

11-26-2002

FORM PTO-1595

1-31-92



SHEET

U.S. DEPT. OF COMMERCE

Patent & Trademark Office

102292971

To the Honorable Commissioner of Patents and Trademarks: Please return the enclosed original documents or copy thereof.

1. Name of conveying party(ies):

SAMIEC, DIRK  
KOERDT, MICHAEL  
OLIVER, STEVEN  
MILINKOVIC, VLATKO

2. Name and address of receiving party(ies):

Name: JDS UNIPHASE CORPORATION  
Address: 1768 AUTOMATION PARKWAY  
SAN JOSE, CA 95131

Additional name(s) of RECEIVING party(ies) attached (X)Yes ( )No

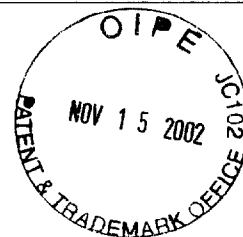
3. Nature of Conveyance:

- (X) Assignment
- ( ) Security Agreement
- (X) Other
- ( ) Merger
- ( ) Change of Name

Execution Date: 10/8/02, 10/16/02

Additional name(s) and address(s) attached? ( )Yes (X)No

meo  
11-15-02



4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No.(s) B. Patent No.(s)

10/281,932

Additional numbers attached? ( )Yes (X)No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles E. Wands, Esq.  
Internal Address: Allen, Dyer,  
Doppelt, Milbrath & Gilchrist, P.A.  
Street Address: 1901 S. Harbor City Blvd.  
Suite 507  
City: Melbourne  
State: Florida Zip: 32901

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41).....\$ 40.00

- [ ] Enclosed
- [X] Authorized to be charged to Deposit account.

8. Deposit Account Number:

50-1465

If any additional extension and/or fee is required, or, if any additional fee for claims is required.

11/25/2002 TDIAZ1 00000222 501465 10281932

FC:8021 40.00 CH

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles E. Wands  
Name of Person Signing

*[Signature]*  
Signature

November 12, 2002  
Date

Total number of pages including cover sheet, attachments and document: [ 5 ]

**ADDITIONAL NAMES OF RECEIVING PARTIES:**

2a. JDS UNIPHASE INC.  
3000 MERIVALE ROAD  
OTTAWA, ONTARIO, CANADA, K2G 6N7

ASSIGNMENT OF INVENTION  
UNIVERSAL

WHEREAS, we **Dirk Samiec, Michael Koerdt**, citizens of Germany, **Steven Oliver and Vlatko Milinkovic**, citizens of Canada whose full post office addresses are **Westring 4, 76698 Ubstadt-Weiher, Germany; Am Haltepunkt 3, 68753 Waghäusel, Germany; 136 Blackburn Avenue, Ottawa, Ontario, K1N 8A7, Canada; and 52 Castlegreen Private, Ottawa, Ontario, K1T 3N4, Canada, respectively**, have made an invention as described in the patent application entitled

**ATHERMAL OPTICAL COUPLER**

filed under Serial No. 10/281,932 on October 28, 2002

WHEREAS, **JDS Uniphase Corporation and JDS Uniphase Inc.** (hereinafter referred to as the assignee), whose full post office addresses are **1768 Automation Parkway, San Jose, California, 95131, USA and 3000 Merivale Road, Ottawa, Ontario, K2G 6N7, Canada** are desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefor;

NOW, THEREFORE, To All Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assignees, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application, and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed this 16 day of October, 2002.

at Waghäusel, Germany.

Dirk Samiec  
Dirk Samiec

**Declaration by Witness**

I, Roland Fuest, whose full post office address is c/o JPS II Photonics GmbH  
Bruchsaler Strasse 22  
68753 Waghäusel,  
Germany  
say:

That I was personally present and did see Dirk Samiec, who is personally known to me, duly sign and execute the above Assignment.

Roland Fuest  
Signature of Witness

Executed this 16 day of October, 2002.

at Waghäusel, Germany.

Michael Koerdt  
Michael Koerdt

**Declaration by Witness**


I, Roland Fuest, whose full post office address is as above  
say:

That I was personally present and did see Michael Koerdt, who is personally known to me, duly sign and execute the above Assignment.

Roland Fuest  
Signature of Witness

Executed this 8<sup>th</sup> day of OCTOBER, 2002.

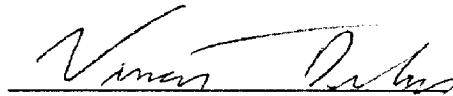
at OTTAWA, CANADA.

  
\_\_\_\_\_  
Steven Oliver

**Declaration by Witness**

I, VINCENT DELISLE, whose full post office address is 63 WHITESTONE DR. OTTAWA  
say:

That I was personally present and did see **Steven Oliver**, who is personally known to me, duly sign and execute the above Assignment.

  
\_\_\_\_\_  
Signature of Witness

Executed this 8 day of OCTOBER, 2002.

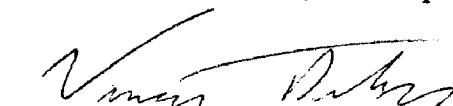
at OTTAWA, CANADA.

  
\_\_\_\_\_  
Vlatko Milinkovic

**Declaration by Witness**

I, VINCENT DELISLE, whose full post office address is 63 WHITESTONE DR. OTTAWA  
say:

That I was personally present and did see **Vlatko Milinkovic**, who is personally known to me, duly sign and execute the above Assignment.

  
\_\_\_\_\_  
Signature of Witness