1-6-03

RECC

01-06-2003



TO THE ASSISTANT COMMISSIONER FOR PAT

Name of conveying party(ies): (If multiple assignors, list numerically)

STERILIS, INC.

Additional name(s) of conveying party(ies) attached?

(X)

Yes

No

102328449

.nents or copy thereof.

2. Name and address of receiving party(ies):

Name: KNOBBE, MARTENS, OLSON & BEAR, LLP

Internal Address: Fourteenth Floor Street Address: 2040 Main Street City: Irvine State: CA ZIP: 92614

Additional name(s) of receiving party(ies) attached?

Yes

(X)

No

| 3. | Nature | of conveyance: |
|----|--------|----------------|

- () Assignment
- () Merger
- Security Agreement
- () Change of Name
- (X) Other: Security Interest

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above) December 5, 2002

Application number(s) or Patent number(s):

Application(s) filed herewith Execution Date(s): ()

Patent Application No.: 09/882,821 (X) Filing Date: June 14, 2001

() Patent No.: Issue Date:

No

Name and address of party to whom correspondence concerning document should be mailed:

James B. Bear

KNOBBE, MARTENS, OLSON & BEAR, LLP

Customer No. 20,995 Internal Address: Fourteenth Floor Street Address: 2040 Main Street City: Irvine State: CA ZIP: 92614 Attorney's Docket No.: STER.UCC1

Total fee (37 CFR 1.21(h)):

Additional numbers attached? (X)

\$120.00

(X) Enclosed

Authorized to be charged to deposit account if any (X) additional fees are required, or to credit any overpayment

Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

Total number of applications and patents involved: 3

Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the

original document.

James B. Bear

Name of Person Signing

Registration No.

1/2/03 Date

Total number of pages including cover sheet, attachments and document: 3

Mail documents to be recorded with required cover sheet information to:

01/06/2003 DBYRNE

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U.S. Patent and Trademark Office Attn: Assignment Division Crystal Gateway-4

1213 Jefferson Davis Highway, Suite 320 Arlington, VA 22202

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> **PATENT** REEL: 13618 FRAME: 0211

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| | | |

UCC FINANCING STATEMENT FOLLOW INSTRUCTIONS (front and back) CAREFULLY A. NAME & PHONE OF CONTACT AT FILER [optional]

14th Floor

Cristina Diaz 949-721-5263

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

Attn: Cristina Diaz 2040 Main Street

Irvine, Ca 92614

Knobbe, Martens, Olson & Bear, LLP



| | FILED SACRAMENTO, CA | |
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| DEC | 05, 2002 AT 1700 | |
| SE | BILL JONES CRETARY OF STATE | |
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| | • | - THIE | VLY |
|--|---|---------------------------------|---------------------------------------|
| 1. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1a or | 1b) - do not abbreviate or combine names | | |
| 1a. ORGANIZATION'S NAME | | | |
| Sterilis, Inc. | | | |
| OR 16. INDIVIDUAL'S LAST NAME | FIRST NAME | MIDOLE NAME | SUFFIX |
| | | | |
| 1c. MAILING ADDRESS | ατγ | STATE POSTAL CODE | COUNTRY |
| 3520 W. Warner Avenue | Santa Ana | CA 92704 | US |
| 1d. TAX ID #: SSN OR EIN ADD'L INFO RE 16. TYPE OF ORGANIZATION | 1f. JURISDICTION OF ORGANIZATION | 1g. ORGANIZATIONAL ID #, if any | |
| ORGANIZATION DEBTOR | I CA | 1 | TNON |
| 2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - Insert only one deb | | aimes | · · · · · · · · · · · · · · · · · · · |
| 2a. ORGANIZATION'S NAME | | | |
| | | | |
| OR 26. INDIVIDUAL'S LAST NAME | FIRST NAME | MIDOLE NAME | SUFFIX |
| | | | |
| 2c. MAILING ADDRESS | ατν | STATE POSTAL CODE | COUNTRY |
| | | | |
| 2d. TAX ID #: SSN OR EIN ADD'L INFO RE 2e. TYPE OF ORGANIZATION | 21. JURISDICTION OF ORGANIZATION | 2g. ORGANIZATIONAL ID #, if any | <u> </u> |
| ORGANIZATION DEBTOR | | 1 | []NON |
| 3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S | (20) insert only one persond party pages (2a or 2b) | <u> </u> | LINON |
| 3a. ORGANIZATION'S NAME | or 7- alsort any also secures party name (56 or 50) | | |
| Vnobbo Martana Olgan & Boom | IID | | |
| OR Knobbe, Martens, Olson & Bear | FIRST NAME | IMIDDLE NAME | SUFFIX |
| | | | |
| 3c. MAILING ADORESS | αιγ | STATE POSTAL CODE | COUNTRY |
| 2040 Main Street, 14th Floor | Irvine | CA 92614 | CA |
| 4. This FINANCING STATEMENT covers the following collateral: | | | 1 |

"All of debtor's intellectual property which is the subject of secured party's representation, on any recoveries from litigation. involving such intellectual property and on any other proceeds of such intellectual property, including but not limited to the property described on Attachment A hereto."

See Attached Exhibit "A"

| 5. ALTERNATIVE DESIGNATION (if applicable): | LESSEE/LESSOR | CONSIGNE | E/CONSIGNOR | BAILEE/BAILOR | SELLER | BUYER | AG. LIEN | NON | LUCCFILING |
|--|-----------------------------|-------------------------------|--------------------------------|--------------------------|----------------------------|--------|------------|----------|------------|
| 6. This FINANCING STATEMENT is to be file ESTATE RECORDS. Attach Addendum | i [for record] (or recorded | in the REAL fit applicable | 7. Check to REC (ADDITIONAL | QUEST SEARCH REP FEEI | ORT(S) on Deb footional | lor(s) | VI Debtors | Debtor 1 | Debtor 2 |
| 8. OPTIONAL FILER REFERENCE DATA | • | | | | | | | | |

Patent Status Report

| Case Number | Title of Invention | Country | Status | Application Number | Filing Date | Patent Number | Issue Date |
|-------------|---|---------|---------|-----------------------|-------------|------------------|------------|
| STER.002A | SUTURING METHOD AND APPARATUS | Sn | Pending | 09/882821 | 6/14/01 | | |
| STER.002C1 | SUTURING METHOD AND APPARATUS | S | Pending | 10/294028 | 11/13/02 | | |
| STER DOZPR | SUTURING METHOD AND APPARATUS | G (| Pending | 607211763 | 6/14/00 | | |
| STER 002VPC | SLIVERING METHOD AND APPARATUS | WO | Rending | PCTUS01/19TA | 6474/01 | | |
| SŢER.004A | CAVITY ENLARGER METHOD AND APPARATUS | SO | Pending | 09/772397 | 1/29/01 | | |
| STER.004VPC | CAVITY ENDARGER METHOD AND APPARATUS | WO | Peading | PCTUS01/02912 | 10/96/21 | | |
| STER 007PR | APPARATUS AND METHOD FOR ORASPING-INCISING, AND CLOSING A BODY VESSEL | US | Pending | 80/202749 | 7/2/07 | · | |
| SITER.008PK | EXOTHERMIC THERMAL ABLATION DEVICE | SS) | Pending | 60/26273 | 1/17/01 | | |
| STER OF IPR | MODULAR CERVICAL DILATOR | US | Pending | 60/293300 | SIDMOL | | |

PATENT REEL: 13618 FRAME: 0213

RECORDED: 01/06/2003