

OFFICE OF PUBLIC RECORDS

2002 NOV 13 AM 9:38

FINANCE SECTION

12-11-2002



102307855

RECORDATION FORM

SHEET

PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type



New



Resubmission (Non-Recordation)

Document ID#



Correction of PTO Error

Reel #

Frame #



Corrective Document

Reel #

Frame #

Conveyance Type



Assignment



Security Agreement



License



Change of Name



Merger



Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)



Departmental File



Secret File

Conveying Party(ies)



Mark if additional names of conveying parties attached

Name (line 1)

Davies, Huw M. L.

Execution Date
Month Day Year

09192002

Name (line 2)

11-18-02

Second Party

Name (line 1)

Nagashima, Tadamichi

Execution Date
Month Day Year

09192002

Name (line 2)

Receiving Party



Mark if additional names of receiving parties attached

Name (line 1)

Research Foundation of State University of New York, The

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 1)

UB Commons

Address (line 2)

Suite 200

Address (line 3)

Amherst

NY

14228-2567

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

12/10/2002 LMUELLER 00000188 500772 10229392

01 FC:8021

40.00 CH

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 013554 FRAME: 0058

Correspondent Name and Address

Area Code and Telephone Number

585-393-3004

Name Peter Rogalskyj

Address (line 1) Braman & Rogalskyj, LLP

Address (line 2) P.O. Box 352

Address (line 3) Canandaigua, New York 14424-0352

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

10229392

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

50-0772

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Peter Rogalskyj

Name of Person Signing

Signature

November 12, 2002

Date

ASSIGNMENT

WHEREAS, We, **Huw M. L. Davies**, a United States citizen, residing at 9386 Pine Breeze Lane, Clarence Center, New York 14032, and **Tadamichi Nagashima**, a citizen of Japan, residing at 2765 St. Andrews Square, Apartment 1843, Allison Park, Pennsylvania 15101, have invented certain new and useful improvements in **SOLID SUPPORT DIRHODIUM CATALYST COMPOSITIONS AND METHODS FOR MAKING AND USING SAME** for which We have executed an application for Letters Patent in the United States, filed as U.S. Serial No. 10/229,392 on August 27, 2002;

AND WHEREAS, The Research Foundation of State University of New York, with its principal place of business at UB Commons, Suite 200, Amherst, New York 14228-2567, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, We, the said inventors, do hereby acknowledge that We have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said The Research Foundation of State University of New York, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said The Research Foundation of State University of New York, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that We will communicate to the said The Research Foundation of State University of New York, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in

any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said The Research Foundation of State University of New York, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 19th day of September, 2002.

Huw M. L. Davies

Huw M. L. Davies

STATE OF New York
COUNTY OF Erie SS:

This 19th day of September, 2002, before me personally came the above-named **Huw M. L. Davies**, to me personally known as the individual who executed the same of his own free will for the purposes therein set forth.

Michelle Murray
Notary Public

MICHELLE MURRAY
Notary Public, State of New York
Registration #01MU6050450
Qualified In Erie County
My Commission Expires Nov. 6, 2002

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 2002.

Tadamichi Nagashima

STATE OF _____
COUNTY OF _____ SS:

This _____ day of _____, 2002, before me personally came the above-named **Tadamichi Nagashima**, to me personally known as the individual who executed the same of his own free will for the purposes therein set forth.

Notary Public

ASSIGNMENT

WHEREAS, We, **Huw M. L. Davies**, a United States citizen, residing at 9386 Pine Breeze Lane, Clarence Center, New York 14032, and **Tadamichi Nagashima**, a citizen of Japan, residing at 2765 St. Andrews Square, Apartment 1843, Allison Park, Pennsylvania 15101, have invented certain new and useful improvements in **SOLID SUPPORT DIRHODIUM CATALYST COMPOSITIONS AND METHODS FOR MAKING AND USING SAME** for which We have executed an application for Letters Patent in the United States, filed as U.S. Serial No. 10/229,392 on August 27, 2002;

AND WHEREAS, The Research Foundation of State University of New York, with its principal place of business at UB Commons, Suite 200, Amherst, New York 14228-2567, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, We, the said inventors, do hereby acknowledge that We have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said The Research Foundation of State University of New York, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said The Research Foundation of State University of New York, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that We will communicate to the said The Research Foundation of State University of New York, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in

any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said The Research Foundation of State University of New York, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 2002.

Huw M. L. Davies

STATE OF _____
COUNTY OF _____ SS:

This _____ day of _____, 2002, before me personally came the above-named **Huw M. L. Davies**, to me personally known as the individual who executed the same of his own free will for the purposes therein set forth.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 19th day of September, 2002.

Tadamichi Nagashima

Tadamichi Nagashima

STATE OF Pennsylvania
COUNTY OF Allegheny SS:

This 19th day of September, 2002, before me personally came the above-named **Tadamichi Nagashima**, to me personally known as the individual who executed the same of his own free will for the purposes therein set forth.

Sara E. Naccarato
Notary Public

I:\007\00071\18

