

12-24-2002



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To the Honorable Commissioner of Patents and Trademarks: Please return attached original documents or copy thereof.

1. Name of conveying party(ies):
Phillip Alexander
John Pickens
12/16/02
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: UNITED TECHNOLOGIES CORPORATION
Internal Address: United Technologies Building
Hartford, CT 06101
Street Address: _____
City: _____ State: _____ Zip: _____
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____
Execution Date: 12/12/02 et seq.

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: 12/12/02
A. Patent Application No.(s) B. Patent No.(s)
Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Barry L. Kelmacher
Internal Address: BACHMAN & LaPOINTE, P.C.
900 Chapel Street, Suite 1201
New Haven, CT 06510-2802
Street Address: _____
12/24/2002 TDI A Z 1 00000031 210279 10320031
01 FC: 021 40.00 CH
City: _____ State: _____ Zip: _____

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41).....\$ 40.00
 Enclosed
 Authorized to be charged to deposit account
8. Deposit account number:
21-0279
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Barry L. Kelmacher
Name of Person Signing Signature Date
December 16, 2002

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, We, ^{PHILLIP}~~PHILIP~~ ALEXANDER, of 27 HICKORY RD, COLCHESTER CT 06415 and JOHN PICKENS, of 954 South Main St, Middletown, CT 06457, have invented certain improvements in a VARIABLE VANE ARM/UNISON RING ATTACHMENT SYSTEM, identified as Attorney Docket No. (02-349), and described in a patent application executed by the undersigned on the dates set after the signatures hereto, being owners of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and

interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have-hereunto set their hand and seal on the date after their signature.

(L.S.) 
Phillip Alexander

12/12/02
Date

(L.S.) _____
John Pickens

Date

ASSIGNMENT

WHEREAS, We, ^{PHILLIP}~~PHILIP~~ ALEXANDER, of 27 HICKORY RD, COLCHESTER CT 06415
and JOHN PICKENS, of 954 South Main St, Middletown, CT 06457,
have invented certain improvements in a VARIABLE VANE ARM/UNISON
RING ATTACHMENT SYSTEM, identified as Attorney Docket No. (02-
349) , and described in a patent application executed by the
undersigned on the dates set after the signatures hereto, being
owners of all right, title and interest in and to said
application and in and to any invention described therein, free
from all prior assignments, agreements, licenses, mortgages, or
other encumbrances whatsoever, and having full right to convey
the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford,
Connecticut, a corporation of the State of Delaware (assignee) is
desirous of acquiring the entire right, title and interest in and
to said invention or inventions and any and all patents to be
obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and
other good and valuable consideration, the receipt of which is
hereby acknowledged by the undersigned, the undersigned does
hereby sell, assign, transfer and set over unto said assignee,
its successors and assigns, the entire right, title and interest
in and to said invention or inventions, as described in the
aforesaid application, in any form or embodiment thereof, and in
and to the aforesaid application; and in and to any application
filed in any foreign country based thereon, including the right
to file said foreign applications under the provisions of the
International Convention; also the entire right, title and

