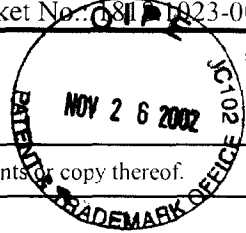


RECOI

12-03-2002

IEET



To the Commissioner, United States Patent

102299474

ed original documents or copy thereof.

1. Name of conveying party(ies)

- 1) Eric J. Toone
- 2) Jonathan S. Stamler

11-26-02

2. Name and address of receiving party(ies)

Name: Duke University

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? [ ] Yes [x] No

3. Nature of conveyance:

- [ ] Assignment [ ] Merger
- [ ] Security Agreement [ ] Change of Name

[X] Other Corrective Assignment Document 09/103,227  
Reel 9747 Frame 0356-0359

Execution Date: 1) 09/02/98 2) 11/30/98

Street Address: Erwin Road

City: Durham State: NC ZIP: 27710

Additional name(s) & address(es) attached? [ ] Yes [x] No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

6,207,855

Additional numbers attached? [ ] Yes [x] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David E. Brook

Internal Address: \_\_\_\_\_

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: 530 Virginia Road, P.O. Box 9133

City: Concord State: MA ZIP: 01742-9133

6. Total number of applications and patents involved: [ 1 ]

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

- [X] Enclosed
- [X] Authorized to charge any deficiencies or credit any overpayment to deposit account
- [ ] Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Steven G. Davis

Name of Person Signing

Signature

November 20, 2002

Date

Total number of pages including cover sheet, attachments, and document: [ 8 ]

12/03/2002 LMUELLER 00000015 6207855

01 FC:8021

40.00 OP

PATENT  
REEL: 013525 FRAME: 0437

02-11-1999

Docket No.: DUK97-03



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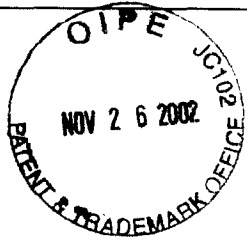
COVER SHEET  
Y

To the Honorable Commiss.

Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

- 1) Eric J. Toone
- 2) Jonathan S. Stamler



2. Name and address of receiving party(ies)

Name: Duke University Medical Center

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance: 2-8-99

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: 1) 9/2/98 and 2) 11/30/98

Street Address: Erwin Road

City: Durham State: NC ZIP: 27710

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/103,227

B. Patent No.(s)

02/10/1999 SBURMS 00000142 09103227

01 FC:581

40.00 OP

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David E. Brook, Esq

Internal Address: \_\_\_\_\_

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved: [1]

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

- Enclosed
- Authorized to charge any deficiencies or credit any overpayment to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

08-0380

40E

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Carol A. Egner

Name of Person Signing

Carol A. Egner  
Signature

February 5, 1999  
Date

Total number of pages including cover sheet, attachments, and document: [4]

ASSIGNMENT

WHEREAS, we, **Eric J. Toone and Jonathan S. Stamler**, have invented a certain improvement in **STABLE NO-DELIVERING COMPOUNDS** described in an application for Letters Patent of the United States, the specification of which:

- is about to be filed in the United States Patent Office;
- was filed on June 23, 1998 as Application No. 09/103,227;
- was patented under U.S. Patent No. \_\_\_\_\_ on \_\_\_\_\_.

ET  
11/18/02

WHEREAS, ~~Duke University Medical Center~~ (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of North Carolina**, and having a usual place of business at **Erwin Road, Durham, North Carolina 27710** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: Eric Toone  
Eric J. Toone

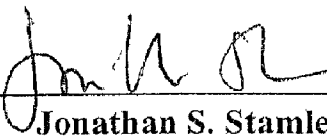
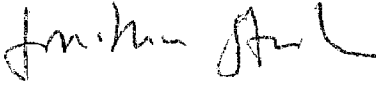
State/Commonwealth  
of North Carolina  
County of Durham

Then personally appeared before me the above-named **Eric J. Toone** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 2nd day of September, 1998.

(SEAL)

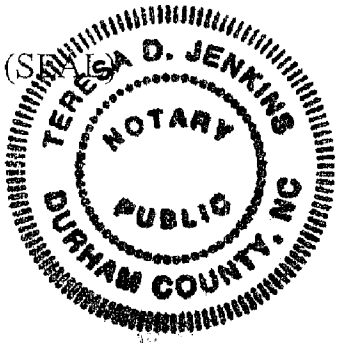
Janet R. Tippett Notary Public  
Janet R. Tippett (print name)  
My Commission expires 02/24/99


I am signing for myself and as an agent for Howard Hughes Medical Institute.

Inventor:   
**Jonathan S. Stamler**  


State/Commonwealth  
of North Carolina  
County of Durham

Then personally appeared before me the above-named **Jonathan S. Stamler** and  
acknowledged that he/she executed the foregoing instrument as his/her free act and deed this  
30<sup>th</sup> day of November, 1998



 Notary Public  
Teresa D. Jenkins (print name)

My Commission expires 12/21/02

JointASSIGNMENT

WHEREAS, we, **Eric J. Toone and Jonathan S. Stamler**, have invented a certain improvement in **STABLE NO-DELIVERING COMPOUNDS** described in an application for Letters Patent of the United States, the specification of which:

[ ] is about to be filed in the United States Patent Office;

[x] was filed on June 23, 1998 as Application No. 09/103,227;

[ ] was patented under U.S. Patent No. \_\_\_\_\_ on \_\_\_\_\_.

11/1/02

WHEREAS, ~~Duke University Medical Center~~ (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of North Carolina**, and having a usual place of business at **Erwin Road, Durham, North Carolina 27710** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: Eric Toone  
Eric J. Toone

State/Commonwealth  
of North Carolina  
County of Durham

Then personally appeared before me the above-named **Eric J. Toone** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 2nd day of September, 1998.

(SEAL) Janet R. Tippett Notary Public  
Janet R. Tippett (print name)  
My Commission expires 02/24/99

I am signing for myself and as an agent for Howard Hughes Medical Institute.

Inventor: Jonathan S. Stamler  
Jonathan S. Stamler

*Jonathan S. Stamler*

State/Commonwealth  
of North Carolina  
County of Durham

Then personally appeared before me the above-named **Jonathan S. Stamler** and  
acknowledged that he/she executed the foregoing instrument as his/her free act and deed this  
30<sup>th</sup> day of November, 1998

Teresa D. Jenkins Notary Public

Teresa D. Jenkins (print name)

My Commission expires 12/21/02

