

12-19-2002



102314961

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
M&G- 13033.5US11

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p style="text-align: center; font-size: 1.5em;">12-16-02</p> <p>Anja K. Metzger Brian J. Erickson John P. Sopp Mark B. Knudson Timothy R. Conrad</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Pi Medical, Inc. 2800 Patton Road St. Paul, Minnesota 55113</p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
---	--

3. Nature of conveyance:

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Other:	

Execution Date: November 12, 2002, November 13, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)	B. Patent No.(s)
<u>10/237,149</u>	

Additional numbers attached? Yes No

RECORDS SECTION
NOV 15 AM 7:45
102314961

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: David G. Schmaltz Address: Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903</p>	<p style="text-align: center; font-size: 1.2em;">23552</p> <p style="text-align: center; font-size: 0.8em;">PATENT TRADEMARK OFFICE</p>	<p>6. Total number of applications and patents involved: 1</p> <p>7. Total fee (37 CFR 3.41): \$40.00</p> <p><input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725</p>
---	--	--

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p>David G. Schmaltz</p> <hr/> <p>Name of Person Signing</p>	<hr/> <p>Signature</p>	<p>December 9, 2002</p> <hr/> <p>Date</p>
--	------------------------	---

Total number of pages including cover sheet, attachments, and document: 5

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Box Assignments
Director - U.S. Patent and Trademark Office
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

12/18/2002 GTOM11 00000209 10237149
01 FC:8021 40.00 OP

PATENT
REEL: 013577 FRAME: 0394

ASSIGNMENT

WHEREAS, we, Anja K. Metzger, residing at 13683 47th Street North, Stillwater, Minnesota 55082, Brian J. Erickson, residing at 3453 Gunston Lane, Woodbury, Minnesota 55129, John P. Sopp, residing at 7425 North Shore Circle, Forest Lake, Minnesota 55025, Mark B. Knudson, residing at 1309 West Royal Oaks Drive, Shoreview, Minnesota 55126 and Timothy R. Conrad, residing at 12557 Riverview Road, Eden Prairie, Minnesota 55347, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on September 6, 2002, having Serial No. 10/237,149, which is entitled Stiffening Pharyngeal Wall Treatment.

AND WHEREAS, Pi Medical, Inc., a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 2800 Patton Road, St. Paul, Minnesota 55113 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and

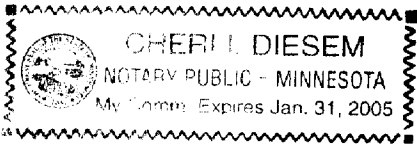
IN TESTIMONY WHEREOF, I have hereunto set my hand this 12 day of

November, 2002

Brian J. Erickson
Brian J. Erickson

STATE OF Minnesota)
COUNTY OF Ramsey) ss.

On this 12th day of November, 2002, before me personally appeared Brian J. Erickson to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL] 

Cheri I. Diesem
Notary Public


IN TESTIMONY WHEREOF, I have hereunto set my hand this 12 day of

NOVEMBER, 2002.

John P. Sopp
John P. Sopp

STATE OF Minnesota)
COUNTY OF Ramsey) ss.

On this 12th day of November, 2002, before me personally appeared John P. Sopp to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL] 

Cheri I. Diesem
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 12th day of

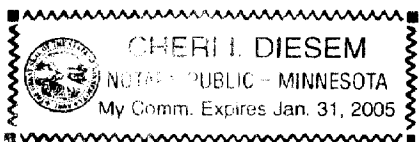
November, 2002

Mark B. Knudson
Mark B. Knudson

STATE OF Minnesota)
COUNTY OF Ramsey) ss.

On this 12th day of November, 2002 before me personally appeared Mark B. Knudson to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Cheri I. Diesem
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 12th day of

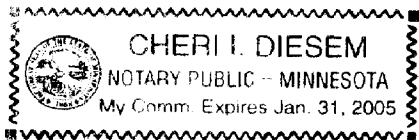
November, 2002.

Timothy R. Conrad
Timothy R. Conrad

STATE OF Minnesota)
COUNTY OF Ramsey) ss.

On this 12th day of November, 2002 before me personally appeared Timothy R. Conrad to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]



Cheri I. Diesem
Notary Public