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# COVER SHEET FOR ASSIGNMENT IN THE UNITED STATES ELECTED OFFICE (EO/US) FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. section 371

### IDENTIFICATION OF APPLICATION

(37 C.F.R. section 3.21 and 37 C.F.R. section 3.31(a)(4))

1. The patent application filed herewith, and to which the attached assignment (document) refers, is identified as follows:

a. Date of execution:

May 15, 2002

Name of each inventor: 1: Pflaum, Zlatko

c. Title of invention:

Process for the Preparation of Amorphous Atorvastatin

# NAME OF PARTY(IES) CONVEYING INTEREST

The party(ies) conveying this interest is (are):

Pflaum, Zlatko

#### NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

3. The rights are being conveyed to:

Name:

Lek Pharmaceutical and Chemical Company D.D.

Address:

Verovskova 57

Ljubjana 1526, Slovenia

## DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED

4. The accompanying document intends to accomplish an assignment.

## NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED

5. Please address correspondence to:

Name:

Timothy M. Murphy

Bromberg & Sunstein LLP

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for International Application Entering National Stage under 35 U.S.C. section 371--page 2 of 4)

Name:

Timothy M. Murphy

Bromberg & Sunstein LLP

Address:

125 Summer Street

Boston, MA 02110-1618

Telephone No.: (617) 443-9292

## DATE ASSIGNMENT (DOCUMENT) EXECUTED

The attached assignment (document) was executed on 15 May 2002.

## LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

7. The attached document is in the English language.

## ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

8. Submitted herewith is the original document.

# NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE

- 9. A. This cover sheet identifies only one application:
  - B. The fee for recordal (37 C.F.R. section 1.21(h)) is \$40.00. Attached is a check for \$40.00.

#### STATEMENT AND SIGNATURE

10. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Date: 06 June 2002

Timothy M. Merphy Registration No. 33,198

Bromberg & Sunstein LLP

125 Summer Street

Boston, MA 02110-1618

US

617-443-9292

Customer No. 2101

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for International Application Entering National Stage under 35 U.S.C. section 371-page 3 of 4)

# TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are 6.

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for International Application Entering National Stage under 35 U.S.C. section 371--page 4 of 4)

#### **ASSIGNMENT**

ASSIGNOR:

Zlatko Pflaum

ASSIGNEE:

LEK Pharmaceutical and Chemical Company D.D.

Verovskova 57 1526 Ljubljana

Slovenia

INVENTION:

Process for the Preparation of Amorphous Atorvastatin

ATTORNEY DOCKET:

2260/111

INTERN'L APPL NO:

PCT/IB00/01797

U.S. SERIAL NO:

Not yet assigned

INT'L FILING DATE:

05 December 2000

Assignor is the sole inventor (if only one inventor is listed above) or a joint inventor (if more than one inventor is listed above) of the above invention (the "Invention") described in a United States patent application (the "Application") bearing the above attorney docket number and having as a title the above name for the Invention. The Application has a Patent and Trademark Office filing date and serial number as indicated above, or if no filing date and serial number are shown, has a Declaration executed by Assignor contemporaneously with this Assignment.

For valuable consideration, receipt of which is acknowledged, each Assignor hereby assigns to Assignee (which term shall include Assignee's successors and assigns), all of Assignor's right, title and interest in the Invention, all improvements therein, the Application and all priority rights arising therefrom, and any patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the following: the Application, any divisional, continuation-in-whole, or substitute United States application which is based on the Application; or any continuation-in-part United States application (including divisions, continuations-in-whole or -in-part, and substitutions thereof or therefor) based in-part on any of the above described applications.

Each Assignor further agrees that such Assignor and Assignor's heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications, execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignor relating to the Invention, to obtain or maintain or enforce patents

for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee. Each Assignor further agrees that such Assignor will, without further compensation to Assignor during the term of such Assignor's employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee.

Each Assignor hereby executes this instrument on the date set forth below.

Date: \ 45.5.2002\_, 2002

Zlatko Pflaum

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Bromberg Sunstein

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Practitioner's Docket No. 2260/111

CHAPTER II

## IN THE UNITED STATES ELECTED OFFICE (EO/US)

		10 December 1999 (10.12.99)
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: Process for the Preparation of Amorphous Atorvastatin

APPLICANT: Pflaum, Zlatko

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: EO/US

COVER SHEET FOR ASSIGNMENT
IN THE UNITED STATES ELECTED OFFICE (EO/US)
FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE
IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. section 371

## CERTIFICATION UNDER 37 C.F.R. section 1.10\*

(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date 06 June 2002, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EV 101934925 US. addressed to the: Commissioner for Patents, Washington, D.C. 20231, Box EO/US.

Timothy M. Murphy

(type or print name of person mailing paper)

Signature of person mailing paper

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. section 1.8 cannot be

used to obtain a date of mailing or transmission for this correspondence.

\*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed

thereon prior to mailing, 37 C.F.R. section 1.10(b).

"Since the filing of correspondence under [section] 1.10 without the Experimental International International States of reasonable an oversight that can be avoided by the exercise of reasonable series for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

11.00 Fed. Reg. 56,439, at 56,442.

12.00 Fed. Reg. 56,439, at 56,442.

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for International Application Entering National Stage under 35 U.S.C. section 371-page 1 of 4)

PATENT REEL: 013603 FRAME: 0846

**RECORDED: 06/06/2002**