

12-19-2002



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Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Yann Geron, as Chapter 7 Trustee of the Estate of Application Security, Inc. Additional name(s) of conveying party(ies) attached? [ ] Yes [x] No

12-13-02

2. Name and address of receiving party(ies) Name: AS Acquisition, Inc. Internal Address: Street Address: 117 East 24th Street Suite 2A City: New York State: NY Zip: 10010 Additional name(s) & address(es) attached? [ ] Yes [x] No

OFFICE OF PATENT RECORDS 2007 DEC 13 AM 9:26 FINANCE SECTION

3. Nature of conveyance: [x] Assignment [ ] Merger [ ] Security Agreement [ ] Change of Name [ ] Other Execution Date: 10/31/2002

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 06/315900 B. Patent No.(s) Additional numbers attached? [ ] Yes [x] No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: Miriam J. Rovner Internal Address: Goodwin Procter LLP Street Address: Exchange Place 53 State Street City: Boston State: MA Zip: 02109

6. Total number of applications and patents involved: 7 Total fee (37 CFR 3.41).....\$ 40.00 [x] Enclosed [x] Authorized to be charged to deposit account 8. Deposit account number: 07-1700

DO NOT USE THIS SPACE

9. Signature. Robert M. O'Connell, Jr. Name of Person Signing [Signature] Signature December 13, 2002 Date

12/18/2002 DBYRNE 00000200 06315900 Total number of pages including cover sheet, attachments, and documents: 4 Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231 40.00 OP

PATENT REEL: 013577 FRAME: 0802

**NOTICE OF  
PATENT APPLICATION ASSIGNMENT**

Effective Date: October 31, 2002

**WHEREAS**, YANN GERON ("ASSIGNOR"), solely in his capacity as Chapter 7 Trustee of the estate of APPLICATION SECURITY, INC., a corporation organized and existing under the laws of the State of New York, under case no. 02-14573 (ALG), pending in the United States Bankruptcy Court for the Southern District of New York, is the owner of the invention DBENCRYPT FOR THE KEY MANAGEMENT SYSTEM (the "Invention") for which an application for a United States Patent was filed and the application was assigned Serial No. 60/315900 (the "Application"); and

**WHEREAS**, AS ACQUISITION, INC., a corporation organized and existing under the laws of the State of Delaware ("ASSIGNEE"), is desirous of obtaining the entire right, title and interest in, to and under the Invention, the Application, and any patents which have been or may be granted therefrom, effective as of the Effective Date hereof; and

**WHEREAS**, the ASSIGNOR and ASSIGNEE have entered into an Asset Purchase Agreement dated as of September 26, 2002 (the "Purchase Agreement") pursuant to which, among other things, ASSIGNEE has agreed to purchase from ASSIGNOR, and ASSIGNOR has agreed to sell to ASSIGNEE, among other things, the Invention.

**NOW, THEREFORE**, in consideration of the premises set forth above and in the Purchase Agreement, and pursuant to the terms therein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, transferred and set over unto the ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the Invention, and the Application and all divisions, renewals and continuations thereof, and all Patents of the United States which have been or may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for the Invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from the Application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for the Invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

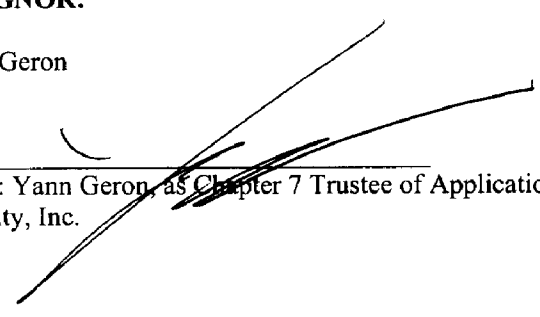
**AND ASSIGNOR HEREBY** authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument and the Purchase Agreement.

(Signatures on following page)

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be executed by its duly authorized representative, on this 31st day of October, 2002 with effect as of that date.

**ASSIGNOR:**

Yann Geron

By:   
Name: Yann Geron, as Chapter 7 Trustee of Application  
Security, Inc.

STATE OF NEW YORK

COUNTY OF New York ) SS.  
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On this 31<sup>st</sup> day of October \_\_\_\_, 2002, before me personally appeared Yann Geron, to me personally known, who, being by me duly sworn, did say that (s)he is the Chapter 7 Trustee of the estate of Application Security, Inc., a New York corporation, and that said instrument was signed and sealed on behalf of said corporation, by all necessary authority; and that said instrument was the free act and deed of said corporation.

In witness whereof, I have hereunto attached my hand and notarial seal, at the County and State aforesaid on the day and year last above written.



(Signature), Notary Public

My Commission Expires: 02/22/06

**FRED STEVENS**  
**Notary Public, State of New York**  
**No. 02ST6037653**  
**Qualified in Nassau County**  
**Commission Expires February 22, 2006**