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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original.

1. Name of conveying party(ies):

**Martin Gerhardt Banwell
Bernard Luke Flynn**

12-4-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of name
- ☐ Other

Execution Date: **12/11/99, 12/13/99**

2. Name and address of receiving party(ies)

Name: **The Australian National
University**Address: **Acton, Australian Capital
Territory
2601, Australia**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the Application is

A. Patent Application No.(s)

B. Patent No.(s)

09/423,167Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greenlee, Winner & Sullivan, P.C.

Internal Address:

Street Address: 5370 Manhattan Circle, Ste. 201City: Boulder State: CO ZIP: 803036. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number 07-1969

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any Attached copy is a true copy of the original document.*Susan K. Doughty
Name of Person SigningSusan K. Doughty
SignatureDec. 3, 2002
DateTotal number of pages including cover sheet, attachments, and document: **2**

12/09/2002 1010AZ1 00000202 09423167

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40.00 DP

PATENT

REEL: 013550 FRAME: 0445

ASSIGNMENT

WHEREAS, I/WE (1) Martin Gerhardt BANWELL
 a Australian citizen, residing at 6 Marawa Place, Aranda, Australian Capital Territory, 2614, Australia;
 (2) Bernard Luke FLYNN
 a Australian citizen, residing at 103 Canberra Avenue, Griffith, Australian Capital Territory, 2603, Australia
 (3) _____
 a _____ citizen, residing at _____

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in "Preparation of fused polycyclic alkaloids by ring closure of azomethine ylides, novel compounds thereof and their use as chemotherapeutic agents"

- (a) ☐ was executed on even date herewith;
- (b) ☐ was filed on 2 November 1999 as ☐ Application No. 09/423,167 or ☐ Express Mail No., as Application No. not yet known _____ and was amended on _____ (if applicable); or
- (c) ☒ was described and claimed in PCT International Application No. PCT/AU98/00312 filed on 1 May 1998 and as amended under PCT Article 19 on _____ (if any) and/or under PCT Article 34 on 9 April 1999 (if any).

AND WHEREAS, THE AUSTRALIAN NATIONAL UNIVERSITY
 with its principal place of business at Acton, Australian Capital Territory, 2601, Australia

(hereinafter referred to as Assignee) desires to acquire the entire right, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 11th day of December, 1999

Signature of Martin Gerhardt BANWELL

This 15 day of December, 1999

Signature of Bernard Luke FLYNN

This _____ day of _____, 199____

Signature of _____