

Form PTO-1595

1-3-92

# RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name and address of conveying party(ies):

1. Daisy Joe DU BOIS
2. Denis John KERTESZ
3. Eric Brian SJOGREN
4. David Bernard SMITH
5. Beihan WANG

2. Name and address of receiving party(ies):

ROCHE PALO ALTO LLC  
3401 HILLVIEW AVENUE  
PALO ALTO, CALIFORNIA 94304

3. Nature of conveyance: ☒ ASSIGNMENT

Execution Date of Assignment:

(1-5) March 18, 2003

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

U.S. Serial No. 10/307,159, filed November 29, 2002

Attorney Docket No. R0124B-REG

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ROHAN PERIES  
Address: ROCHE PALO ALTO LLC  
PATENT LAW DEPT. M/S A2-250  
3401 HILLVIEW AVE  
PALO ALTO, CALIFORNIA 94304

6. Total number of applications and patents involved:

ONE

7. Total fee (37 CFR 3.41)..... \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account Number: 18-1700

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ANASTASIA WINSLOW

Name of Person Signing

REG. 40,875

Signature

Date

pages including cover sheet, attachments and document: [ 4 ]

ASSIGNMENT I

WHEREAS, We, **Daisy Joe Du Bols, Denis John Kertesz, Eric Brian Sjogren, David Bernard Smith, and Beihan Wang** ("Assignor(s)") having the respective addresses indicated below, have invented certain subject matter entitled,

**"PIPERAZINE CCR-3 RECEPTOR ANTAGONISTS,"** (the "Invention"),

described in patent application U.S. Serial No. **10/307,159**, filed **November 29, 2002**, for Letters Patent of the United States of America (the "Application");

AND WHEREAS, **ROCHE PALO ALTO LLC**, a company of Delaware, having an address at 3401 Hillview Avenue, Palo Alto, California 94304 ("Roche"), desires to perfect its right to the Invention and in the Letters Patent(s) to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor(s) acknowledge Roche's interest in the Invention and hereby assign to Roche for the United States of America and all countries foreign thereto all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in patent application U.S. Serial No. 10/307,159, or in any subsequent patent application(s) filed under 35 U.S.C. §111(a), claiming benefit of said Application under 35 U.S.C. §119(e), or 35 U.S.C. §120, or in any continuation, continuation-in-part, continued prosecution application, divisional, substitute, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent(s) to be owned by Roche, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent(s) may be granted.

Assignor(s) hereby covenant that they have the full right to convey the entire interest assigned herein and have not executed and will not execute any agreement in conflict herewith.

Assignor(s) further covenant to execute and deliver upon request and without undue delay, to Roche, its successors, assigns and legal representatives, all lawful documents necessary or desirable to effect this assignment and perfect the title to the Invention, Application and Letters Patent(s) and all foreign patents and applications claiming priority thereto.

Assignor(s) further covenant to cooperate and participate in any administrative or legal proceeding and execute all lawful documents necessary or desirable for Roche, its successors, assigns and legal representatives to obtain, maintain and enforce patent protection in the Invention in all countries, provided that Roche reimburses Assignor(s) for any expenses incurred therewith by Assignor(s).

ASSIGNMENT I

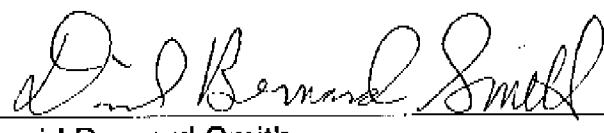
This assignment is effective as of the earlier of the date of execution of this document or the filing date accorded by the United States Patent and Trademark Office to patent application U.S. Serial No. 10/307,159, as indicated in the Filing Receipt for said Application.

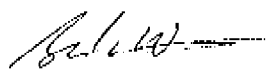
I have read and understand this Assignment document for said Application, and agree hereto, as indicated by my signature as set forth below.

Signature  Date 3/18/03  
Daisy Joe Du Bois  
1180 Welch Road, Apt. 821, Palo Alto, CA 94304, U.S.A.

Signature  Date 3/18/03  
Denis John Kertesz  
459 Victory Avenue, Mountain View, CA 94043, U.S.A.

Signature  Date 3/18/03  
Eric Brian Sjogren  
442 Dell Avenue, Mountain View, CA 94043, U.S.A.

Signature  Date 3/18/03  
David Bernard Smith  
218 West 40<sup>th</sup> Avenue, San Mateo, CA 94403, U.S.A.

Signature  Date 3/18/03  
Bei Han Wang  
131 Saratoga Avenue, Apt. 3209, Santa Clara, CA 95051, U.S.A.

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }  
County of Santa Clara } ss.

On 18-March-2003 before me, Christina G. Myers, Notary Public,  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Daisy Joe Du Bois, Denis John Kertesz,  
Eric Brian Sjogren,  
David Bernard Smith and  
Beihan Wang

Name(s) of Signer(s)  
☒ personally known to me  
☐ I proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Christina G. Myers  
Signature of Notary Public

**OPTIONAL**

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

**Description of Attached Document**

Title or Type of Document: Assignment I for patent application 10/307,159  
Docket No. R0124B-REG

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: none

**Capacity(ies) Claimed by Signer**

Signer's Name: \_\_\_\_\_

- ☒ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing: self

