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10/318865

12/13/02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Keith B. Hicks
Neil A. Roth
Dennis D. Wetterich

12/13/02

2. Name and address of receiving party(ies)

Name: Caterpillar Inc.
Internal Address: Intellectual Property Dept.Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 100 N.E. Adams Street

City: Peoria State: IL Zip: 61629

Execution Date: 11/18/2002
12/10/2002
12/10/2002Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/18/2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James R. Smith
Internal Address: Caterpillar Inc.
Intellectual Property Department
100 N. E. Adams Street
Street Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 03-1129

Docket Number: 02-483US

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James R. Smith
Name of Person Signing

Signature

12/13/2002
Date

Total number of pages including cover sheet, attachments, and documents: 02

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, Keith B. Hicks, Neil A. Roth, and Dennis D. Wetterich, residing respectively at Somonauk, Illinois; Plainfield, Illinois; and Newark, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

TREE HARVESTING APPARATUS

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on 18 Nov 2002, 10 Dec 2002, and 10 Dec 2002; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

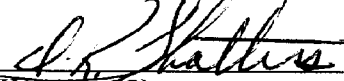
IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.


KEITH B. HICKS

11/18/02
Date

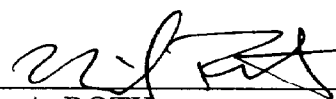
IN WITNESS OF the execution hereof by KEITH B. HICKS we have hereunto set our hands.

Maxim Shulman 11/18/02
Witness (1) Date

 11/18/02
Witness (2) Date

Address: 5885 Forest View Rd,
Lele, IL 60532

Address: 4250 Sandy Bluff Rd
Plano, IL 60545


NEIL A. ROTH

12/10/02
Date

IN WITNESS OF the execution hereof by NEIL A. ROTH we have hereunto set our hands.

Jerome F. LaBelle 10/dec/02
Witness (1) Date

Michelle Middleton 12/10/02
Witness (2) Date

Address: 372 HOLIDAY DR.
SOMONAUKE, IL 60552

Address: 3326 STRATTON LN
AURORA, IL 60504


DENNIS D. WETTERICH

12/10/02
Date

IN WITNESS OF the execution hereof by DENNIS D. WETTERICH we have hereunto set our hands.

Jerome F. LaBelle 10/dec/02
Witness (1) Date

Michelle Middleton 12/10/02
Witness (2) Date

Address: 372 HOLIDAY DR
SOMONAUKE, IL 60552

Address: 3326 STRATTON LN
AURORA, IL 60504