

12-20-2002



SHEET

Substitute Form PTO-1595 Attorney Docket No.: 09868-010001

102318432\_\_\_\_

1. Name of conveying party(ies): 12-13-02	Name and address of receiving party(ies):
Ciena Corporation	Ciena Properties, Inc.
Additional name(s) attached? ☐ Yes ☒ No	1201 North Market Street
3. Nature of conveyance:	Wilmington, DE 19801-1147
☑ Assignment	
☐ Merger	
☐ Security Agreement ☐ Change of Name	
☐ Other:	
Execution Date: April 1, 1997	Additional names/addresses attached? ☐ Yes 図 No
4. Application number(s) or patent number(s):	
If this document is being filed with a new application, the execution	on date of the application is:
A. Patent Application No(s).:	B: Patent No(s).:
08/784,909; 08/759,965; 08/672,275;	5,600,473; 5,579,143; 5,557,442; 5,555,118
08/669,049; 08/668,746; 08/636,901;	5,467,212; 5,416,629; 5,400,166; 5,373,389
08/624,269; 08/623,356; 08/554,976;	5,321,707; 5,321,543; 5,295,209; 5,293,545
08/514,422; 08/512,294; 08/366,472	5,271,024; 5,268,910; 5,257,124; 5,243,609
	5,212,579; 5,210,633; 5,208,819; 5,200,964
	5,191,586; 5,187,760; 5,159,601; 5,166,82
	5,151,908; 5,140,456; 5,134,620; 5,119,44
	5,107,360
	attached? ☐ Yes ☒ No
<ol><li>Name/address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of applications/patents involved: 41
JOHN F. HAYDEN	7. Total fee (37 CFR §3.41): \$1,640
Fish & Richardson P.C.	☑ Enclosed
1425 K Street, N.W.	☐ Authorized to charge Deposit Account.
11th Floor Washington, DC 20005-3500	8. Deposit Account No.: 06-1050
washington, DC 20000-3000	图 Please apply any additional charges, or any credits, to d
	Deposit Account No. 06-1050.
DO NOT US	E THIS SPACE
9. Statement and Signature: To the best of my knowledge an	d bolief the foregoing information is two and
<ul> <li>Statement and Signature: To trie best of my knowledge an any attached copy is a true copy of the original documer</li> </ul>	
any account copy is a case copy of the original documen	16.
	$\mathcal{N}_{\mathcal{L}}$
John F. Hayden	
Reg. No. 37,640	December 13, 2002
Name of Person Signing Signature	Date
Tn	tal number of pages including coversheet, attachments and docur
40133858.doc	

### ASSIGNMENT OF PATENTS

WHEREAS, CIENA Corporation, a Delaware corporation whose principal place of business is located in Linthicum, Maryland (the "Assignor") is the owner of the following United States patents and pending United States patent applications identified as "Class A Patents" and "GI Patents" in Exhibits A and B attached hereto:

WHEREAS, CIENA Properties, Inc., a Delaware corporation whose principal place of business is located in Wilmington, Delaware (the "Assignee") is desirous of acquiring the above-identified Class A Patents and GI Patents;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor does hereby assign to Assignee, the Assignor's entire right, title and interest in and to the above-identified Class A Patents and GI Patents as identified in the attached Exhibits A and B.

IN TESTIMONY WHEREOF, Assignor has caused this Assignment to be executed effective as of the 1st day of April, 1997.

CIENA Corporation a Delaware corporation

By:

PATENT REEL: 013578 FRAME: 0375

## EXHIBIT A

# CLASS A PATENTS

TITLE	ISSUE DATE	PATENT #
OPTICAL AMPLIFIERS SYSTEMS WITH ADD/DROP MULTIPLEXING	Feb 4, 1997	5,600,473
OPTICAL SYSTEM WITH TUNABLE INFIBER GRATINGS	Nov. 26, 1996	5,579,143
OPTICAL AMPLIFIERS WITH FLAT- TENED GAIN CURVES	Sept. 17, 1996	5,557,442
METHOD FOR REMOVING AND INSERT- ING OPTICAL CARRIERS IN A WDM OPTICAL COMMUNICATION SYSTEM	Sept. 10, 1996	5,555,118
ADDRESSABLE GRATING MODULATION SYSTEM FOR OPTICAL CABLE TV SYSTEM	Nov. 14, 1995	5,467,212
PATENT APPLICATIONS: TITLE		WO
	FILING DATE	SERIAL NO.
MODULAR OPTICAL AMPLIFIER AND CASSETTE SYSTEM	Jan. 16, 1997	08/784,909
OPTICAL ADD-DROP MULTIPLEXERS FOR WDM OPTICAL COMMUNICATION SYSTEMS	Dec. 3, 1996	08/759,965
OPTICAL MONITORING CHANNEL FOR WAVELENGTH DIVISION MULTIPLEXED OPTICAL COMMUNICATION SYSTEM	June 28, 1996	08/672,275
REMODULATING CHANNEL SELECTORS FOR WDM OPTICAL COMMUNICATION SYSTEMS	June 24, 1996	08/669,049
WDM OPTICAL COMMUNICATION SYSTEM WITH REMODULATORS AND REMODULATING CHANNEL SELECTORS	June 24, 1996	08/668,746
OPTICAL CABLE TV SYSTEM	Mar. 29, 1996	08/636,901

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PATENT REEL: 013578 FRAME: 0376

WDM OPTICAL COMMUNICATION SYS- TEM WITH REMODULATORS AND DI- VERSE OPTICAL TRANSMITTERS	Mar. 29, 1996	08/624,269
BIDIRECTIONAL WDM OPTICAL COM- MUNICATIONS SYSTEMS WITH BIDIRECTIONAL OPTICAL AMPLIFI- ERS	Mar. 28, 1996	08/623,356
WAVELENGTH DIVISION MULTIPLEXED OPTICAL COMMUNICATION SYSTEMS EMPLOYING UNIFORM GAIN OPTICAL AMPLIFIERS	Nov. 10, 1995	08/554,976
OPTICAL DEMULTIPLEXERS WITH GRATING REFLECTORS	Aug. 11, 1995	08/514,422
DYNAMICALLY RECONFIGURABLE WDM OPTICAL COMMUNICATION SYSTEMS WITH OPTICAL ROUTING SYSTEMS	Aug. 8, 1995	08/512,294
SWITCH AND INSERTION NETWORKS IN OPTICAL CABLE TV SYSTEMS	Dec. 30, 1994	08/366,472
BIT-RATE TRANSPARENT WDM OPTI- CAL COMMUNICATION SYSTEM WITH REMODULATORS	Mar, 18, 1997	Awaiting Notification From Office
STRAIN-RELIEVING FIBER OPTIC PACKAGE	April 9, 1997	Awaiting Notification From Office

## EXHIBIT B

# GI PATENTS

TITLE	ISSUE DATE	PATENT #
INTENSITY MODULATED DIGITAL OPTICAL COMMUNICATIONS USING A FREQUENCY MODULATED SIGNAL LASER	5/16/95	5,416,629
COMMUNICATION OF SIGNALS SHARING A SINGLE OPTICAL SOURCE	3/21/95	5,400,166
METHOD OF LINEARIZING AN UN- BALANCED MACH ZEHNDER OPTICAL FREQUENCY DISCRIMINATOR	12/13/94	5,373,389
REMOTE PUMPING FOR ACTIVE OPTICAL DEVICES	6/14/94	5,321,707
APPARATUS AND METHOD FOR LINEARIZING AN EXTERNAL OPTICAL MODULATOR	6/14/94	5,321,543
SPONTANEOUS EMISSION SOURCE HAVING HIGH SPECTRAL DENSITY AT A DESIRE WAVELENGTH	3/15/94	5,295,209
OPTICAL SOURCE WITH REDUCED RELATIVE INTENSITY NOISE	3/18/94	5,293,545
OPTICAL FIBER AMPLIFIER AND LASER WITH FLATTENED GAIN SLOPE	12/14/93	5,271,024
SUPERLUMINESCENT OPTICAL SOURCE	12/7/93	5,268,910
LOW DISTORTION LASER SYSTEM FOR AM FIBER OPTIC COMMUNICATION	10/26/93	5,257,124
LASER WITH LONGITUDINAL MODE SELECTION	9/7/93	5,243,609
METHOD AND APPARATUS FOR COM- MUNICATING AMPLITUDE MODULATED SIGNALS OVER AN OPTICAL COM- MUNICATION PATH	5/18/93	5,212,579

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PATENT REEL: 013578 FRAME: 0378

APPARATUS AND METHOD FOR LINEAR-IZING THE OPERATION OF AN EX-TERNAL OPTICAL MODULATOR	5/11/93	5,210,633
OPTICAL SOURCE WITH FREQUENCY LOCK TO AN IN-FIBER GRATING RESONATOR	5/4/93	5,208,819
BROAD LINEWIDTH LASERS FOR OPTICAL FIBER COMMUNICATION SYSTEMS	4/6/93	5,200,964
NARROW BEND INCOHERENT OPTICAL CARRIER GENERATOR	3/2/93	5,191,586
WAVELENGTH SELECTIVE COUPLER FOR HIGH POWER OPTICAL COM- MUNICATIONS	2/16/93	5,187,760
METHOD FOR PRODUCING A TUNABLE ERBIUM FIBER LASER	10/27/92	5,159,601
REDUCTION OF NON-LINEAR EFFECTS IN OPTICAL FIBER COMMUNICATION SYSTEMS AND METHOD OF USING SAME	11/24/92	5,166,821
LASER WITH LONGITUDINAL MODE SELECTION	9/29/92	5,151,908
LOW NOISE HIGH POWER OPTICAL FIBER AMPLIFIER	8/18/92	5,140,456
LASER WITH LONGITUDINAL MODE SELECTION	7/28/92	5,134,620
APPARATUS AND METHOD FOR EX- TERNALLY MODULATING AN OPTICAL CARRIER	6/2/92	5,119,447
OPTICAL TRANSMISSION OF RF SUB- CARRIERS IN ADJACENT SIGNAL BANDS	4/21/92	5,107,360

**RECORDED: 12/13/2002**