

12-18-2002

Substitute Form PTO -1595



102315529

Attorney Docket No.
AUS920020251US1

To The Honorable Commissioner of Patents and trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Execution date:

Paul T. Baffes
John Michael Garrison
Michael Gilfix
Allan Hsu
Tyron Jerrod Stading
Ronald S. Woan
John D. Wolpert
Shawn L. Young

12/05/02

November 20, 2002
November 20, 2002
November 20, 2002
November 20, 2002
November 20, 2002
December 4, 2002
November 11, 2002
November 11, 2002

2. Name and address of receiving party(ies):
International Business Machines Corporation
New Orchard Road
Armonk, New York 10504

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

4. Application number(s) or patent number(s):

Execution/Filing/Issue Date

☒ New Patent Application, execution date of application

11-20-02, 11-20-02, 11-20-02
11-22-02, 11-20-02, 12-4-02, 11-14-02
Execution Date 11-14-02

☐ Patent Application Number: _____

Filing Date

☐ Patent Number: _____

Issue Date

5. Name and address of party to whom
correspondence concerning document should
be mailed:

Robert M. Carwell, Esq.
IBM Corporation
Intellectual Property Law
Zip 4054
11400 Burnet Road
Austin, Texas 78758

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41). \$40.00

☐ Enclosed
☒ Authorized to be charged to deposit account
☐ Authorized to charge any deficiency to deposit account

8. Deposit account number:

09-0447

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is
a true copy of the original document.

Robert M. Carwell

Name of Person Signing

Robert M. Carwell

Signature

12/04/02

Date

Total number of pages including cover sheet, attachments, and documents: 7

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013572 FRAME: 0861

10/313728
12/05/02

ASSIGNMENT

Whereas, we,

- (1) Paul T. Baffes of Austin, Travis County, Texas;
- (2) John Michael Garrison of Austin, Travis County, Texas;
- (3) Michael Gilfix of Austin, Travis County, Texas;
- (4) Allan Hsu of Centerville, Montgomery County, Ohio;
- (5) Tyron Jerrod Stading of Austin, Travis County, Texas;
- (6) Ronald S. Woan of Austin, ~~Travis~~ ^{RSW} County, Texas; **WILLIAMSON**
- (7) John D. Wolpert of Austin, Travis County, Texas; and
- (8) Shawn L. Young of Austin, Travis County, Texas

have invented certain improvements in

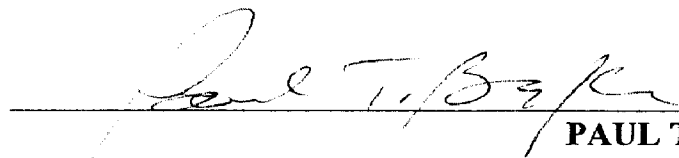
DEFENSE MECHANISM FOR SERVER FARM

and executed, respectively, a United States patent application therefor on (Date Inventor Signed Declaration) (1) November 20, 2002; (2) November 20, 2002; (3) November 20, 2002, (4) _____, 2002; (5) November 20, 2002, (6) 11/14, 2002; (7) 11/14/02, 2002, and (8) 11/14, 2002.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at AUSTIN on November 20, 2002.


PAUL T. BAFFES

(2) Signed at Austin on November 20, 2002.

John Michael Garrison
JOHN MICHAEL GARRISON

(3) Signed at Austin on November 20, 2002.

Michael Gilfix
MICHAEL GILFIX

(4) Signed at _____ on _____, 2002.

ALLAN HSU

(5) Signed at Austin on November 20, 2002.

Tyron Jerrod Stading
TYRON JERROD STADING

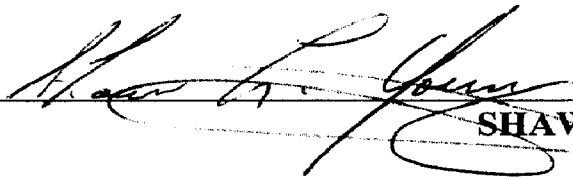
(6) Signed at Austin on December 4, 2002.

Ronald S. Woan
RONALD S. WOAN

(7) Signed at Austin on 11/14/02, 2002.

John D. Wolpert
JOHN D. WOLPERT

(8) Signed at Austin, TX on Nov 14, 2002.


SHAWN L. YOUNG

ASSIGNMENT

Whereas, we,

- (1) Paul T. Baffes of Austin, Travis County, Texas;
- (2) John Michael Garrison of Austin, Travis County, Texas;
- (3) Michael Gilfix of Austin, Travis County, Texas;
- (4) Allan Hsu of Centerville, Montgomery County, Ohio;
- (5) Tyron Jerrod Stading of Austin, Travis County, Texas;
- (6) Ronald S. Woan of Austin, Travis County, Texas;
- (7) John D. Wolpert of Austin, Travis County, Texas; and
- (8) Shawn L. Young of Austin, Travis County, Texas

have invented certain improvements in

DEFENSE MECHANISM FOR SERVER FARM

and executed, respectively, a United States patent application therefor on (Date Inventor Signed Declaration) (1) _____, 2002; (2) _____, 2002;
 (3) _____, 2002, (4) 11-22-2002, 2002;
 (5) _____, 2002, (6) _____, 2002;
 (7) _____, 2002, and (8) _____, 2002.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at _____ on _____, 2002.

PAUL T. BAFFES


(2) Signed at _____ on _____, 2002.

JOHN MICHAEL GARRISON

(3) Signed at _____ on _____, 2002.

MICHAEL GILFIX

(4) Signed at Palo Alto, CA on 11-22-2002, 2002.



ALLAN HSU

(5) Signed at _____ on _____, 2002.

TYRON JERROD STADING

(6) Signed at _____ on _____, 2002.

RONALD S. WOAN

(7) Signed at _____ on _____, 2002.

JOHN D. WOLPERT

(8) Signed at _____ on _____, 2002.

SHAWN L. YOUNG