ET

12-10-2002

To the Hon. Commissioner

102306669

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Please record the enclosed copy of an assignment document. Relevant assignment data are as follows:

1. Assignors:

Barbara Paldus 1249 Lakeside Drive, Apt. 2060 Sunnyvale, CA 94085

Jinchun Xie 19317 Sakura Way Cupertino, CA 95014

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Grzegorz Pakulski 124 Fireside Drive, RR#1, Box 235 Woodlawn, Ontario KOA 3M0

Chris W. Rella 1015 Mango Avenue Sunnyvale, CA 94087

Bruce A. Richman 955 Azure Street, Apt. 4 Sunnyvale, CA 94087

Serguei Koulikov 889 Henderson Ave. Sunnyvale, CA 94086

2. Assignee:

Picarro, Inc. 1050 E. Duane Ave., Suite H Sunnyvale, CA 94085

10308541



PATENT REEL: 013549 FRAME: 0001 3. Execution Date of Assignment of Entire Interest in Patent Application: 11/14/2002 and 11/15/2002

4. Execution Date of Declaration for Patent Application: 11/14/2002 and 1/15/2002

4A.Patent Application No.: Not Assigned

4B.Patent Number: Not Assigned

5. Correspondence address: Marek Alboszta

LUMEN

45 Cabot Avenue, Suite 110 Santa Clara, CA 95051

6. Total Number of applications and Patents involved:

7. Total fee (37 CFR 3.41): \$40

8. Statement and signature:

To the best of my knowledge and belief, the information contained herein is true and correct, and any attached copy is a true copy of the original document.

Marek Alboszta

Reg. No. 39,894

2 Dec. 2002

Date:

telephone: (408) 260-7300

Total number of pages including cover sheet, attachments, and document: 12

PATENT

REEL: 013549 FRAME: 0002

ASSIGNMENT

THIS ASSIGNMENT, by

BRUCE A. RICHMAN, ALEXANDER KATCHANOV, GRZEGORZ PAKULSKI, CHRIS W. RELLA, ROBERT LODENKAMPER, DAVID M. ADAMS, ERIC CROSSON, BARBARA PALDUS, JINCHUN XIE, AND SERGUEI KOULIKOV

(hereinafter referred to as the Assignors), residing at Sunnyvale, California; Sunnyvale, California; Woodlawn, Ontario; Sunnyvale, California; Sunnyvale, California; Ottawa, Canada; Sunnyvale, California; San Jose, California; Sunnyvale, California; Cupertino, California; and Sunnyvale, California, respectively, witnesseth:

WHEREAS, said Assignors have invented certain new and useful improvements in

LASER TUNING BY SPECTRALLY DEPENDENT SPATIAL FILTERING

for which application for Letters Patent has been executed on $\frac{11/14/02 + 11/15/02}{11/15/02}$, and is attached hereto

WHEREAS.

Picarro, Inc.

(hereinafter referred to as the Assignee), a body having corporate powers under the laws of the state of CALIFORNIA, SUNNYVALE, CALIFORNIA 94085, is desirous of obtaining the entire right, title and interest in and to said inventions and said application for Letters Patent, and in and to any Letters Patent, United States or foreign, to be obtained therefor and thereon;

WHEREAS, said Assignors and said Assignee have executed an agreement entitled "Agreement Concerning Rights in Invention."

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and sufficient considerations, the receipt of which is hereby acknowledged:

- 1. The Assignors have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over unto said Assignee, the entire right, title and interest in, to and under said inventions; said application for Letters Patent; any Letters Patent which may be granted for said inventions in the United States of America and any foreign country; any division, continuation, and continuation-in-part of said application; any reissue or extension of said Letters Patent; and all rights under the International Convention for the Protection of Industrial Property; said right, title and interest to be held and enjoyed by said Assignee for its own use and behoove to the full end of the term for which Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors, had this sale and assignment not been made.
- 2. Said Assignors hereby jointly and severally warrant and represent that, at the time of execution and delivery of these presents, said Assignors are the joint and lawful owners of the entire right, title and interest in and to said inventions and said application for Letters Patent, and that the same have not entered into any assignment, contract or understanding in conflict herewith.
- 3. Said Assignors hereby jointly and severally covenant and agree to assist and cooperate with said Assignee, whereby said Assignee may enjoy to the fullest extent said right, title and interest herein conveyed, provided, however, that the entire expense which may be incurred by said Assignors in lending such assistance and cooperation be paid by Assignee. Such cooperation shall include: (a) prompt execution of all papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee to perfect said right, title and interest herein conveyed, (b) prompt execution of all petitions, oaths, specifications, declarations and other papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee for filing or prosecuting in the United States or any foreign country said application, any application which is a division, continuation, or continuation-in-part of said application, any reissue application for any Letters Patent granted on said application, or for any interference proceeding involving said application or Letters Patent granted thereon; and (c) prompt assistance and cooperation in the prosecution of all legal proceedings involving said inventions, said application, or Letters Patent granted thereon, including oppositions, cancellation proceedings, priority contests, public use proceedings and court actions.

Assignment, Page 1 of 5

PATENT REEL: 013549 FRAME: 0003

- 4. The terms, covenants and conditions of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and/or other legal representatives, and shall be binding upon said Assignors, their heirs, legal representatives and assigns.
- 5. The terms, covenants and conditions of this Assignment are subject to the payment of royalty by Assignee to Assignors in accordance with the provisions of said Agreement Concerning Rights in Invention.
- 6. Said Assignors hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States to said Assignee as the assignee of said inventions.

IN WITNESS WHEREOF said Assignors have executed and delivered this instrument on the respective dates noted below.

Date: 1/14/02		A. Richman
State: County:		
Subscribed and sworn to before me on this	day of	, 20
		Notary Public
Date:	Karf. Alexand	ler Katchanov
State: County:		
Subscribed and sworn to before me on this	day of	, 20
		Notary Public

			
Date:			
	Grzegor	z Pakulski	
State: County:			
Subscribed and sworn to before me on t	his day of	, 20	i
		Notary Public	
Date: 11 14 02	Chris W	. Rella	
State: County:			
Subscribed and sworn to before me on t	his day of	, 20	i
		Notary Public	
Date: 1/14/02	Robot Zodarle	~	
		Lodenkamper	
State: CA County:			
Subscribed and sworn to before me on t	his day of	, 20	į
		Notary Public	

Date: 11/15/02	,	C_{1}	Dell-	
<u></u>			Grzegorz Pakulski	
State:	County:			
Subscribed and sworn to	before me on this	day of _		, 20
		·		
			Notary Public	
Date:			Chris W. Rella	-
State:	County:			
Subscribed and sworn to				20
Subscribed and sworm to		uu		, 20
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			Notary Public	
Date:			Robert Lodenkamper	
State:	County:			
Subscribed and sworn to				. 20
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Assignment, Page 4 of 5

Notary Public

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Date: Nov. 15, 2002	1) m		
	,	David M. Adams	
State: County:			
Subscribed and sworn to before me on this _	day of _		, 20
			į
		Notary Public	
Date:		Eric Crosson	
State: County:			
Subscribed and sworn to before me on this _	day of		20
Subscribed and sworn to before the on this _	day or _		, 20
		Notary Public	
Date:			
		Barbara Paldus	
State: County:			
Subscribed and sworn to before me on this _	day of _		, 20
		Notary Public	
		Notary Fublic	

Date: 11/14/02			120	
			Jinchun Xie	
State: Cou	nty:	·		
Subscribed and sworn to befor	e me on this	day of _		, 20
<u></u>			Notary Publ	lic
Date: 11/14/07		H	Serguei Koulikov	
State: Cou	nty:			
Subscribed and sworn to befor	e me on this	day of _		, 20
			Notary Publ	lic

RECORDED: 12/02/2002