RE

12-27-2002

'ATENTS ONLY

Patents and Trademarks Office File No: P1277 US

rd the amended original documents or copy thereof

JDS Uniphase Corporation

1768 Automation Parkway \ P

To the Honorable Commiss:

102323835

1. Name of conveying Party(ies):

13.14.02 **SDL Integrated Optics Limited**

Additional name(s) of conveying party(ies) attached? $\square Yes X No$

X Assignment

3. Nature of Conveyance:

Security Agreement

☐ Merger

☐ Change of Name

Postal Code:

Street Address:

Name:

City:

Province:

Country:

Additional name(s) & addresses attached? \square Yes X No

2. Name and address of receiving party(ies)

San Jose

USA

95131

California

DEC 1 9 2002

Execution Date: December 16, 2002

4. Application number(s) or patent number(s) 6,473,547

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s) 6,473,547

Additional numbers attached? \square Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

Neil Teitelbaum

JDS Uniphase Corporation

Intellectual Property Department

Street Address: 3000 Merivale Road

City:

Ottawa

Province:

Ontario

Postal Code:

K2G 6N7

Country:

Canada

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41)\$40.00

Enclosed

X Authorized to be charged to deposit account

8. Deposit account number: 50-1465

(attach duplicate copy of this sheet if paying by deposit acct)

CUSTOMER NO: 24949

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing Information is true and correct and any attached copy is a true copy of the original document.

Neil Teitelbaum

01 FC:8021

Name of Person Signing

40.00 CH

6473547

12/26/2002 LMUELLER 00000056 501465

Total number of pages including cover sheet, attachments and document 4

Signature

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington D.C. 20231

> PATENT REEL: 13599 FRAME: 0248

December 18, 2002

Date

P1277 US

ASSIGNMENT

THIS ASSIGNMENT, made by SDL Integrated Optics Limited, a corporation

of the United Kingdom, having its principal place of business at 3 Waterside Business

Park, Eastways, Witham, Essex, CM8 3YQ, United Kingdom, hereinafter referred to

as assignor;

WITNESSETH: That,

WHEREAS the above named corporation is the owner of the entire right, title,

and interest in and to the US Patents set forth in Schedule A attached hereto, and all

rights therein provided by international conventions or treaties, and the inventions

disclosed and claimed therein, and any applications that rely on one or more of the patent

applications set forth in Schedule A for priority, including with respect to all of the

foregoing, any and all divisionals, continuations, continued prosecution applications,

continuations-in-part, reissues, reexaminations thereof as well as foreign counterparts

thereof, together with all patents issuing on any of the aforesaid applications for a patent,

subject to any U.S. Government rights therein (collectively the "Patents");

WHEREAS JDS Uniphase Corporation, a Delaware corporation having its

principal place of business at 1768 Automation Parkway, San Jose, California, U.S.A.,

95131, hereinafter referred to as assignee, is desirous of acquiring the assignor's entire

right, title, and interest in and to said Patents;

NOW, THEREFORE, To All Whom It May Concern, be it known that for good

and valuable consideration, the receipt and sufficiency of which is hereby acknowledged,

the above assignor has sold and by these presents does hereby sell, assign, transfer, and

convey unto the said assignee, its successors and assigns, its entire right, title, and interest

in and to said Patents.

The assignor further covenants and agrees that, at the time of execution and delivery of

these presents, it possesses full title to said Patents as earlier identified subject to any U.S.

1

PATENT

REEL: 13599 FRAME: 0249

P1277 US

Government rights therein, and that it has the unencumbered right and authority to make this assignment.

Executed this 16 day of tecember 2002. at San Societ CA. United States.

Michael C. Phillips

President SDL, Inc.

Declaration by Witness

That I was personally present and did see Michael C. Phillips, President, SDL, Inc., who is personally known to me, duly sign and execute the above Assignment.

Signature of Witness

4085464350

P1277 US

Schedule A

Serial No	Filing Date	Patent No	Issue Date	Our File No.
09/775,024	21-Jan-2001	6,473,547	29-Oct-2002	P1277

3

PATENT REEL: 13599 FRAME: 0251

RECORDED: 12/19/2002