

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeRECORDATION FORM COVER SHEET  
PATENTS ONLY

DOCKET #: 4-03

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Samuel H. Yalkowsky

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of name
- ☐ Other

Execution Date: 4/21/03

## 2. Name and address of receiving party(ies)

Name: Arizona Board of Regents on  
behalf of the University of  
ArizonaAddress: 888 N. Euclid Avenue, Rm 204  
PO Box 210158  
Tucson, AZ 85721-0158Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the  
Application is

A. Patent Application No.(s)

B. Patent No.(s)

10/402,347

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom  
correspondence concerning document should  
be mailed:

Name: Greenlee, Winner &amp; Sullivan, P.C.

Internal Address:

Street Address: 5370 Manhattan Circle, Ste. 201

City: Boulder State: CO ZIP: 80303

6. Total number of applications and patents  
involved: 1

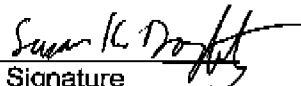
7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit Account Number 07-1969

DO NOT USE THIS SPACE

## 9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any  
Attached copy is a true copy of the original document.*Susan K. Doughty  
Name of Person Signing  
SignatureApril 25, 2003  
Date

Total number of pages including cover sheet, attachments, and document: 3

**Docket No. 4-03 US**

**ASSIGNMENT**

WHEREAS, I, Samuel H. Yalkowsky (herein called "ASSIGNOR"), residing at 7601 E. Sabino Vista Drive, Tucson, Arizona 85750, am the inventor of the invention "Solubilization of Weak Bases" for which an application for a Patent of the United States was filed March 26, 2003, Serial No. 10/402,347.

WHEREAS, Arizona Board of Regents on behalf of the University of Arizona (herein called "ASSIGNEE"), an organization existing under the laws of the State of Arizona, having a principal place of business at 888 N. Euclid Avenue, Rm. 204, P.O. Box 210158, Tucson, AZ 85721-0158, is desirous of obtaining my entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of my contractual or other legal obligations to assign said subject matter and other good and valuable consideration the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States Application and all continuations and subsequent applications, including divisional applications thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority of the United States under the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of my said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

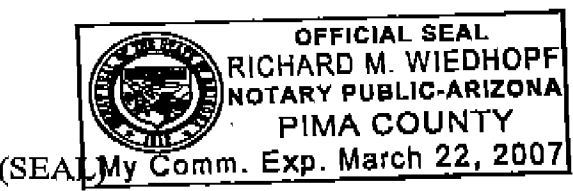
AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all continuing and subsequent applications, including divisional, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my signature.

Date: 4-21-03 Samuel H. Yalkowsky  
Samuel H. Yalkowsky

STATE OF Arizona )  
 ) ss.  
COUNTY OF Pima )

BEFORE ME, the undersigned authority, on this 21<sup>st</sup> day of April, 2003, personally appeared Samuel H. Yalkowsky, known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purposes and consideration therein expressed.



Richard M. Wiedhopf  
Notary Public

My commission expires: March 22, 2007