

12-26-2002

e No.: 55638US007

RECORDATION

PATEL

102321869

To: The Honorable Commissioner of Patents and Trademarks
Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)/(Execution Date)

Robert J. DeVoe December 4, 2002
Catherine A. Leatherdale December 5, 2002
Guoping Mao December 4, 2002
Patrick R. Fleming December 5, 2002
Harvey W. Kalweit December 4, 2002

Additional names of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

☐ If this is a request for correction of a previously recorded document, a copy of the previously recorded assignment and previous Notice of Recordation is enclosed.

2. Name and address of receiving party(ies):

3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427

Additional names of receiving party(ies) attached?

☐ Yes ☒ No

4. Application number or patent number:

☐ This document is being filed with a new patent application on:
☐ This document is to be recorded against the following patent application or patent:
☒ Enter Patent Cooperation Treaty (PCT) application number only if a USSN has not been assigned: PCT/US01/19038

Additional Numbers Attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lucy C. Weiss
Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427
Phone Number: 651-733-1189



32692

PATENT TRADEMARK OFFICE

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):

\$40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number: 13-3723

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Printed Name of

Attorney/Agent: Lucy C. Weiss

Registration No.: 32,834

Signature

Lucy C. Weiss

Date

December 10, 2002

Pages: Total number of pages including COVER SHEET, attachments and documents

4

Mail documents to be recorded with required cover sheet information to:
Commissioner for Patents, Box Assignments, Washington, DC 20231

CPI 100 Assign Record Cover - New Appln (3/06/02)

12/24/2002 LMUELLER 00000141 133723 PCT/US01/19038

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PATENT
REEL: 013597 FRAME: 0301

ASSIGNMENT

Whereas We, the below named inventors, with residences and citizenships as indicated below; have made an invention in

MICROFABRICATION OF ORGANIC OPTICAL ELEMENTS

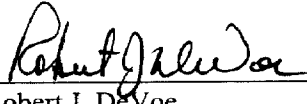
and have executed an application for Letters Patent of the United States of America based thereon; which application is identified as PCT International Patent Application Serial No. PCT/US01/19038 filed June 14, 2001, bearing Attorney Docket Number 55638WO003;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, I have agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during, or within one year after the termination of, my employment by 3M Company and any of its existing or future subsidiaries), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me had this assignment and transfer not been made,

I do further agree for myself and for my heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

In witness whereof, I have hereunto signed my name on the day and year set forth below.



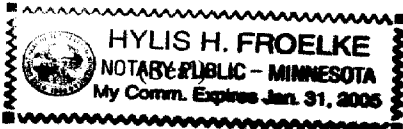
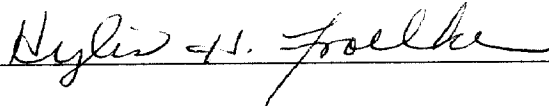
Robert J. DeVoe

Residence: Oakdale, Minnesota U.S.A.

Citizenship: United States of America

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

On this 4th day of December, 2002, before me personally appeared the above-named Robert J. DeVoe, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

Notary Public

Catherine A. Leatherdale

Catherine A. Leatherdale

Residence: St. Paul, Minnesota U.S.A.

Citizenship: United States of America

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

On this 5th day of December, 2002 before me personally appeared the above-named Catherine A. Leatherdale, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

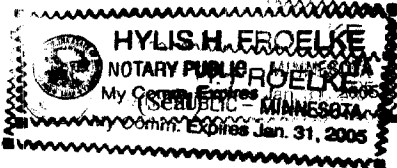


Lydia M. Cahill
Notary Public

Guoping Mao
Guoping Mao
Residence: Woodbury, Minnesota U.S.A.
Citizenship: United States of America

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

On this 4th day of December, 2002, before me personally appeared the above-named Guoping Mao, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



Hylis H. Froelke
Notary Public

Patrick R. Fleming
Patrick R. Fleming
Residence: Lake Elmo, Minnesota U.S.A.
Citizenship: United States of America

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

On this 5th day of December, 2002 before me personally appeared the above-named Patrick R. Fleming, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



Lydia M. Cahill
Notary Public

Harvey W. Kalweit

Harvey W. Kalweit

Residence: Burnsville, Minnesota U.S.A.

Citizenship: United States of America

STATE OF MINNESOTA)

) ss.

COUNTY OF RAMSEY)

On this 4th day of December, 2002, before me personally appeared the above-named Harvey W. Kalweit, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



Lydia M. Cahill

Notary Public