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U.S. DEPARTMENT OF COMMERCE

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12-03-2002

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To the Honorable Commissioner



102300143

attached original documents or copy thereof.

1. Name of conveying party(ies):

Alexander OLEK
Christian PIEPENBROCK
Kurt BERLIN

2. Name and address of receiving party(ies)

Name: Epigenomics AG

Internal Address:

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

9-24-02

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Street Address: Kastanienallee 24

City: Berlin

State: _____

Country: Germany

Zip: 10435

Execution Date: July 21, 22 and 22, 2002 respectively

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is: July 21, 22 and 22, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Morey B. Wildes

Internal Address: DAVIDSON, DAVIDSON & KAPPEL, LLC

Street Address: 485 Seventh Avenue, 14th Floor

City: New York

State: NY

Zip: 10018

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0552

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Morey B. Wildes

Name of Person Signing

Signature

September 24, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

PATENT

REEL: 013528 FRAME: 0807

ASSIGNMENT

WHEREAS, I (We), Alexander OLEK, residing at Schroederstrasse 13, D-10115 Berlin, Germany; Christian PIEPENBROCK, residing at Schwartzkopffstrasse 7B, D-10115 Berlin, Germany; and Kurt BERLIN, residing at Marienkaeferweg 4, D-14532 Stahnsdorf, Germany; ASSIGNOR(S), have invented certain new and useful improvements in **DIAGNOSIS OF DISEASES ASSOCIATED WITH GENE REGULATION**, an application for a Patent of the United States for which:

____ I (we) am (are) about to execute;
 was executed on _____ (date(s));
 X is identified by Davidson, Davidson & Kappel, LLC, Docket No. 5013.1003;
 was filed on _____ Serial No. _____
 X I (we) hereby authorize and request our attorney, Davidson, Davidson & Kappel, LLC of 485 Seventh Avenue, 14th Floor, New York, New York 10018 to insert here in parentheses (Application number _____, filed _____) the filing date and application number of said application when known; and

WHEREAS, Epigenomics AG, Kastanienallee 24, 10435 Berlin, Germany, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me (us) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I (we), the said ASSIGNOR(S), have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and


I (WE) HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

I (WE) HEREBY covenant and agree that I (we) have full right to convey the entire interest herein assigned, and that I (we) have not executed, and will not execute, any agreement in conflict herewith; and

I (WE) HEREBY further covenant and agree that I (we) will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I (we) hereunto set my (our) hand(s) and seal(s) the day and year set opposite my (our) signature(s).

Dated: 21.7.2002, 2002



Alexander OLEK

IN TESTIMONY WHEREOF, I (we) hereunto set my (our) hand(s) and seal(s) the day and year set opposite my (our) signature(s).

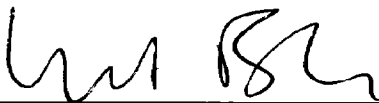
Dated: 22 7 2002, 2002

A handwritten signature in black ink, appearing to read 'Christian Piepenbrock', written over a horizontal line.

Christian PIEPENBROCK

IN TESTIMONY WHEREOF, I (we) hereunto set my (our) hand(s) and seal(s) the day and year set opposite my (our) signature(s).

Dated: 02/27/, 2002


Kurt BERLIN