

12-18-2002

HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



To the Honorable Commissioner

the attached original documents or copy thereof.

102314387

1. Name of conveying party(ies):

- 1. Christopher Richard Doerr
- 2. David S. Levy
- 3. Timothy O. Murphy
- 4. Alice Elizabeth White

12-16-02

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date(s): Oct. 7, 2002; Oct. 9, 2002; Nov. 21, 2002; and Dec. 6, 2002

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: \_\_\_\_\_

Street Address: 600 Mountain Avenue  
P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/228800

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Room 3J-219

Street Address: 101 Crawfords Corner Road

City: Holmdel State: NJ ZIP: 07733-3030

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Sasso

Name of Person Signing

Signature

12/10/02

Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignment  
Washington, D.C. 20231

Case Name/No.: Doerr 61-4-6-6

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on DEC. 10, 2002

PATENT

REEL: 13575 FRAME: 0280

FINANCE SECTION  
DEC 10 AM 7:59  
RECORDS

12/18/2002 MUELLER 0000065 122325 102314387 40.00 CH 01 FC-0021

## ASSIGNMENT AND AGREEMENT

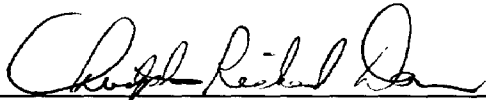
For value received, we, Christopher Richard Doerr, of Middletown in the County of Monmouth and the State of New Jersey, and David S. Levy of Freehold in the County of Monmouth and the State of New Jersey, and Timothy O. Murphy, of Fairport in the County of Monroe and the State of New York, and Alice Elizabeth White, of Summit in the County of Union and the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Integrated Reconfigurable Optical Add/Drop Multiplexer** described in an application for Letters Patent of the United States, Serial No. 10/228800, filed August 27, 2002, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

  
 \_\_\_\_\_  
 Christopher Richard Doerr

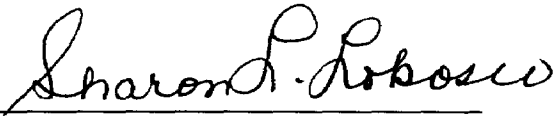
Date: 11/21/2002

United States of America )

State of New Jersey ) ss.:

County of Monmouth)

On this 21<sup>st</sup> day of November, 2002, before me personally came, Christopher Richard Doerr to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
 \_\_\_\_\_  
 Notary Public

SHARON L. LOBOSCO  
 Notary Public, State of New Jersey  
 No. 2278076  
 Qualified in Ocean County  
 Commission Expires August 14, 2006

David S. Levy  
David S. Levy

Date: 10/7/02

United States of America )

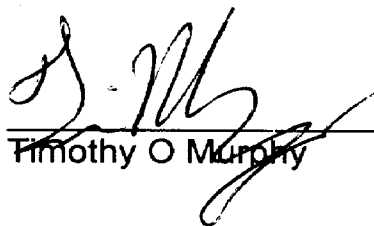
State of New Jersey ) ss.:

County of Monmouth)

On this 7<sup>th</sup> day of October, 2002, before me personally came, David S. Levy, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sharon L. Lobosco  
Notary Public

SHARON L. LOBOSCO  
Notary Public, State of New Jersey  
No. 2278076  
Qualified in Ocean County  
Commission Expires August 14, 2006

  
 \_\_\_\_\_  
 Timothy O Murphy

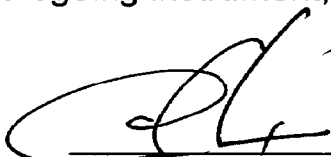
Date: 12.6.02

United States of America )

State of NY ) ss.:

County of MONROE )

On this 6<sup>th</sup> day of DEC., 2002, before me personally came, Timothy O Murphy to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
 \_\_\_\_\_  
 Notary Public

**ANTHONY V. GALLO**  
 Notary Public in the State of New York  
 Monroe County  
 Commission Expires 10/23/ 2006

Alice E. White  
Alice Elizabeth White

Date: 10/9/02

United States of America )  
State of New Jersey ) ss.:  
County of Union )

On this 9 day of October, 2002, before me personally came, Alice Elizabeth White to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

A. R. Cruz  
Notary Public  
**AVA R. CRUZ**  
**NOTARY PUBLIC OF NEW JERSEY**  
Commission Expires 12/07/2004

Lucent Technologies Inc.  
Docket Administrator (Room 3C-512)  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030