters Patent issuing from or claiming priority to said application to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

**AND HEREBY AGREES** to transfer a like interest upon request of ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements and applications for patents based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers deemed essential by ASSIGNEE, its successors, assigns and legal representatives, to ASSIGNEE's full protection and title in and to the invention hereby transferred,

**AGREEING, FURTHERMORE**, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.

**EXECUTED** as of the date written below by ASSIGNOR:

ASSIGNOR: Michael N. Kozicki

NAME OF PERSON SIGNING: Michael N. Kozicki

TITLE IN ORGANIZATION: \_\_\_\_\_

ADDRESS: 14624 South 23rd Street, Phoenix, Arizona 85048

SIGNATURE: 

DATE: 9/27/02



STATE OF ARIZONA            )  
  ) ss.  
County of Maricopa         )

On this 27 day of September, 2002, before me, a notary public in and for said county, appeared **Michael N. Kozicki**, who is known to me to be the same person whose name is subscribed to the foregoing instrument, and he acknowledges that he signed, sealed and delivered the said instrument as a free and voluntary act for the uses and purposes therein set forth.

(Seal)



Marie L. Schwind  
Notary Public

My Commission Expires:

April 19, 2003

**SNELL & WILMER L.L.P.**  
One Arizona Center  
400 East Van Buren  
Phoenix, Arizona 85004-0001  
Tel: (602) 382-6000  
Fax: (602) 382-6070