

01-09-2003



Attorney Docket Number

10436-042

102332934

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Box Assignment  
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Anthony LEMMO  
Javier GONZALEZ-ZUGASTI  
Michael CIMA  
Douglas LEVINSON  
Alasdair JOHNSON  
Orn ALMARSSON  
Christopher McNULTY

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Transform Pharmaceuticals, Inc.

Address: 29 Hartwell Avenue  
Lexington, MA 02421

Country (if other than USA):

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: December 13, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/235,922

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP  
1667 K Street, N.W.  
Washington, D.C. 20006

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00  
Please charge to the deposit account listed in Section 8.8. Deposit account number:  
16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Max Bachrach

45,479

For: Anthony M. Insogna

35,203

Signature

Date

12/23/02

01/04/2003 UNELLER 00000187 161150 10235922

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40.00 CH

Total number of pages including cover sheet:

4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignment  
Washington, D.C. 20231PATENT  
REEL: 013631 FRAME: 0700

DC1 - 337730.1

## ASSIGNMENT

WHEREAS, WE, **Anthony V. LEMMO** (citizen of the United States), **Javier P. GONZALEZ-ZUGASTI** (citizen of Argentina), **Michael J. CIMA** (citizen of the United States), **Douglas A. LEVINSON** (citizen of the United States), **Alasdair Y. JOHNSON** (citizen of the United Kingdom), **Örn ALMARSSON** (citizen of Iceland), and **Christopher McNULTY** (citizen of the United States), ASSIGNORS, residing at 3 Washington Street, Sudbury, MA 01776; 15 Angie Road, Medford, MA 01862; 184 Mystic Valley Parkway, Winchester, MA 01890; 111 Maple Street, Sherborn, MA 01770; 44 Prospect Street, Newburyport, MA 01950; 22 Farmington Drive, Shewsbury, MA 01545; 4745 Xerxes Avenue South, Minneapolis, MN 55410, respectively, are the inventors of the invention in **APPARATUS AND METHOD FOR HIGH-THROUGHPUT PREPARATION AND SPECTROSCOPIC CLASSIFICATION AND CHARACTERIZATION OF COMPOSITIONS** and for which we have executed an application for a Patent of the United States

- ☒ which is identified by Pennie & Edmonds LLP docket no. 10436-042-999
- ☒ which was filed on September 6, 2002 as Application No. 10/235,922

and WHEREAS, **TRANSFORM PHARMACEUTICALS, INC.**, ASSIGNEE, having a place of business at 29 Hartwell Avenue, Lexington, MA 02421, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

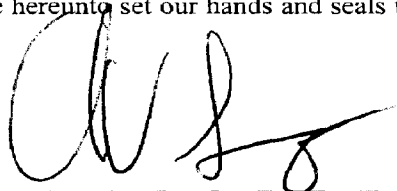
AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date Dec 13, 2002



Anthony V. LEMMO

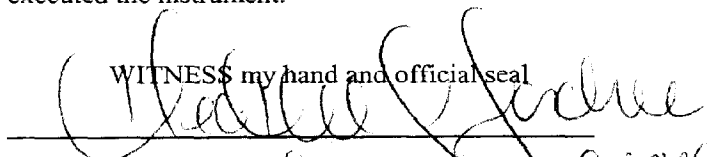
L.S.

State of Massachusetts )  
County of Norfolk ) SS.:

On Dec 13, 2002, before me, Hadwin J. Toranzo,

Notary Public, personally appeared **Anthony V. LEMMO**, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



my Commission expires

10/07/06

PATENT

DC1 - 334877.1

REEL: 013631 FRAME: 0701

Date Dec. 13, 2002 Javier P. GONZALEZ-ZUGASTI L.S.

State of Mass  
County of Worcester } SS.:

On DEC 13, 2002, before me, Heather S. Jordan,  
Notary Public, personally appeared **Javier P. GONZALEZ-ZUGASTI**, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Date Dec. 12, 2002 Michael J. CIMA L.S.

State of Massachusetts )  
County of Worcester } SS.:

On DEC 13, 2002, before me, Heather S. Jordan,  
Notary Public, personally appeared **Michael J. CIMA**, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Date 12-13-02, 2002 Douglas LEVINSON L.S.

State of Massachusetts )  
County of Worcester } SS.:

On DEC 13, 2002, before me, Heather S. Jordan,  
Notary Public, personally appeared **Douglas LEVINSON**, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Date Dec 13th, 2002 Alasdair Y. JOHNSON L.S.

State of Massachusetts )  
County of Worcester } SS.:

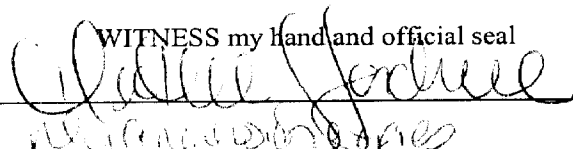
On DEC 13, 2002, before me, Heather S. Jordan,  
Notary Public, personally appeared **Alasdair Y. JOHNSON**, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Date Dec 13, 2002  L.S.  
Orn ALMARSSON

State of Massachusetts )  
County of Middlesex } SS.:

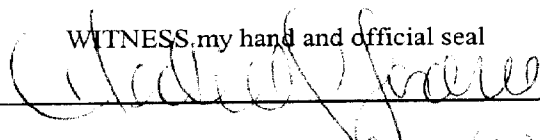
On December 13, 2002, before me, Hedder Jordue,  
Notary Public, personally appeared **Orn ALMARSSON**, personally known to me on the basis of satisfactory evidence to be  
the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his  
authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted,  
executed the instrument.

WITNESS my hand and official seal  
  
Hedder Jordue

Date Dec 13, 2002  L.S.  
Christopher McNULTY

State of Massachusetts )  
County of Worcester } SS.:

On Dec 13, 2002, before me, Hedder Jordue,  
Notary Public, personally appeared **Christopher McNULTY**, personally known to me on the basis of satisfactory evidence  
to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his  
authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted,  
executed the instrument.

WITNESS my hand and official seal  
  
Hedder Jordue  
My Commission exp. 10/27/08