

Atty Dkt. No. 8500-0271  
SRI No. US-4347-2

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>Christopher D. Tagge Robert B. Wilson, Jr. Hiroyuki Ono</p> <p>Additional names(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other _____</p> <p>Execution Date: 3/31/2003; 4/7/2003; and 4/22/2003</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>SRI International</u>                  Internal Address: _____                  _____                  Street Address: <u>333 Ravenswood Avenue</u>                  _____                  City: <u>Menlo Park</u> State: <u>CA</u> Zip: <u>94025</u></p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

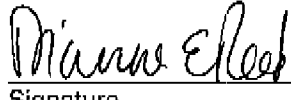
A. Patent Application No.(s): 10/336,666	B. Patent No.(s):
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Additional numbers attached?  Yes  No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Dianne E. Reed, Reg. No. 31,292</u>                  Internal Address: _____                  _____                  Street Address: <u>REED &amp; EBERLE LLP</u>  <u>800 Menlo Avenue, Suite 210</u>                  City: <u>Menlo Park</u> State: <u>CA</u> Zip: <u>94025</u></p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) ..... \$ <u>40.00</u></p> <p><input type="checkbox"/> Enclosed  <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>18-0580</u></p> <p style="font-size: small;">(Attach duplicate copy of this page if paying by deposit account)</p>
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**DO NOT USE THIS SPACE**

9. Statement and Signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

<u>Dianne E. Reed, Reg. No. 31,292</u>		<u>5/7/03</u>
Name of Person Signing	Signature	Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment, Commissioner of Patents, P.O. Box 1450  
Alexandria, VA 22313-1450

Atty Dkt No. 8500-0271  
SRI No. US-4347-2  
JOINT

## ASSIGNMENT

THIS ASSIGNMENT, by Christopher D. Tagge, Robert B. Wilson, Jr. and Hiroyuki Ono (hereinafter referred to as the assignors), residing at San Carlos, California, Palo Alto, California and Menlo Park, California respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in **TRANSITION METAL COMPLEXES IN THE CONTROLLED SYNTHESIS OF POLYOLEFINS SUBSTITUTED WITH FUNCTIONAL GROUPS** set forth in an application for Letters Patent of the United States,  having an oath or declaration executed on even date herewith;  bearing Serial No. 10/336,666, filed on January 3, 2003, and

WHEREAS, SRI International, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 333 Ravenswood Avenue, Menlo Park, California 94025 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and

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delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date: \_\_\_\_\_

\_\_\_\_\_  
 Name of Inventor: Christopher D. Tagge

Date: \_\_\_\_\_

\_\_\_\_\_  
 Name of Inventor: Robert B. Wilson, Jr.

Date: 4/7/2003

Hiroyuki Ono  
 \_\_\_\_\_  
 Name of Inventor: Hiroyuki Ono

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## ASSIGNMENT

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NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

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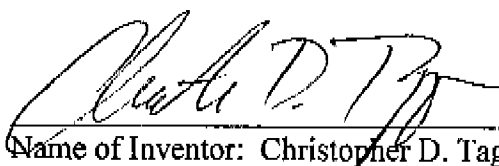
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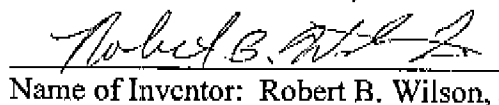
delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date: 3-31-03


Name of Inventor: Christopher D. Tagge

Date: 4/22/03


Name of Inventor: Robert B. Wilson, Jr.

Date: \_\_\_\_\_

Name of Inventor: Hiroyuki Ono

**REED & EBERLE LLP**

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 (650) 330-0980 FACSIMILE  
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**FACSIMILE TRANSMITTAL SHEET**

<b>To:</b> ASSIGNMENT BRANCH U.S. PATENT AND TRADEMARK OFFICE Washington, DC 20231	FACSIMILE No.: (703) 306-5995 TELEPHONE No.:
<b>FROM:</b> Dianne E. Reed Reg. No. 31,292	FACSIMILE No.: (650) 330-0980 TELEPHONE No.: (650) 330-0900
<b>DATE:</b> May 7, 2003	PAGE 1 OF: 6
<b>SUBJECT:</b> In re Application of: Christopher D. Tagge et al. Serial No.: 10/336,666 Filed: January 3, 2003 Attorney Docket No.: 8500-0271	CONFIRMATION COPY TO FOLLOW: <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

Attached for recordation is Form PTO-1595 and an Assignment for the subject application.

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**PATENT****RECORDED: 05/07/2003****REEL: 13633 FRAME: 0634**