

12-02-2002

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings



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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): KEVIN W. KELLY 11-20-02 Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies) Board of Supervisors of Louisiana State University and Agricultural and Mechanical College Internal Address: Street Address: 203 David Boyd Hall City: Baton Rouge State: LA Zip: 70803 Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance: Assignment Merger Security Agreement Change of Name Other Execution Date: JULY 30, 2002

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 10/213,233 B. Patent No.(s) Additional numbers attached? Yes No

6. Total number of applications and patents involved: 1

5. Name and address of party to whom correspondence concerning document should be mailed: Name: WARREN D. SCHICKLI Internal Address: 434-242 Street Address: King & Schickli PLLC 247 North Broadway City: Lexington State: KY Zip: 40507

7. Total fee (37 CFR 3.41).....\$ 40.00 Enclosed Authorized to be charged to deposit account 8. Deposit account number: 11-0978 (Attach duplicate copy of this page if paying by deposit account)

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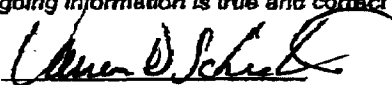
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. WARREN D. SCHICKLI Name of Person Signing Signature Date 11/15/02 Total number of pages including cover sheet, attachments, and documents: 1

11/29/2002 LUJELLER 00000053 110978 01 FC:8021 40.00 CH

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

PATENT REEL: 013526 FRAME: 0575

11/11/2002
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Form PTO-1595 (Rev. 03/01)		RECORDATION FORM COVER SHEET		U.S. DEPARTMENT OF COMMERCE	
OMB No. 0651-0027 (exp. 5/31/2002)		PATENTS ONLY		U.S. Patent and Trademark Office	
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): KEVIN W. KELLY			2. Name and address of receiving party(ies) Name: <u>University of Kentucky Research Foundation</u> Internal Address: _____ _____ Street Address: <u>Astecc Building, Room A144</u> <u>University of Kentucky</u> City: <u>Lexington</u> State: <u>KY</u> Zip: <u>40506</u>		
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____			Execution Date: <u>JULY 30, 2002</u>		
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____					
A. Patent Application No.(s) <u>10/213,233</u>			B. Patent No.(s)		
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>WARREN D. SCHICKLI</u> Internal Address: <u>434-242</u> _____ Street Address: <u>King & Schickli PLLC</u> <u>247 North Broadway</u> City: <u>Lexington</u> State: <u>KY</u> Zip: <u>40507</u>			6. Total number of applications and patents involved: <u>1</u>		
			7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account		
			8. Deposit account number: <u>11-0978</u> (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.					
<u>WARREN D. SCHICKLI</u> Name of Person Signing		 Signature		<u>11/11/02</u> Date	
Total number of pages including cover sheet, attachments, and documents: <u>3</u>					

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

ASSIGNMENT

WHEREAS, I, KEVIN W. KELLY, of Baton Rouge, Louisiana, have made a certain new and useful invention as set forth in an application for United States Letters Patent executed by me the 30 day of ~~May~~, July, 2002, entitled SEAL ASSEMBLY FOR MACHINERY HOUSING;

AND WHEREAS, the BOARD OF SUPERVISORS OF LOUISIANA STATE UNIVERSITY AND AGRICULTURAL AND MECHANICAL COLLEGE, Baton Rouge, Louisiana, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, I do hereby sell, assign, transfer and set over unto the BOARD OF SUPERVISORS OF LOUISIANA STATE UNIVERSITY AND AGRICULTURAL AND MECHANICAL COLLEGE, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, I hereby agree with the said assignee that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of assignor and assignee;

I hereby authorize and request my attorneys King and Schickli, PLLC of 247 North Broadway, Lexington, Kentucky 40507 to insert here in parentheses (Application Serial No. 10/213,233),

filed August 6, 2002) the serial number and filing date of this application when known.

AND I request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said the BOARD OF SUPERVISORS OF LOUISIANA STATE UNIVERSITY AND AGRICULTURAL AND MECHANICAL COLLEGE, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Kevin W. Kelly
KEVIN W. KELLY

STATE OF LOUISIANA)
COUNTY OF East Baton Rouge)

On this 30th day of July, 2002, before me personally

appeared KEVIN W. KELLY, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

My commission expires:

SEAL

Theresa Mooney
NOTARY PUBLIC

**THERESA MOONEY
NOTARY PUBLIC
EAST BATON ROUGE PARISH LA
MY COMMISSION IS FOR LIFE**

KING & SCHICKLI, PLLC

WARREN D. SCHICKLI
MICHAEL S. HARGIS
ANDREW D. DORISIO
DAVID E. FLEENOR
MICHAEL T. SANDERSON*

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OF COUNSEL

November 14, 2002

J. RALPH KING
J. W. SEANOR, D.V.M. ^

Ms. Sandra Ballenger, Examiner
U.S. Patent and Trademark Office
Box Assignments, CG-4
1213 Jefferson Davis Hwy.
Suite 320
Washington, DC 20231

Re: U.S. Utility Patent Application Serial No. 10/213,233
SEAL ASSEMBLY FOR MACHINERY HOUSING
Assignment Recorded on Reel 013237/Frame 0834
Our Reference: 434-242


Dear Mr. Ballenger:

Enclosed please find a corrected Recordation of Assignment Document for the above patent application **from Kevin W. Kelly to Board of Supervisors of Louisiana State University and Agricultural and Mechanical College**. Also enclosed is the original Notice of Recordation form with assignment which was received on November 13, 2002.

Please let me know if you have any questions. Thank you for your assistance in this matter.

Very truly yours,

KING & SCHICKLI, PLLC


Warren D. Schickli

WDS/sw
Encl.

PATENT
REEL: 013526 FRAME: 0579

Facsimile Transmission

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Company: WARREN D. SCHICKLI
Fax Number: 18592520779
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1	Cover Page
2	278.TXT
3	Document 1, Batch 226094

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NOVEMBER 13, 2002

KING & SCHICKI PLLC
WARREN D. SCHICKLI
247 NORTH BROADWAY
434-242
LEXINGTON, KY 40507

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/11/2002

REEL/FRAME: 013237/0834

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KELLY, KEVIN W.

DOC DATE: 07/30/2002

ASSIGNEE:

UNIVERSITY OF KENTUCKY RESEARCH
FOUNDATION
ASTECC BUILDING, ROOM A144
UNIVERSITY OF KENTUCKY
LEXINGTON, KENTUCKY 40506

SERIAL NUMBER: 10213233

FILING DATE: 08/06/2002

PATENT NUMBER:

ISSUE DATE:

SAUNDRA BALLENGER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECORDED: 11/20/2002

PATENT
REEL: 013526 FRAME: 0581