

01-07-2003



COVER SHEET

U.S. DEPARTMENT OF COMMERCE

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To the Honorable Com

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21 OCT 2002

1. Name of conveying party(ies):

William Brown, Christopher Walpole,
Niklas Plobeck

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

10/21/02

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 27, 2002, May 23, 2002 and June 13, 2002

2. Name and address of receiving party(ies):

Name: AstraZeneca AB

Internal Address: _____

Street Address: _____

City/State/ZIP: S-151 85 Södertälje

SWEDEN

Additional name(s) & address(es)
attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/149,981

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael A. Sanzo

Internal Address: _____

Street Address: Fitch, Even, Tabin & Flannery
1801 K Street, N.W.
Suite 401L

City/State/ZIP: Washington, D.C. 20006-1201

6. Total number of applications and patents involved:

1

7. Total Fee (37 C.F.R. § 3.41) \$ 40.00

- ☐ Enclosed
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8. Deposit account number:

06-1135 Under Order No. 7567/73249

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael A. Sanzo
Name of Person Signing

Michael A. Sanzo
Signature

October 20, 2002
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

FORM6-03.WP5

Assignment to AstraZeneca AB

Title: NOVEL COMPOUNDS
Inventors: BROWN, William; WALPOLE, Christopher; PLOBECK, Niklas
PCT Appl. No: PCT/SE00/02562
Intn'l Filing Date: 15 December 2000
Execution Date of Application:
Country of PCT Receiving Office: Sweden

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified above. In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto AstraZeneca AB, a corporation of Sweden having a place of business at Södertälje, its successors and assigns, my entire interest in and the full and exclusive right to the INVENTIONS, the APPLICATION and all related applications (including all divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries, and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to AstraZeneca AB, its successors and assigns, as the assignee of my entire right, title, and interest in and to the same. I agree that I will communicate to AstraZeneca AB, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to AstraZeneca AB; make all rightful oaths; and generally do everything possible to aid AstraZeneca AB, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world.

FULL NAME OF FIRST/SOLE INVENTOR: BROWN, William

Signature of Inventor: _____

Address: AstraZeneca R&D Montreal, 7171 Frederick-Banting, St. Laurent, Quebec H4S 1Z9, Canada

Date: _____

FULL NAME OF SECOND/JOINT INVENTOR: WALPOLE, Christopher

Signature of Inventor: _____

Address: AstraZeneca R&D Montreal, 7171 Frederick-Banting, St. Laurent, Quebec H4S 1Z9, Canada

Date: _____

FULL NAME OF THIRD/JOINT INVENTOR: PLOBECK, Niklas

Signature of Inventor: _____

Address: AstraZeneca R&D Montreal, 7171 Frederick-Banting, St. Laurent, Quebec H4S 1Z9, Canada

Date: _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Brown, *et al.*

U.S. Natl. Phase of PCT/SE00/02562

Intl. Filing Date: December 15, 2000

§ 371 Date: June 13, 2002

Appl. No.: 10/149,981

For: **Novel Compounds**

Art Unit: to be assigned

Examiner: to be assigned

Atty. Dkt.: 7567/73249
(Formerly 81823/291381)

CHANGE OF ADDRESS NOTICE

Effective immediately, please change the address for the above-captioned application to:

Michael A. Sanzo
Fitch, Even, Tabin & Flannery
1801 K Street, N.W., Suite 401L
Washington, DC 20006-1201
Phone: (202) 419-7013
Fax: (202) 419-7007

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

By *Michael A. Sanzo*
Michael A. Sanzo
Reg. No. 36,912
Attorney for Applicants

Date *October 20*, 2002
1801 K Street, N.W., Suite 401L
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PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

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FORM6-03.WP5

RECORDED: 10/21/2002

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