

12-04-2002

ASSIGNMENT RECORD
-PATENT



102301081

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document or copy thereof.

1. Name of conveying parties:

- a) Sean Chang
- b) Hawk Chen

2. Name and address of receiving party:

- a) Name: Delta Electronics, Inc.
Address: No. 31-1, Shien Pan Road, Kuei San Industrial Zone
Taoyan County, Taiwan, R.O.C.

3. Nature of conveyance

- | | | | |
|-------------------------------------|--------------------|--------------------------|-------------------|
| <input checked="" type="checkbox"/> | Assignment | <input type="checkbox"/> | Merger |
| <input type="checkbox"/> | Security Agreement | <input type="checkbox"/> | Change of Name |
| <input type="checkbox"/> | Other _____ | <input type="checkbox"/> | License Agreement |

Execution Dates: October 15, 2002, respectively.

4. Application Number(s) or Patent Number(s): Not yet assigned

The title of the new application is: RECONFIGURABLE OPTICAL ADD/DROP MULTIPLEXER

5. Please send all correspondence concerning this document to:

Albert S. Penilla
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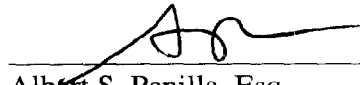
6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
- ☒ Any deficiency may be charged to Deposit Account No. 50-0805
(Order No. JLINP144)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: November 25, 2002


Albert S. Penilla, Esq.
Registration No. 39,487

Attorney Docket No. JLINP144

(Revised 01/96)

PATENT
REEL: 013535 FRAME: 0130

ASSIGNMENT OF PATENT APPLICATION

QT027-01-015US

For good and valuable consideration, receipt of which hereby acknowledged,

I/We,

(a) Sean CHANG

(b) Hawk CHEN

residing at

(a) No. 30, Alley 37, Lane 64, Rung Hua St., Tao Yuan City, Taiwan, R.O.C.

(b) 4F, No. 12, Alley 31, Lane 26, Sanmin Rd., Lujou City, Taipei County, Taiwan
247, R.O.C.

assign and transfer to: **DELTA ELECTRONICS, INC.**

a corporation organized under the law of Taiwan and having a place of business at

31-1, Shien Pan Road, Kuei San Industrial Zone, Taoyan County, Taiwan, R.O.C.

its successors and assigns, my entire right, title and interest, including the right of priority, in, to and under an application for Letters Patent of the United States entitled:

RECONFIGURABLE OPTICAL ADD/DROP MULTIPLEXER

including divisions, reissues, continuations and extensions thereof, and all rights of priority without further authorization from me; I agree to execute all papers useful in connection with said United States application, and generally to do everything possible to aid said assignee, its successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in United States; and I request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Joint Inventor (a)	Sean CHANG		
Inventor's Signature	<i>Sean Chang</i>	Date	<i>Oct. 15. 2002</i>
Residence	Tao Yuan City	Citizenship	Taiwan, R.O.C.

Joint Inventor (b)	Hawk CHEN		
Inventor's Signature	<i>Hawk Chen</i>	Date	<i>Oct. 15. 2002</i>
Residence	Taipei County	Citizenship	Taiwan, R.O.C.