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10/221381

Docket No.: ALNS-007P

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08/REV03

11-19-2002



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ER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

ILY DT02 Rec'd PCT/PTO 11 SEP 2002

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To the Honorable Commission

Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David S. Soane
Stephen E. Barry
Rachel Decor
Alnis LLC

09/11/02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: May 30 and Sept. 6, 2002

2. Name and address of receiving party(ies):

Name: Alnis BioSciences, Inc.

Internal Address:

Street Address: 5764 Shellmound Street

City: Emeryville State: CA ZIP: 94608

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

PCT/US01/08522

09/16/2002 SNAJARRO 00000116 10221381

02 FC:581

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jacqueline S. Larson

Internal Address:

Street Address: P.O. Box 2426

City: Santa Clara State: CA ZIP: 95055

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jacqueline S. Larson

Jacqueline S. Larson

Sept. 11, 2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013487 FRAME: 0128

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, we, David S. Soane, Stephen E. Barry and Rachel Decor, hereinafter referred to as "Assignors", are inventors of the invention described and set forth in the application for International Application No. PCT/US01/08522, which has been filed on 14 March 2001 (14/03/2001) and is entitled "Cryoprotective System"; and

WHEREAS, Alnis BioSciences, Inc., a Delaware corporation (the "Assignee"), having a place of business at 5764 Shellmound St., Emeryville, CA 94608, is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

NOW THEREFORE, for good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged by Assignors, Assignors do hereby:

SELL, ASSIGN AND TRANSFER to Assignee their entire right, title and interest for the United States and all foreign countries in and to the invention and application and to any and all future improvements thereon and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on the same; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on the invention and any improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on the invention to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by Assignors;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, Assignors will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on the invention and any improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to Assignors relating to the invention and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to the invention and any improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such invention and improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

(Signature) David Soane Date: 9-6-2002
Name: David S. Soane

Witnessed by: Jacqueline Larson Name: JACQUELINE LARSON Date: 9-6-2002

(Signature) Stephen Barry Date: 9-6-2002
Name: Stephen E. Barry

Witnessed by: Marlena Palacios Name: Marlena Palacios Date: 9-6-02

(Signature) Rachel Decor Date: 09/06/2002
Name: Rachel Decor

Witnessed by: Jacqueline Larson Name: JACQUELINE LARSON Date: 9-6-2002

COPY

ALNS-007P

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Witnessed by: Marlena Palacio Name: Marlena Palacio Date: 9-6-02

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Name: Rachel Decor

Witnessed by: Jacqueline Larson Name: JACQUELINE LARSON Date: 9-6-2002

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RECORDED: 09/11/2002

PATENT
REEL: 013487 FRAME: 0130