

12-10-2002



102306852

Docket No.: PUG.0078US

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patent

Attached original documents or copy thereof

1. Name of conveying party(ies):

George M. Allen

12-2-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 18, 2002

2. Name and address of receiving party(ies):

Name: PLUG POWER INC.

Address: 968 ALBANY-SHAKER ROAD

City: LATHAM

State/Prov.: NY

Country: USA

ZIP: 12110

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/184,291

June 27, 2002

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fred G. Pruner, Jr.

Registration No. 40,779

Address: Trop, Pruner & Hu, P.C.

8554 Katy Freeway, Suite 100

12/09/2002 LMUELLER 00000266 10184291

01 FC:8021

40.00 DP

City: Houston

State/Prov.: TX

Country: USA

ZIP: 77024

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

20-1504



21906

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Fred G. Pruner, Jr.

Name of Person Signing

Signature

November 26, 2002

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 013549 FRAME: 0339

ASSIGNMENT

WHEREAS, I, **GEORGE M. ALLEN**, am the sole inventor of **"FUEL PROCESSOR DESIGN AND METHOD OF MANUFACTURE"** application for United States Letters Patent Serial No. 10/184,291, filed June 27, 2002; and

WHEREAS, **PLUG POWER INC.**, a corporation created and existing under and by virtue of the laws of the State of Delaware, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention throughout the world, and all right, title and interest in, to and under any and all Letters Patent of the United States and all other countries throughout the world;


NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign, transfer and set over to **PLUG POWER INC.**, all right, title and interest in and to the said invention throughout the world, and said application for U.S. Letters Patent, and any and all divisions, continuations, and reissues thereof, and any and all Letters Patent of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by **PLUG POWER INC.** for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives, to the end of the term or terms for which said Letters Patent of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

And I hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application and from any and all divisions, continuations, and reissues thereof, to **PLUG POWER INC.**, as assignee of our entire interest, and hereby covenant that I have the full right to convey the entire interest herein assigned, and that I have not executed and will not execute any agreement in conflict herewith.

And I further hereby covenant and agree that I will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said invention and to such Letters Patent as may be granted therefor, to **PLUG POWER INC.**, its successors, assigns, or other legal representatives and that if **PLUG POWER INC.**, its successors, assigns or other legal representatives shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, I will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

And I do further covenant and agree that I will, at any time upon request, communicate to PLUG POWER INC., its successors, assigns or other legal representatives, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

EXECUTED THIS 18 day of NOVEMBER, 2002.


Name: **GEORGE M. ALLEN**

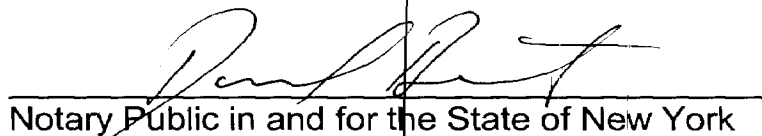
STATE OF NEW YORK

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§

COUNTY OF ALBANY

BEFORE ME, the undersigned authority, on this day personally appeared **GEORGE M. ALLEN**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 18 day of November, 2002.


Notary Public in and for the State of New York

DANIEL HOWARD BEATY
Notary Public, State Of New York
No. 02BE6072595
Qualified in Saratoga County
Commission Expires April 8, 20 06