

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Attorney Docket No. 110.02030101

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**DAVID Y.H. PUI**

**DA-REN CHEN**

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- License
- Other \_\_\_\_\_

Execution Date: 03/20/03, 03/24/03

2. Name and address of receiving party(ies):

Name: REGENTS OF THE UNIVERSITY OF MINNESOTA

Street Address: 450 McNamara Alumni Center  
200 Oak Street SE

City: Minneapolis State: MN ZIP: 55455-2070

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s). **10/301,473**

B. Patent No(s).

Title: **COATING MEDICAL DEVICES**

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MARK J. GEBHARDT  
Address: MUETING, RAASCH & GEBHARDT, P.A.  
P.O. Box 581415  
Minneapolis, MN 55458-1415

Customer Number **26813**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

Enclosed  
 Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to Deposit account number: 13-4895

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is an original or a true copy of the original document.

Mark J. Gebhardt  
Name of Person Signing

[Signature]  
Signature

27 March 2003  
Date

Total number of pages including cover sheet, attachments, and document(s): 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner, United States Patent and Trademark Office  
Box Assignments  
Washington, D.C. 20231

ASSIGNMENT

Docket — 110.0203 0101

Whereas We, DAVID Y.H. PUI and DA-REN CHEN, with residences and citizenships as indicated below; have made an invention in

COATING MEDICAL DEVICES

Filed: November 21, 2002

Serial No.: 10/301,473

and executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto REGENTS OF THE UNIVERSITY OF MINNESOTA ("Company"), a corporation of Minnesota, having its principal office at 450 McNamara Alumni Center, 200 Oak Street S.E., Minneapolis, Minnesota 55455-2070, United States of America, its successors and assigns, the entire right, title, and interest in and to said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of said applications, to University of Minnesota as the assignee of the entire right, title, and interest therein.

In witness whereof, we have hereunto signed our names on the days and years set forth below.

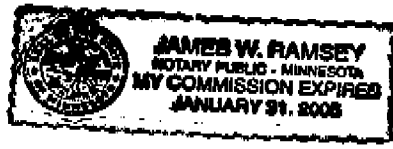
*David Y. H. Pui*

Name: DAVID Y.H. PUI  
Address: 12855 34<sup>th</sup> Avenue North, Plymouth, Minnesota 55441, United States of America  
Citizenship: United States of America

STATE OF Minnesota )  
COUNTY OF Hennepin ) ss.

On this 24<sup>th</sup> day of March 2003, before me personally appeared DAVID Y.H. PUI, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

*James W. Ramsey*  
Notary Public



Assignment

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Filed: November 21, 2002

For: COATING MEDICAL DEVICES

Name: DA-REN CHEN

Address: 13105 Bellerive Farm Drive, Creve Coeur, Missouri 63141, United States of America

Citizenship: Taiwan

STATE OF \_\_\_\_\_ )

COUNTY OF \_\_\_\_\_ ) ss.

On this \_\_\_\_ day of \_\_\_\_\_ 2003, before me personally appeared DA-REN CHEN, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public



Da-ren Chen

3/20/03

Name: DA-REN CHEN

Date

Address: 13105 Bellerive Farm Drive, Creve Coeur, Missouri 63141, United States of America

Citizenship: Taiwan

STATE OF Missouri )

COUNTY OF St. Louis ) ss. )

On this 20 day of March 2003, before me personally appeared DA-REN CHEN, to me known to be the person described in and who executed the foregoing instrument, and he/she executed the same for the uses and purposes therein set forth.

Lora E. Clark

Notary Public

LORA E. CLARK, Notary Public  
STATE OF MISSOURI, COUNTY OF ST. LOUIS  
MY COMMISSION EXPIRES JUNE 21, 2003

