

12-17-2002

FORM PTO-1596  
1-31-92

ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

DOCKET NO.: 4504-065

102313380

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of conveying party(ies):

Che-Kuei Mai

12/12/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ OtherExecution Date: November 25, 2002

2. Name and address of receiving party(ies):

Name: Toppoly Optoelectronics Corp.

Internal Address:

Street Address: No. 12, Ke Jung Rd.  
Science-Based Industrial Park  
Chu-Nan 350, Miao-Li CountyCity: Taiwan State/Country: R.O.C. ZIP:Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is: November 25, 2002

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LOWE HAUPTMAN GILMAN & BERNER

Internal Address:

Street Address: 1700 Diagonal Road, Suite 300City: Alexandria State: VA ZIP: 223146. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$40.00

☒ Charge to credit card (form attached)☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Kenneth M. Berner, Reg. No. 37,093  
Name and Registration No. of Person SigningKenneth M. Berner  
SignatureDecember 12, 2002  
DateTotal number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

12/13/2002 SSESHE1 00000029 10317062

03 FC:8021

40.00 DP

PATENT  
REEL: 013566 FRAME: 0938

Docket No.:

## ASSIGNMENT

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

(1) <u>Che-Kuei Mai</u>	(4) _____
(2) _____	(5) _____
(3) _____	(6) _____

who have made a certain new and useful invention, hereby sell, assign and transfer unto

Toppoly Optoelectronics Corp.  
No. 12, Ke Jung Rd., Science-Based Industrial Park, Chu-Nan 350, Miao-Li County, Taiwan, R.O.C.

its successors and assigns (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

PLANE LIGHT SOURCE STRUCTURE FOR PLANAR DISPLAY

(a) for which an application for United States Letters Patent was filed on \_\_\_\_\_, and identified by United States Serial No. \_\_\_\_\_; or

(b) for which an application for United States Letters Patent was executed on \_\_\_\_\_,

and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

SIGNED on the dates indicated aside our signatures:

## INVENTORS

## DATE SIGNED

1) Che-Kuei Mai  
 Name: Che-Kuei Mai  
 2) \_\_\_\_\_  
 Name: \_\_\_\_\_  
 3) \_\_\_\_\_  
 Name: \_\_\_\_\_  
 4) \_\_\_\_\_  
 Name: \_\_\_\_\_  
 5) \_\_\_\_\_  
 Name: \_\_\_\_\_  
 6) \_\_\_\_\_  
 Name: \_\_\_\_\_

11/25/02  
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