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Form PTO-1595

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

E1033 U.S. PTO

10/303948

11/26/02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Dan Dan Yang  
Paul Vella

## 2. Name and address of receiving party(ies)

Name: Dowslake Microsystems Corporation

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: \_\_\_\_\_

3485 Kifer Road

City: Santa Clara State: CA Zip: 95037

Execution Date: 11/19/2002 ; 11/22/2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/19/2002

A. Patent Application No.(s) 10303948

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cassan Maclean

Internal Address: \_\_\_\_\_

Street Address: Suite 401

80 Aberdeen Street

City: Ottawa State: ON Zip: K1S 5R5

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

DO NOT USE THIS SPACE

## 9. Signature.

Arturo A. Brion

Name of Person Signing

Signature

11/25/2002

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

11/27/2002 RHARIS1 00000001 10303948

02 FC:8021

40.00 OP

PATENT  
REEL: 013527 FRAME: 0075

42041-0008

ASSIGNMENT

THIS ASSIGNMENT, made by Dan Dan Yang and Paul Vella, (hereinafter referred to as the assignors) whose post office addresses are 35 Opeongo Road, Ottawa, ON, K1S 4L2, Canada; 55W 5th Street, #5, Morgan Hill, CA 95037 (USA), witnesseth:

WHEREAS, the said assignors are the inventors of the invention entitled LOW COST FLEXIBLE AUTOMATED OPTICAL POWER MANAGEMENT and hold the right to file patent application(s) therefor and to obtain patent(s) thereon, which invention is the subject of a U.S. patent application the declaration for which has been executed by the assignor on the date of his/her execution of this Assignment;


WHEREAS, Dowslake Microsystems Corporation, having its principal place of business at 3845 Kifer Road, Santa Clara, CA 95051, USA, hereinafter referred to as the assignee is desirous of acquiring the entire right, title and interest in and to said invention, said patent applications and the patents to issue therefrom and all other patent applications which may have been or may be filed in any country;

NOW THEREFORE, in consideration of ten dollars (\$10.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignor has sold, assigned, transferred and set over, and by these presents sells, assigns, transfers and sets over unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention and application for Patent and any and all corresponding applications and patents in the United States of America, Canada and any and all other countries, which have been or may be filed and/or granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said applications, reissues and extensions of Patent, and all rights under any International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for itself and its successors, legal representatives and assigns, to the full end of the term or terms for which Patent(s) may be or may have been granted.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and deliver of these presents, the said assignor is an inventor of the said invention;

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee, its successors, legal representatives and assigns that the said assignor will, whenever counsel for the said assignee, or the counsel for its successors, legal representatives and assigns shall advise that any proceeding in connection with the said invention, or said applications for Patent(s) or any proceeding in connection with Patent(s) for said invention in any country, including interference or conflict proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Patent(s) or any reissue or extension of any Patent(s) to be obtained thereon, is lawful and desirable, sign any and all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defence of Patent(s) or for the said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

SIGNED at *Santa Clara* this      day of *Nov. 19th*, 2002.

  
 \_\_\_\_\_  
 Dan Dan Yang  
 (signature of assignor)

SIGNED at                      this      day of                      , 2002.

\_\_\_\_\_  
 Paul Vella  
 (signature of assignor)

#### STATEMENT OF WITNESS

I, *SHELLIE BLADEL* whose full post office address is  
 was personally present and did see Dan Dan Yang and *Paul Vella* who  
 is known to me, execute the above assignment.

  
 \_\_\_\_\_  
 (signature of witness)

42041-0008

ASSIGNMENT

THIS ASSIGNMENT, made by Dan Dan Yang and Paul Vella, (hereinafter referred to as the assignors) whose post office addresses are 35 Opeongo Road, Ottawa, ON, K1S 4L2, Canada; 55W 5th Street, #5, Morgan Hill, CA 95037 (USA), witnesseth:

WHEREAS, the said assignors are the inventors of the invention entitled LOW COST FLEXIBLE AUTOMATED OPTICAL POWER MANAGEMENT and hold the right to file patent application(s) therefor and to obtain patent(s) thereon, which invention is the subject of a U.S. patent application the declaration for which has been executed by the assignor on the date of his/her execution of this Assignment;

WHEREAS, Dowslake Microsystems Corporation, having its principal place of business at 3845 Kifer Road, Santa Clara, CA 95051, USA, hereinafter referred to as the assignee is desirous of acquiring the entire right, title and interest in and to said invention, said patent applications and the patents to issue therefrom and all other patent applications which may have been or may be filed in any country;

NOW THEREFORE, in consideration of ten dollars (\$10.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignor has sold, assigned, transferred and set over, and by these presents sells, assigns, transfers and sets over unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention and application for Patent and any and all corresponding applications and patents in the United States of America, Canada and any and all other countries, which have been or may be filed and/or granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said applications, reissues and extensions of Patent, and all rights under any International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for itself and its successors, legal representatives and assigns, to the full end of the term or terms for which Patent(s) may be or may have been granted.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and deliver of these presents, the said assignor is an inventor of the said invention;

Page 1 of 2

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee, its successors, legal representatives and assigns that the said assignor will, whenever counsel for the said assignee, or the counsel for its successors, legal representatives and assigns shall advise that any proceeding in connection with the said invention, or said applications for Patent(s) or any proceeding in connection with Patent(s) for said invention in any country, including interference or conflict proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Patent(s) or any reissue or extension of any Patent(s) to be obtained thereon, is lawful and desirable, sign any and all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defence of Patent(s) or for the said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

SIGNED at this day of , 2002.

Dan Dan Yang  
(signature of assignor)

SIGNED at this day of November, 2002.

Paul Vella  
(signature of assignor)

**STATEMENT OF WITNESS**

I, Shelley Black whose full post office address is

was personally present and did see Dan Dan Yang and Paul Vella who is known to me, execute the above assignment.

Shelley Black  
(signature of witness)

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