

11-25-2002

R SHEET From:

Modified



PRAXAIR TECHNOLOGY, INC.

To the Honorable Commissioner of Patents 102292026

the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

11-14-02  
Jim D. Atwood  
David C. Roth

## 2. Name and address of receiving party(ies)

PRAXAIR TECHNOLOGY, INC.  
39 Old Ridgebury Road  
Danbury, CT. 06810-5113  
United States of AmericaAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment

Execution Date: January 8, 9, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

S.N. 09/963,451  
Filed: 9/27/01

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Miss Joann M. Jelsa  
PRAXAIR, INC.  
Law Department - M1 557  
39 Old Ridgebury Road  
Danbury, CT. 06810-5113

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41).....\$40.00

☒ Authorized to be charged to deposit account

## 8. Deposit account number:

16-2440

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert J. Follett

Name of Person Signing

Signature

11/12/02  
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231PATENT  
REEL: 013503 FRAME: 0984

# ASSIGNMENT OF INVENTION, PRIORITY RIGHTS AND RIGHTS TO APPLY FOR PATENTS

We, the undersigned, Jim D. Atwood and David C. Hoth, both Chemists and citizens of the  
United States of America, residing at 28 Huntleigh Circle, Amherst, New York 14226 and 4694 Hunters  
Creek Road, East Aurora, New York 14052, respectively,

HEREBY STATE UNDER OATH that we are the original, first and true inventors of an invention  
for SYNTHESIS OF SUBSTITUTED RUTHENOCENE COMPLEXES

as described and/or claimed in our application for Letters Patent in the United States of America,

executed on the 8<sup>th</sup>, 9th day of January, 2002, (Docket No. D-20963)

NOW, THEREFORE, in consideration of our employment by the University of Buffalo  
Foundation Services, Inc., a not-for-profit, educational corporation organized and existing under the laws  
of the State of New York and having its mailing address at Box 900, Buffalo, New York 14226-0900, and  
in fulfillment of our pre-existing obligation of assignment of the subject matter contained in the above-  
identified patent application to the RESEARCH FOUNDATION OF SUNY,

WE DO HEREBY ASSIGN, TRANSFER AND SELL our entire right, title, and interest in and to said  
invention and application unto

## THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK

technology licensors, a not-for-profit, educational corporation organized and existing under the laws of  
the State of New York and having its mailing address at Box 900, Buffalo, New York 14226-0900,  
United States of America as the Assignee, without any restrictions, reservations or limitations, the entire  
right, title and interest in and to the aforesaid application for Letters Patent and all patents which may be  
granted thereon, and all divisions, continuations, continuations-in-part, reissues and extension thereof;

INCLUDING ALL RIGHTS in and to said invention in any country of the world, including, but  
not limited to, all priority rights derived from the aforesaid application for Letters Patent by virtue of the  
International Convention for the Protection of Industrial Property and for any and all member countries  
of the aforesaid International Convention;

AND FURTHER INCLUDING THE SOLE RIGHT to file such applications under the Patent Laws of  
any country of the world in its name and/or ours, and the sole right to have patents granted on said  
applications in its name and/or ours to the full end of the term for which said patents may be granted as  
fully and entirely as the same would have been held by us had this assignment not been made, and to  
enforce said patents with our right to sue in any court of competent jurisdiction and obtain all remedies  
available, including but not limited to injunctions and to recover lost profits or compensatory and  
enhanced damages and attorneys fee for any and all infringements thereof,

AND AUTHORIZE our attorney of record to insert below in this instrument the serial number and filing  
date of said application;

AND AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue all  
patents on said invention resulting therefrom to said RESEARCH FOUNDATION OF SUNY as  
Assignee of the entire right, title and interest, and covenant that we have the full right so to do, and agree  
that we will communicate to said RESEARCH FOUNDATION OF SUNY or its representatives any facts  
known to us respecting said invention and testify in legal proceedings, sign all lawful papers, execute all  
divisional, continuing, continuation-in-part, extensions and reissue applications, make all rightful oaths

and generally do everything possible to aid said RESEARCH FOUNDATION OF SUNY its successors, assigns, or nominees, to obtain and enforce proper protection for said invention in all countries.

SIGNED AND SEALED AT 10/29/02 AMHERST, NY this 29th  
day of OCTOBER, 2002

WITNESSES:

1. Gloria Calato  
2. [Signature]

[Signature]  
JIM D. ATWOOD

State of New York )  
County of ERIE ) ss:

This 29th day of October, 2002, before me personally came

the above-named Jim D. Atwood to me personally known as the individual who executed the foregoing assignment, who has acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

(Seal)

[Signature]  
Edward T. Tirpak, Esq.  
Notary Public  
Notary Public, State of New York  
Originally Qualified in Erie County  
Commission Expires March 22, 2003

SIGNED AND SEALED AT 10/29/02 AMHERST, NY this 29th  
day of OCTOBER, 2002

WITNESSES:

1. Gloria Calato  
2. [Signature]

[Signature]  
DAVID C. HOTH

State of New York )  
County of ERIE ) ss:

This 29th day of October, 2003, before me personally came the  
above-named David C. Hoth to me personally known as the individual who executed the foregoing assignment, who has acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

(Seal)

[Signature]  
Notary Public  
Edward T. Tirpak, Esq.  
Notary Public, State of New York  
Originally Qualified in Erie County  
Commission Expires March 22, 2003