

01-02-2003



R SHEET

102326248

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

PTO
10/323495
12/18/02

1. Name of conveying party(ies):
 John E. Simsarian
 Yikai Su
 12-18-02

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: 12/17/02, 12/17/02

2. Name and address of receiving party(ies):
 Name: Lucent Technologies Inc.
 Internal Address: _____
 Street Address: 600 Mountain Avenue
P.O. Box 636
 City: Murray Hill State: NJ Zip: 07974-0636

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):
 If this document is being filed together with a new application, the execution date of the application is 12/17/02, 12/17/02.

A. Patent Application No.(s) B. Patent No. (s)
10,323495

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
 Name: Steve Mendelsohn
 Internal Address: Mendelsohn & Associates, P.C.
 Street Address: 1515 Market Street
Suite 715
 City: Philadelphia State: PA Zip: 19102

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ \$40.00
 Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:
 (Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Yuri Gruzdkov [Signature] 12/18/02
 Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D. C. 20231

990.0418 (Simsarian 1-2)

Customer No.: 22186

Attorney Docket No.: Simsarian 1-2

ASSIGNMENT AND AGREEMENT

For value received, I, as a below-named inventor:

New York City ^{JES.} *New York* ^{JES.} *New York* ^{J.E.S.}
John E. Simsarian of ~~Granford~~ in the County of ~~Union~~ and State of ~~New Jersey~~,
Yikai Su of **Eatontown** in the County of Monmouth and State of New Jersey,

hereby sell, assign, and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns, and legal representatives, the entire right, title, and interest, for the United States of America, in and to certain inventions related to **Control Circuit for a Tunable Laser** described in an application for Letters Patent of the United States, identified using the same Attorney Docket No., and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign, and transfer to Lucent Technologies Inc. the entire right, title, and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

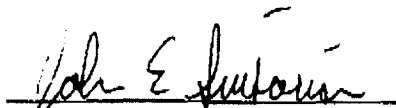
I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

I agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable, or convenient in connection with said applications, patents, or other forms of protection.

Customer No.: 22186

-2-

Attorney Docket No.: Simsarian 1-2


John E. Simsarian

Date: 12/17/02

United States of America)

State of ~~New York~~ ^{NEW JERSEY})

County of ~~New York~~ ^{MONMOUTH})

SS.: ~~030 48 4315~~ ^{JES}

On this 17 day of Dec, 2002, before me personally came **John E. Simsarian**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

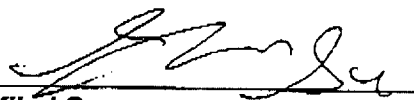

Notary Public

MARY L. BENSON
Notary Public of New Jersey
My Commission Expires June 3, 2004

Customer No.: 22186

-3-

Attorney Docket No.: Simsarian 1-2


Yikai Su

Date: 12/17/02

United States of America)

State of NJ) ss.: ~~370 92 9792~~ ^{mls}

County of Monmouth

On this 17 day of Dec, 2002, before me personally came **Yikai Su**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

MARY L. BENSON
Notary Public of New Jersey
My Commission Expires June 3, 2004

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636

990.0418