

12-05-2002

Form P1
(Rev. 03)
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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

ENTRIES ONLY Atty Docket No. 214001-00547

Tab setting 102303050

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Albert B. Deleo
Douglas Loftus
Ettore Appella

Additional name(s) of conveying party(ies) attached? Yes No

12-2-02

2. Name and address of receiving party(ies)
UNIVERSITY OF PITTSBURGH OF THE
Name: COMMONWEALTH SYSTEM OF HIGHER EDUCATION
Internal Address: 200 Gardner Steel Conference Center

Street Address: O'Hara & Thackery Streets

City: Pittsburgh State: PA Zip: 15260
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other

Execution Date: 10/21/02, 11/19/02, 10/21/02

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:
A. Patent Application No.(s)
08/897,843
Additional numbers attached? Yes No

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41).....\$ 40.00
 Enclosed
 Authorized to be charged to deposit account

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Debra Z. Anderson
Internal Address: Eckert Seamans Cherin & Mellott

Street Address: 600 Grant Street - 44th Floor

City: Pittsburgh State: PA Zip: 15219

8. Deposit account number:
02-2556
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Debra Z. Anderson
Name of Person Signing

Signature

11/25/2002
Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

12/04/2002 TDIAZI
01 FC:8021

00000157 022556
40.00 CH

08897843

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013539 FRAME: 0250

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, **ALBERT B. DELEO** citizen of the United States of America, residing at 104 Pheasant Drive, Pittsburgh, Pennsylvania 15238,

DOUGLAS LOFTUS citizen of the United States of America, residing at 529 Visitation Avenue, Brisbane, California 94005, and **ETTORE APPELLA** citizen of the United States of America, residing at 4112 Aspen Street, Chevy Chase, Maryland 20815;

Hereby sell, assign and transfer to the **University of Pittsburgh of the Commonwealth System of Higher Education**, a non-profit Pennsylvania corporation, having a place of business at 200 Gardner Steel Conference Center, O'Hara & Thackery Streets, Pittsburgh, PA 15260, in the County of Allegheny and Commonwealth of Pennsylvania, its successors, assigns and legal representatives, our entire right, title and interest, for all countries, in and to any and all improvements which are disclosed and claimed, and any and all improvements which are disclosed but not claimed, in United States Patent Application Serial No. **08/897,843**, filed **July 21, 1997**, for **CDNA CLONE FOR TUMOR REJECTION ANTIGEN GPI10 AND TUMOR PEPTIDE VACCINE**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents and Trademarks to issue to said Assignee, the University of Pittsburgh of the Commonwealth System of Higher Education, its successors, assigns and legal representatives, in accordance with this Assignment, any and all United States Letters Patent on said improvements, or any of them, disclosed in said application;

Agree that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment we will, for all countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute

all rightful oaths and other papers; communicate to said Assignee, it successors, assigns, and representatives, all facts known to us relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements, and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives;

Covenant with said Assignee, its successors, assigns and legal representatives that we have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property conveyed, and that we have full right to convey the same as herein expressed.

EXECUTED _____ 2002

Albert B. DeLeo (SEAL)

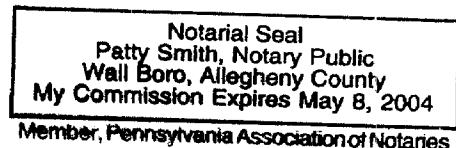
ALBERT B. DELEO

Commonwealth of Pennsylvania)
) SS:
County of Allegheny)

Before me this 21 day of October, 2002, personally appeared **ALBERT B. DELEO**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Patty Smith
Notary Public

(Affix Seal Here)



Covenant with said Assignee, its successors, assigns and legal representatives that we have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property conveyed, and that we have full right to convey the same as herein expressed.

EXECUTED 11-19 2002

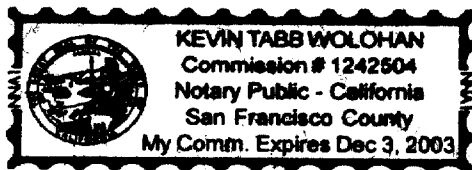
Douglas Loftus (SEAL)
DOUGLAS LOFTUS

State of CALIFORNIA)
County of SAN FRANCISCO) SS:

Before me this 19 day of NOVEMBER, 2002, personally appeared **DOUGLAS LOFTUS**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Kevin Tabb Wolohan
Notary Public

(Affix Seal Here)



Covenant with said Assignee, its successors, assigns and legal representatives that we have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property conveyed, and that we have full right to convey the same as herein expressed.

EXECUTED 10/21 2002

Ettore Appella (SEAL)
ETTORE APPELLA

State of Maryland)
) SS:
County of Montgomery)

Before me this 21st day of October, 2002, personally appeared **ETTORE APPELLA**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Ann Schombert
Notary Public
My Commission Expires 10/1/2005

(Affix Seal Here)