

12-27-2002



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Substitute Form PTO-1595

Attorney Docket No.: 14170-023001 Client's Ref. No.: 25-31-0064

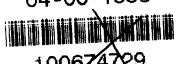
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Commissioner for Patents: Please record the attached original docume	int(s) or copy(ies).	
Name of conveying party(ies):	2. Name and address of receiving party(ies):	
Hugh R. Sharkey, Joel Saal, Jeff Saal and John Ashley Additional name(s) attached? ☐ Yes ☒ No	Oratec Interventions, Inc. 3700 Haven Court Menlo Park, CA 94025	
3. Nature of conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☑ Other: Correction of name for Hugh R. Sharkey originally recorded 3/23/98 at Reel 9059 Frame 0526. Execution Date:	Additional names/addresses attached? ☐ Yes 図 No	
4. Application number(s) or patent number(s):	7,000,001,001,000,000,001,001,001	
If this document is being filed with a new application, the execution	date of the application is:	
A. Patent Application No(s).:	B: Patent No(s).:	
	6,007,570	
Additional numbers at	ttached? □ Yes 图 No	
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1	
BRIAN J. DORINI Fish & Richardson P.C. 1425 K Street, N.W. 11th Floor Washington, DC 20005-3500	7. Total fee (37 CFR §3.41): \$40 ☑ Enclosed ☐ Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.	
DO NOT USE	THIS SPACE	
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Brian J. Dorini		
Reg. No. 43,594	Jan DEC. 20, 2002	
Name of Person Signing Signature V	Date	
Total number of pages including coversheet, attachments and document: 8		

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

A CHARLES	
To the Assistant Commissioner for Patents and Trademarks: Please	record the attached original documents or copy thereof.
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
Hugh J. Sharkey; Joel Saal; Jeff Saal; and John Ashley	Name: Oratec Interventions, Inc.
Additional name(s) of conveying party(ies) attached? [] Yes [X] No	Street Address: 3700 Haven Court City: Menlo Park, State: CA Zip: 94025
3. Nature of conveyance:	Additional name(s) & address(es) attached?
[X] Assignment [] Merger [] Security Agreement [] Change of Name [] Other	[] Yes [X]No
Execution Date: January 15; January 19; and January 20, 1998	
4. Application number(s) or patent number(s): 08/881,693	
If this document is being filed together with a new application, the execution	on date of the application is:
A. Patent Application No.(s): 08/881,693	B. Patent No.(s):
Additional numbers attached	!?[] Yes [X] No
 Name and address of party to whom correspondence concerning document should be mailed: 	6. Total number of applications and patents involved: [1]
Name: Charles C. Cary Wilson Sonsini Goodrich & Rosati 650 Page Mill Road Palo Alto, CA 94304-1050	7. Total fee (37 CFR 3.41)
	8. Deposit account number: 23-2415 (Attorney Docket No. 17616-769)
25/1998 ASELLMAN 00000078 DA#:232415 08881693 DO NOT USE TH	IS SPACE
9. Statement and signature. To the best of my knowledge and belief, the foregoing information the original document. Charles C. Cary, Reg. No. 36,764 Name of Person Signing Signature	
certificate of Mailing Under 37 C.F.R. § 1.8 - FIRST CLASS MAI hereby certify that this correspondence is being deposited postage prepaid, with the postal Service as "First Class Mail" in an envelope addressed to the Commissioner and Trademarks, Washington, D.C. 20231 on	e United States
(Signatur	re)
By: Helen A. Ford(Type Nan	ne)

JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned,

SHARKEY, Hugh J. 935 Corriente Pointe Dr. Redwood City, CA 94065 SAAL, Joel 46 Vista Verde Way Portola Valley, CA 94028

and

and

SAAL, Jeffrey 95 Sausal Drive Portola Valley, CA 94028 ASHLEY, John 184 Burlwood Drive San Francisco, CA 94127

hereinafter termed "Inventors", have invented certain new and useful improvements in

METHOD AND APPARATUS FOR TREATING ANNULAR FISSURES IN INTERVERTEBRAL DISCS

and have filed an application for a United States patent disclosing and identifying the above invention on <u>June 24, 1997</u> as Application No. <u>08/881,693</u> (hereinafter termed "application"); and

WHEREAS, Oratec Interventions. Inc., a corporation of the State of California, having a place of business at 3700 Haven Court. Menlo Park. CA 94025, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

- Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing

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** CORRECTIVE DOCUMENT **

Attorney Docket No. 17616-769

or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below.

Hugh 3. Sharkey

C. 20 - 9 8

Date

signature on the instrument the person or the entity upon behalf of

WITNESS my hand and official seal.

which the person acted, executed the instrument.

(Notary Public)



Attorney Docket No. 17616-769

Date LORI M. REYES Comm. # 1076172 NOTARY PUBLIC - CALIFORNIA Santa Clara County My Comm. Expires Oct. 29, 1995	County of Santa Clara On 1/9, 1998, before me, Lori M. Reyes personally appeared Seff SAA personally known to me or proved to me on the basis of satisfactory evidence, to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she execute the same in his/her authorized capacity, and that by his/he signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal.
Joel Saal Date	State of (Alifornia) County of Santa Clana On
LORI M. REYES Comm. # 1076172 NOTARY PUBLIC - CALIFORNIA M Santa Clara Gounty My Comm. Expires Ont. 29, 1999	(Notary Public)

Attorney Docket No. 17616-769

	C/ // / C/ = C · · · · ·
1. M	County of SANTA CLARA)
John Ashley	On 1/15, 1998, before me, Lori M. Reyes personally appeared
	personally appeared
1.15.98	satisfactory evidence, to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed
Date	the same in his/her authorized capacity, and that by his/her signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.
	WITNESS my hand and official seal.

(Notary Public)

State of Colifornia

LORI M. REYES Comm. # 1076172 NOTARY PUBLIC - CALIFORNIA Santa Clara County Cemm. Expires Oct. 29, 1999

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** CORRECTIVE DOCUMENT **

PATENT REEL: 013599 FRAME: 0112