FORM PTO-1595	REC	12-20)-2002	T u.s	. DEPARTMENT OF COMMERCE
BP 2097 12	1202			PA	TENT AND TRADEMARK OFFICE
To the Honorable Com	missioner of Patents an	1023	18347	J original docu	uments or copy thereof
Kwentus, Steven Ja	Hebsgaard, David Ha affe, Kelly Cameron, n-chieh (Jack) Chien	Stephen		dress of receiving pom Corporation	E .
Additional name(s) of convey	ring party(ies) attached? yes	■ no			J C
2002, Dec. 2, 2002, No	nt □ Ch . 19, 2002, Nov. 18, 2002 v. 27, 2002, Dec. 3, 2002 ov. 15, 2002, Nov. 15, 20	2, Dec. 5.	City: <u>Irvine</u> Sta Country: <u>United</u>		tip: <u>92618-7013</u>
4. Application number(s	s) or patent number(s):				
If this document is be	ing filed together with a ne	ew application, th	ne execution date o	of the application is	: December 5, 2002
A. Patent Application	No.(s)		B. Patent No.(s)	
	w/319		tached? □ Yes ■ No		
5 Name and address o	·			of applications and	
5. Name and address o concerning document	f party to whom correspo		6. Total number of	of applications and	1 1 1
	f party to whom correspo should be mailed:		6. Total number of	* *	1 1 1
concerning document Name: Bruce E. Ga	f party to whom correspo should be mailed:	ndence	6. Total number of patents involved	ed:	1 1 1
concerning document Name: Bruce E. Ga	f party to whom correspo should be mailed: arlick lick, Harrison & Mar	ndence	6. Total number of patents involved 7. Total fee (37 C ■ Enclosed □ Authorized to	ed:	\$ <u>40.00</u>
Name: Bruce E. Garlinternal Address: Garl	f party to whom correspo should be mailed: arlick lick, Harrison & Mar	ndence	6. Total number of patents involved. 7. Total fee (37 C	ed:	\$ <u>40.00</u>
concerning document Name: Bruce E. Ga Internal Address: Gar Street Address: P.O. City: Austin	f party to whom correspo should be mailed: arlick lick, Harrison & Mar	rkison, LLP	6. Total number of patents involved. 7. Total fee (37 Compared to the patents involved). ■ Enclosed	ed:	\$ 40.00 posit account
concerning document Name: Bruce E. Ga Internal Address: Gar Street Address: P.O. City: Austin	f party to whom corresponshould be mailed: arlick lick, Harrison & Mar Box 160727	ndence	6. Total number of patents involved. 7. Total fee (37 Compared to the patents involved). ■ Enclosed	be charged to dent number:	\$ 40.00 posit account
concerning document Name: Bruce E. Ga Internal Address: Gar Street Address: P.O. City: Austin	f party to whom corresponshould be mailed: arlick lick, Harrison & Mar Box 160727	rkison, LLP	6. Total number of patents involved. 7. Total fee (37 Compared to the patents involved). ■ Enclosed	be charged to dent number:	\$ 40.00 posit account
concerning document Name: Bruce E. Ga Internal Address: Gar Street Address: P.O. City: Austin State: TX Zip: 7	f party to whom corresponshould be mailed: arlick lick, Harrison & Mar Box 160727	rkison, LLP DO NOT USE	6. Total number of patents involved 7. Total fee (37 C) ■ Enclosed □ Authorized to 8. Deposit account (Attach duplicate co	ed:obe charged to dent number:	\$ 40.00 posit account
concerning document Name: Bruce E. Ga Internal Address: Gar Street Address: P.O. City: Austin State: TX Zip: 7 9. Statement and signal To the best of my knooriginal document. Bruce E. Garlici	f party to whom corresponshould be mailed: arlick lick, Harrison & Mar Box 160727 78716-0727 ture. ewledge and belief, the for	DO NOT USE	6. Total number of patents involved. 7. Total fee (37 Compared to the patents involved). Enclosed	be charged to dent number: opy of this page if paying the payin	posit account g by deposit account) ed copy is a true copy of the 1
concerning document Name: Bruce E. Ga Internal Address: Gar Street Address: P.O. City: Austin State: TX Zip: 7 9. Statement and signat To the best of my knotoriginal document.	f party to whom corresponshould be mailed: arlick lick, Harrison & Mar Box 160727 78716-0727 ture. ewledge and belief, the fork ing	rkison, LLP DO NOT USE	6. Total number of patents involved. 7. Total fee (37 Compared to the patents involved). Enclosed	be charged to dent number: opy of this page if paying the payin	posit account g by deposit account) ed copy is a true copy of the c. 13, 2002 Date

ASSIGNMENT FOR PATENT APPLICATION

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventor(s) Mark Dale, Anders Hebsgaard, David Hartman, Alan Kwentus, Steven Jaffe, Kelly Cameron, Stephen Krafft, Alan Gin, Jen-chieh (Jack) Chien, Dorothy Lin, Rocco Brescia, and Joyce Wang, the undersigned inventor(s) hereby sell(s) and assign(s) to Broadcom Corporation, a California corporation having a principal place of business at 16215 Alton Parkway, Irvine, California 92618-7013 (the Assignee) his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages:

check applicable box (es)	for the United States of America (as defined in 35 U.S.C.
	§ 100 et seq.),
	and throughout the world,

- (a) in the invention(s) known as **DOWNSTREAM TIME DOMAIN BASED ADAPTIVE MODULATION FOR DOCSIS BASED APPLICATIONS** (BP 2097) for which application(s) for patent in the United States of America has (have) been executed by the undersigned on <u>DECEMBEL</u>, NOV, 2002 in any and all applications thereon, in any and all Letters Patent(s) therefor, and
- (b) in any and all applications that claim the benefit of the patent application listed above in part (a), including continuing applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and
- (c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

The undersigned inventor(s) hereby represent(s) that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventor(s) hereby grant(s): Bruce E. Garlick, Registration No. 36,520, James A. Harrison, Registration No. 40,401, Timothy W. Markison, Registration No. 33,534, and Shayne X. Short, Registration No. 45,105; all of Garlick, Harrison & Markison, LLP, P.O. Box 160727, Austin, Texas 78716-0727, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned inventor(s) on the date opposite his/her name.

Mark Dale: Wash Dal	Date: 11/19/02
Anders Hebsgaard:	Date:
David Hartman:	Date: 1/15/02
Alan Kwentus: M Mrs.	Date:
Steven Jaffe:	Date: 11/27/62
Kelly Cameron:	Date: 12/3/02
Stephen Krafft: Jophun Mind M	
Stephen Krafft:	Date: 16/5/04

Attorney Docket No.: BP 2097

Rocco Brescia: (8005 A 10500 Date: 11/27/2002

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

The undersigned inventor(s) hereby represent(s) that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventor(s) hereby grant(s): Bruce E. Garlick, Registration No. 36,520, James A. Harrison, Registration No. 40,401, Timothy W. Markison, Registration No. 33,534, and Shayne X. Short, Registration No. 45,105; all of Garlick, Harrison & Markison, LLP, P.O. Box 160727, Austin, Texas 78716-0727, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned inventor(s) on the date opposite his/her name.

Mark Dale:	Date:
Anders Hebsgaard: Judino Helogrand	•
Anders Neusgaard.	Date
David Hartman:	Date:
Alan Kwentus:	Date:
Steven Jaffe:	Date:
Kelly Cameron:	Date:
Stephen Krafft:	Date:

æ 4

ASSIGNMENT FOR PATENT APPLICATION

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventor(s) Mark Dale, Anders Hebsgaard, David Hartman, Alan Kwentus, Steven Jaffe, Kelly Cameron, Stephen Krafft, Alan Gin, Jen-chieh (Jack) Chien, Dorothy Lin, Rocco Brescia, and Joyce Wang, the undersigned inventor(s) hereby sell(s) and assign(s) to Broadcom Corporation, a California corporation having a principal place of business at 16215 Alton Parkway, Irvine, California 92618-7013 (the Assignee) his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages:

check applicable box (es)	
	§ 100 et seq.),
	and throughout the world,

- (b) in any and all applications that claim the benefit of the patent application listed above in part (a), including continuing applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and
- (c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

The undersigned inventor(s) hereby represent(s) that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventor(s) hereby grant(s): Bruce E. Garlick, Registration No. 36,520, James A. Harrison, Registration No. 40,401, Timothy W. Markison, Registration No. 33,534, and Shayne X. Short, Registration No. 45,105; all of Garlick, Harrison & Markison, LLP, P.O. Box 160727, Austin, Texas 78716-0727, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned inventor(s) on the date opposite his/her name.

Mark Dale: Mark Dal	Date: 11/19/02
Anders Hebsgaard:	Date:
David Hartman:	Date: 11/15/02
	•
Alan Kwentus:	Date: 12/2/2002
Steven Jaffe:	Date: 11/27/62
	1
Kelly Cameron:	Date: 17/3/92
Stephen Krafft: Sophin Mind	Date: 12/5/02

Alan Gin: Clar Sh	Date: _	11/15/2002
Jen-chieh (Jack) Chien:) Data:	11/15/2002
		,
Dorothy Lin: Dryly	,	11/15/2002
Rocco Brescia: OCAS H Negoto	Date: _	11/27/2002
Joyce Wang:	_ Date: _	11/5/202

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

The undersigned inventor(s) hereby represent(s) that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventor(s) hereby grant(s): Bruce E. Garlick, Registration No. 36,520, James A. Harrison, Registration No. 40,401, Timothy W. Markison, Registration No. 33,534, and Shayne X. Short, Registration No. 45,105; all of Garlick, Harrison & Markison, LLP, P.O. Box 160727, Austin, Texas 78716-0727, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned inventor(s) on the date opposite his/her name.

Mark Dale:	Date:
Anders Hebsgaard: Judho Helsgaard	Date: <u>//-/8-02</u>
David Hartman:	Date:
Alan Kwentus:	Date:
Steven Jaffe:	Date:
Kelly Cameron:	Date:
Stephen Krafft:	Date:

99 4