

12-20-2002

SHEET

U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks 102317324

and the attached original documents or copy thereof.

1. Name of conveying party(ies):
Alan B. Bachman

12/11/02

2. Name and address of receiving party(ies):

Name: EDWARDS LIFESCIENCES LLC

Internal Address:

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: One Edwards Way

City: Irvine

State: CA

ZIP: 92614

Execution Date: November 12, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/233,879

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John Christopher James

Internal Address: Edwards Lifesciences LLC

12/19/2002 TDIAT1 00000044 501225 10233879

01 FC:8021 40.00 CH

Street Address: One Edwards Way

City: Irvine

State: CA

ZIP: 92614

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1225 (ECV-5656)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Christopher James Reg. No. 40,660

Name of Person Signing

Signature

December 6, 2002

Date

Total number of pages including cover sheet, attachments, and document:

3

Customer NO. 30452

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 013581 FRAME: 0611

Whereas, I have invented certain new and useful improvements entitled SINGLE CATHETER MITRAL VALVE REPAIR DEVICE AND METHOD FOR USE

X for which an application for a United States Letters Patent was filed on September 3, 2002 as United States Application Number 10/233,879

___ for which an application for a United States Letters Patent is filed herewith.

Now therefore, in consideration of one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, I hereby convey, transfer and assign to EDWARDS LIFESCIENCES CORPORATION (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at One Edwards Way, Irvine, California, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in the above-mentioned invention or improvements, in the above-mentioned application for United States Letters Patent, and any and all other applications for United States Letters Patent and applications in any other countries which I may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of said applications, and in any reissue, reexam or extension thereof.

I hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said EDWARDS LIFESCIENCES CORPORATION

I hereby authorize and request the attorneys of record in said application to insert in this Assignment the date and serial number of said application when officially known.

I warrant myself to be the owner of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration I hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and C-I-P applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue, reexam or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient. For said consideration I further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division, continuation, or C-I-P thereof, or Letters Patent issued thereon, or any reexam, reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of my ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. I further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made, and for said considerations I hereby also assign to said assignee, its successors, legal representatives and assigns the entire rights, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and I further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all

documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

Date 11/12/02 Signature: X Alan B. Bachman

Typed Name: Alan B. Bachman

State of Connecticut, County of New Haven

On Nov. 12, 2002 before me Brenda Stabilein, Notary Public, personally appeared Alan B. Bachman, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Brenda Stabilein (Seal)
Signature of Notary

My Commission Exp. Feb. 28, 2006