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Name: NAVY, SECRETARY OF THE, UNITED

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OFFICE OF NAVAL RESEARCH

Street Address: 800 NORTH QUINCY STREET

City: ARLINGTON State: VA Zip: 22217-5660

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## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/013,259

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CAROL PETROSKY

Internal Address: OFFICE OF NAVAL RESEARCH

OFFICE OF COUNSEL

Street Address: 800 NORTH QUINCY STREET

City: ARLINGTON State: VA Zip: 22217-5660

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ No Fee Req'd

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PATENT

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DEPARTMENT OF THE NAVY

## CONFIRMATORY INSTRUMENT

1. APPLICATION FOR (Title of Invention):

Multi-Layer Nanoshells

2. INVENTORS: HALAS, NANCY (NAOMI) J., *et al.*

3. SERIAL NO. 10/013,259

4. FILING DATE 11/03/2001

5. CONTRACTOR/GRANTEE

William N. Rice University

6. CONTRACT/GRANT NO.

N00014-97-1-0217

7. The Invention identified above is a "Subject Invention" under Patent Rights Clause 37 CFR 401.14 FDP III 1 July 1996  
(identify clause by title and date)

Included in Contract/Grant No. N00014-97-1-0217 with the

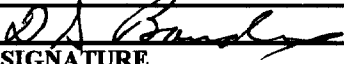
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