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FINANCE SECTION

OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

KIM, Su Hyeon  
KIM, Jong Yeon  
YU, Jin

## 2. Name and address of receiving party(ies)

Name: Korea Advanced Institute of Science and Technology

Internal Address: 373-1, Guseong-dong

Yuseong-ku, Taejeon-city 305-701

Republic of Korea

Street Address: 373-1 Guseong-dong, Yuseong-ku

Taejeon-city 305-701, Republic of Korea

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 08/26/2002

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/232,471 with file  
date 08/30/2002

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John K. Abokhair, Esq.

Internal Address: Roberts Abokhair &amp; Mardula LLC

11800 Sunrise Valley Drive, Suite 1000

Reston, VA 20191

Street Address: Roberts Abokhair &amp; Mardula LLC

11800 Sunrise Valley Drive, Suite 1000

City: Reston State: VA Zip: 20191

## 6. Total number of applications and patents involved: 01

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☐ Authorized to be charged to deposit account☒ Fee previously paid. See Document ID No. 102214915.

## 8. Deposit account number:

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## 9. Signature.

John K. Abokhair, Esq.

Name of Person Signing

Signature

12/09/2002

Date

Total number of pages including cover sheet, attachments, and documents: 08

Mail documents to be recorded with required cover sheet information to:  
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08/30/02

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102214915

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2791-006

*First Inventor* | KIM, Su Hyeon

<b>Title</b>	Method of Fabricating Multilayered UBM for Flip Chip Interconnections by Electroplating
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Express Mail Label No. EV 089822464 US

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

ADDRESS TO: Assistant Commissioner for Patent  
Box Patent Application  
Washington, DC 20231

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|---|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <input ]<br="" type="text" value="17"/><i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input ]<="" p="" type="text" value="04"/> <p>5. Oath or Declaration [Total Pages <input ]<="" p="" type="text" value="21"/> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br/><i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> </p></p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|---|---|

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
*(when there is an assignee)*

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15. ☒ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/30 or its equivalent.

17. ☐ Other: \_\_\_\_\_

### ACCOMPANYING APPLICATION PARTS

9. ☒ Submission Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
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15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

**Prior application information:**

**Examiner:**


Group Art Unit:

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion of the disclosure is intentionally omitted from the submitted application parts.

19 CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label  or ☒ Correspondence address below

<i>Name</i>	Roberts Abokhair & Mardula, LLC						
<i>Address</i>	11800 Sunrise Valley Drive						
	Suite 1000						
<i>City</i>	Reston			<i>State</i>	VA	<i>Zip Code</i>	20191
<i>Country</i>	USA		<i>Telephone</i>	703-391-2900	<i>Fax</i>	703-391-2901	

Name (Print/Type)	John K. Abokhair	Registration No. (Attorney/Agent)	30,537
Signature		Date	08/30/2002

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**PATENT**  
**REEL: 013559 FRAME: 0370**

02 FC:581 40.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of KIM, Su Hyeon, KIM, Jong Yeon and YU, Jin

Serial No.: Not Yet Issued

Group Art Unit:

Filed: HERewith

Examiner:

FOR: **METHOD OF FABRICATING MULTILAYERED UBM FOR FLIP CHIP  
INTERCONNECTIONS BY ELECTROPLATING**

\* \* \* \* \*  
**ASSIGNMENT Pursuant to 37 CFR § 3.56**  
\* \* \* \* \*

I, KIM, Su Hyeon, residing at Dept. of Materials Science and Engineering, KAIST, 373-1, Guseong-dong, Yuseong-gu, Daejeon-city 305-701, Republic of Korea, I, KIM, Jong Yeon, residing at Dept. of Materials Science and Engineering, KAIST, 373-1, Guseong-dong, Yuseong-gu, Daejeon-city 305-701, Republic of Korea, and I, YU, Jin, residing at Dept. of Materials Science and Engineering, KAIST, 373-1, Guseong-dong, Yuseong-gu, Daejeon-city 305-701, Republic of Korea for good and valuable consideration, the sufficiency of which is hereby acknowledged, do hereby sell, assign and transfer unto KOREA ADVANCED INSTITUTE OF SCIENCE AND TECHNOLOGY., a corporation organized under the laws of the REPUBLIC OF KOREA, having its principal office at 373-1, Guseong-dong, Yuseong-ku, Taejeon-city 305-701, Republic of Kroeoa (hereinafter "ASSIGNEE,"), the entire right, title and interest, together with all rights of priority, in and to my invention for an **METHOD OF FABRICATING MULTILAYERED UBM FOR FLIP CHIP INTERCONNECTIONS BY ELECTROPLATING** described and/or claimed in my application for Letters Patent of the United States of America, Serial No. (not yet assigned) filed (herewith) as well as all foreign counterparts thereof, including any

application filed in the **Patent Cooperation Treaty (PCT)** and any national stage filing resulting from any such PCT filing together with all Letters Patent issuing on the aforesaid application for Letters Patent, the same to be held and enjoyed by ASSIGNEE, its successors, assigns or other legal representatives, to the full ends of the terms of all said Letters Patent therefore which may be granted.

**I HEREBY AUTHORIZE ASSIGNEE** to make application for and to receive Letters Patent for said invention in any foreign countries in its own name, or in my name, at its election.

**AND I HEREBY COVENANT AND AGREE** that I will execute or procure any further necessary assurance of title to said invention and any Letters Patent which may issue therefore and that I will, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any legal proceedings and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefore in ASSIGNEE, its successors, assigns, or other legal representatives, and that I will, at any time, upon the request and at the expense of the ASSIGNEE execute any continuations, divisions, reissues, or any other additional applications for Letters Patent for said invention or any part or parts thereof, all of which applications and any Letters Patent issuing thereof are hereby assigned to ASSIGNEE, and will make all rightful oaths, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

**AND I HEREBY AUTHORIZE AND REQUEST** the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid application to assignee.

WITNESS MY HAND and seal this 26 of August, 2002.

Su Hyeon Kim  
SU HYEON KIM

STATE OF Daejeon - City  
COUNTY OF Republic of Korea

On this \_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_ personally appeared before me SU HYEUN KIM to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

\_\_\_\_\_  
Notary Public  
My commission expires:  
\_\_\_\_\_

WITNESS MY HAND and seal this 26 of August, 2002.

Kim Jong Yeon  
JONG YEON KIM

STATE OF Daejeon - City  
COUNTY OF Republic of Korea

On this \_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_ personally appeared before me JONG YEON KIM to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

\_\_\_\_\_  
Notary Public  
My commission expires:  
\_\_\_\_\_

WITNESS MY HAND and seal this 26 of August, 2002.

Jin Yu  
JIN YU

STATE OF Daejeon - City  
COUNTY OF Republic of Korea

On this \_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_ personally appeared before me JIN YU to me known, and known by me to be the same person described in and who

executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

---

Notary Public

My commission expires:

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