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To the Honorable Commissioner of Patents

102292684

original documents or copy thereof.

1. Name of conveying parties:

Exchange Brokerage Systems Corp.

11-20-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Confirmatory Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: July 26, 2001

2. Name and address of receiving party(ies)

Name: eSpeed, Inc.

Address: One World Trade Center (Old Address)

New Address: 135 E. 57th Street, 5th Floor

City: New York State: NY ZIP: 10022

Country: U.S.A.

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number or patent number:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.

09/321,638

B. Patent No.

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James A. Leiz

Internal Address:

Street Address: FISH & NEAVE

1251 Avenue of the Americas

City: New York State: NY ZIP: 10020

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed ☐ Authorized to be charged to deposit account

☒ Charge excess/credit overpayment to deposit account

8. Deposit account number:

06-1075

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James A. Leiz

Name of Person Signing

Reg. No. 46,109

Signature

November 14, 2002

Date

Total number of pages including cover sheet, attachments, and document:

2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013510 FRAME: 0032

EXHIBIT A

CONFIRMATORY PATENT ASSIGNMENT

WHEREAS, Exchange Brokerage Systems Corp., a corporation organized and existing under the laws of the state New York, having a place of business 67 Wall Street, Suite 2211, New York, New York, 10005 (hereinafter called "EBS"), is the assignee of all right, title and interest in and to U.S. Patent 5,915, 209, entitled "Bond Trading System" (including all continuation, continuation-in-part, divisional, reissue, reexamination and all foreign counterpart patents and applications thereto, hereinafter called the "209 Patent"), by virtue of an assignment dated 7/26/01 from the inventor, David Lawrence to EBS (submitted concurrently herewith for recording, with copy attached hereto);

WHEREAS, eSPEED, INC., a corporation organized and existing under the laws of the state of Delaware, having a place of business at One World Trade Center, New York, New York 10048 (hereinafter called "eSpeed") is desirous of acquiring all right, title and interest in and to the '209 Patent;

NOW, THEREFORE, in consideration of the foregoing and other good and valuable consideration the receipt of which is hereby acknowledged, it is hereby agreed as follows:

EBS hereby confirms that, pursuant to a certain Agreement entered into between EBS and eSpeed, it has assigned and transferred to eSpeed the entire right, title and interest in and to the '209 Patent, together with rights to sue and to recover for past and future infringements of the '209 Patent, the same to be held and enjoyed by eSpeed, and each of its successors and assigns.

IN WITNESS WHEREOF, EBS has caused this Assignment to be duly executed as of the date of execution of this Confirmatory Patent Assignment.

Exchange Brokerage Systems Corp.
 By: David Lawrence - President
 Date: 7/26/01
 Attest: _____