

11-20-2002

FORM PTO-1595 (modified)



U.S. DEPARTMENT OF COMMERCE

(Rev 6-93)

COVER SHEET

Patent and Trademark Office

102286612
PATENT ONLY

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Prince Technology Corporation
and
Johnson Controls Interiors Technology
Corporation

11/18/02

Additional conveying party(ies)

NO

3. Nature of conveyance:

CHANGE OF NAME AND MERGER

Execution Date:

11/08/1999 and 12/31/2000

2. Name and address of receiving party(ies):

Johnson Controls Technology Company
49200 Halyard Drive
Plymouth, Michigan 48170

Additional name(s) & address(es) attached? NO

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

09/415,433

B. Patent Number(s):

N/A

Additional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:

John M. Lazarus
FOLEY & LARDNER
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367

6. Total number of applications/patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Check Enclosed

Charge to deposit account

8. Deposit account number: 06-1447

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

John M. Lazarus

Registration No. 48,367

Name of person signing

Signature

Date

11/12/2002

11/19/2002 DBYRNE 00000181 09415433 Total number of pages including cover sheet, attachments, and document: 5

01 FC:8021

40.00 DP

**MICHIGAN DEPARTMENT OF CONSUMER & INDUSTRY SERVICES
CORPORATION, SECURITIES AND LAND DEVELOPMENT BUREAU**

Date Received

(FOR BUREAU USE ONLY)

This document is effective on the date filed, unless
a subsequent effective date within 90 days after
received date is stated in the document.

FILED

DEC 15 1999

 12/16/1999 KWATSON
Trans 01737176

02696

79664

Total \$10.00

Cops Org & Filing & LLC art

Name

Nanette Salawage

Address

49200 Halyard Drive

City

Plymouth

State

MI

Zip Code

48170

 Administrator
CORP., SECURITIES & LAND DEV. BUREAU

EFFECTIVE DATE:

Document will be returned to the name and address you enter above.
If left blank document will be mailed to the registered office.

CERTIFICATE OF AMENDMENT TO THE ARTICLES OF INCORPORATION
For use by Domestic Profit and Nonprofit Corporations

(Please read information and instructions on the last page)

Pursuant to the provisions of Act 284, Public Acts of 1972 (profit corporations), or Act 162, Public Acts of 1982 (nonprofit corporations), the undersigned corporation executes the following Certificate:

1. The present name of the corporation is: Prince Technology Corporation

2. The identification number assigned by the Bureau is:

026-96 3

 3. Article 1 of the Articles of Incorporation is hereby amended to read as follows:

The name of the corporation is: Johnson Controls Interiors Technology Corp.

PATENT

REEL: 013493 FRAME: 0759

COMPLETE ONLY ONE OF THE FOLLOWING:

4. (For amendments adopted by unanimous consent of incorporators before the first meeting of the board of directors or trustees.)

The foregoing amendment to the Articles of Incorporation was duly adopted on the _____ day of _____, _____, in accordance with the provisions of the Act by the unanimous consent of the incorporator(s) before the first meeting of the Board of Directors or Trustees.

Signed this _____ day of _____, _____

(Signature)

(Signature)

(Type or Print Name)

(Type or Print Name)

(Signature)

(Signature)

(Type or Print Name)

(Type or Print Name)

5. (For profit and nonprofit corporations whose Articles state the corporation is organized on a stock or on a membership basis.)

The foregoing amendment to the Articles of Incorporation was duly adopted on the 8th day of November, 1999 by the shareholders if a profit corporation, or by the shareholders or members if a nonprofit corporation (check one of the following)

- ☒ at a meeting the necessary votes were cast in favor of the amendment.
- ☐ by written consent of the shareholders or members having not less than the minimum number of votes required by statute in accordance with Section 407(1) and (2) of the Act if a nonprofit corporation, or Section 407(1) of the Act if a profit corporation. Written notice to shareholders or members who have not consented in writing has been given. (Note: Written consent by less than all of the shareholders or members is permitted only if such provision appears in the Articles of Incorporation.)
- ☐ by written consent of all the shareholders or members entitled to vote in accordance with section 407(3) of the Act if a nonprofit corporation, or Section 407(2) of the Act if a profit corporation.
- ☐ by the board of a profit corporation pursuant to section 611(2).

Profit Corporations

Signed this 5th day of November, 1999

By Stacy L. Fox
(Signature of an authorized officer or agent)

Stacy L. Fox Secretary
(Type or Print Name)

Nonprofit Corporations

Signed this _____ day of _____, _____

By _____
(Signature of President, Vice-President, Chairperson or Vice-Chairperson)

(Type or Print Name)

(Type or Print Title)

CLS 550g (Rev. 10/00)

**MICHIGAN DEPARTMENT OF CONSUMER & INDUSTRY SERVICES
BUREAU OF COMMERCIAL SERVICES**
Date Received
DEC 18 2000REGISTERED PURCHASER
TELEPHONE NOTIFICATION

(FOR BUREAU USE ONLY)

FILED**DEC 18 2000**

This document is effective on the date filed, unless a subsequent effective date within 90 days after received date is stated in the document.

Administrator
BUREAU OF COMMERCIAL SERVICES

Name

Johnson Controls, Inc. Attn: Scott C. Hess, Tax Counsel

Address

5757 N. Greenbay Avenue

City

State

Zip Code

Milwaukee

Wisconsin

53201

EFFECTIVE DATE: **12/31/2000**

Expiration date for new assumed names: December 31,

Expiration date for transferred assumed names appear in Item 6

Document will be returned to the name and address you enter above
If left blank document will be mailed to the registered office.

CERTIFICATE OF MERGER

**Cross Entity Merger for use by Profit Corporations, Limited Liability Companies
and Limited Partnerships**

Pursuant to the provisions of Act 284, Public Acts of 1972 (profit corporations), Act 23, Public Acts of 1993 (limited liability companies) and Act 213, Public Acts of 1962 (limited partnerships), the undersigned entities execute the following Certificate of Merger:

1. The Plan of Merger (Consolidation) is as follows:

a. The name of each constituent entity and its identification number is:

Johnson Controls Technology Company

368977

Johnson Controls Interiors Technology Corporation

026963

b. The name of the surviving (new) entity and its identification number is:

Johnson Controls Technology Company

368977

Corporations and Limited Liability Companies provide the street address of the survivor's principal place of business:

650 Waverly, Holland, Michigan 49423

2. (Complete only if an effective date is desired other than the date of filing. The date must be no more than 90 days after the receipt of this document in this office.)

The merger (consolidation) shall be effective on the 31st day of December, 2000.

GOLD SEAL APPEARS ONLY ON ORIGINAL

88/00 P 044 345 212

SYSTEMS

PATENT

REEL: 013493 FRAME: 0761

3. Complete for Profit Corporations only

For each constituent stock corporation, state:

Name of corporation	Designation and number of outstanding shares in each class or series	Indicate class or series of shares entitled to vote	Indicate class or series entitled to vote as a class
Johnson Controls Technology Company	1,000 Common	Common	N/A
Johnson Controls Interiors Technology Corporation	100 Common	Common	N/A

If the number of shares is subject to change prior to the effective date of the merger or consolidation, the manner in which the change may occur is as follows:

The manner and basis of converting shares are as follows:

In connection with the Merger each share of outstanding Johnson Controls Technology Company common stock shall be converted to 0.1 shares of common stock, 0.5 shares of Class A preferred stock and 0.4 shares of Class B preferred stock; each share of outstanding Johnson Controls Interiors Corporation common stock shall be converted into 0.3 shares of Class B preferred stock.

The amendments to the Articles, or a restatement of the Articles, of the surviving corporation to be effected by the merger are as follows:

A Certificate of Amendment with respect to Johnson Controls Technology Company's Articles of Incorporation is being filed concurrently with this Certificate of Merger in order to create the Class A (voting) and Class B (nonvoting) preferred stock.

The Plan of Merger will be furnished by the surviving profit corporation, on request and without cost, to any shareholder of any constituent profit corporation.

The merger is permitted by the state or country under whose law it is incorporated and each foreign corporation has complied with that law in effecting the merger.

(Complete either Section (a) or (b) for each corporation)

- a) The Plan of Merger was approved by the majority consent of the incorporators of _____, a Michigan corporation which has not commenced business, has not issued any shares, and has not elected a Board of Directors.

(Signature of Incorporator)

(Type or Print Name)

(Signature of Incorporator)

(Type or Print Name)

(Signature of Incorporator)

(Type or Print Name)

(Signature of Incorporator)

(Type or Print Name)

- b) The plan of merger was approved by:

☐ the Board of Directors of _____, the surviving Michigan corporation, without approval of the shareholders in accordance with Section 703a of the Act.

☒ the Board of Directors and the shareholders of the following Michigan corporation(s) in accordance with Section 703a of the Act.

Johnson Controls Interiors Technology Corporation

Johnson Controls Technology Company

By

(Signature of Authorized Officer or Agent)

William Kohler, Secretary

(Type or print name)

Johnson Controls Technology Company

(Name of Corporation)

By

(Signature of Authorized Officer or Agent)

Timothy Sullivan, Assistant Secretary

(Type or print name)

Johnson Controls Interiors Technology Corporation

(Name of Corporation)

GOLD SEAL APPEARS ONLY ON ORIGINAL

RECORDED: 11/12/2002

PATENT

REEL: 013493 FRAME: 0762