


Attorney Docket No. 021577-000700US

Form PTO-1595 (Rev. 10-02) OMB No. 0651-0027 (exp. 6/30/2005)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. Department of Commerce U.S. Patent and Trademark Office	
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To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof					
1. Name of conveying party(ies): HENRY NITA Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No.			2. Name and address of receiving party(ies) Name: <u>FlowCardia, Inc.</u> Internal Address: _____ Street Address: <u>745 N. Pastoria Avenue</u> City: <u>Sunnyvale</u> State: <u>CA</u> ZIP: <u>94085</u> Additional name(s) and address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____ Execution Date: <u>04/03/03 and 04/07/03 and 04/07/03</u>			4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No(s): 10/375,903 Filing Date: <u>2/26/03</u> B. Patent No(s): _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: James M. Heslin TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 th Floor San Francisco, California 94111-3834 (415) 576-0200			6. Total number of applications and patents involved <u>1</u> 7. Total fee (37 CFR 3.41): <u>-----\$40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>20-1430</u> (Attach duplicate copy of this page if paying by deposit account)		
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9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;"> <u>Scott M. Smith</u> Name of Person Signing Atty. Reg. No. 48,268 </div> <div style="text-align: center;">  Signature </div> <div style="text-align: center;"> <u>08 April 2003</u> Date </div> </div> <div style="text-align: right; margin-top: 10px;"> Total number of pages including cover sheet, attachments and document 4 </div>					

Mail documents to be recorded with required cover sheet information to:
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Recordation Form Cover Sheet
Patents Only
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REF. NO. 021577-000700US
APPL. NO. 10/375,903

1. Additional name(s) of conveying party(ies);
(Continued from Page 1)

JEFF SARGE

SIMEON NGUYEN

2. Additional name(s) and address(es) of receiving party(ies);
(Continued from Page 1)

3. Additional application number(s) or patent number(s);
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

PA 3297388 v1

Attorney Docket No.: 021577-000700US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, HENRY NITA of 2047 Scabrook Court, Redwood City, CA 94061; JEFF SARGE of 647 Lonsdale Avenue, Fremont, CA 94539; and SIMEON NGUYEN of 2564 Dumont Court, San Jose, CA 95122 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **ULTRASOUND CATHETER APPARATUS**

Date(s) of execution of Declaration: 4/3/03 + 4/7/03 + 04/07/03

Filing Date: **February 26, 2003**

Application No.: **10/375,903; and**

WHEREAS, FlowCardia, Inc., a corporation of the State of Delaware, located at 745 North Pastoria Avenue, Sunnyvale, CA, 94085, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 4/3/03


HENRY NITA

Dated: 4.7.03


JEFF SARGE

Dated: 04.07.03


SIMEON NGUYEN

SIMON

Atty Docket No. 021577-000700US

PTO FAX NO.: 703.306.5995

OFFICIAL COMMUNICATION**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following document(s) in re Application of Henry Nita et al., Application No. 10/375,903, filed February 26, 2003 for ULTRASOUND CATHETER APPARATUS is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Recordation Form Cover Sheet - 2 pages
2. Assignment - 1 page

Number of pages being transmitted, including this page: 4

Dated: May 7, 2003


JoAnn Evangelista

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