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JC872 U.S. PTO
10/324502
12/19/02

Substitute Form PTO -1595		3ET	Attorney Docket No. AUS920020519US1
102336073			

To The Honorable Commissioner of Patents and trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):	Execution date:
Paul T. Baffes	<u>November 20</u> , 2002
John Michael Garrison	<u>November 20</u> , 2002
Michael Gilfix	<u>November 20</u> , 2002
Allan Hsu	<u>December 11</u> , 2002
Tyron Jerrod Stading	<u>November 20</u> , 2002

2. Name and address of receiving party(ies):	3. Nature of conveyance:
International Business Machines Corporation New Orchard Road Armonk, New York 10504	<input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Security Agreement <input type="checkbox"/> Other <input type="checkbox"/> Merger <input type="checkbox"/> Change of Name

4. Application number(s) or patent number(s):	Execution/Filing/Issue Date
<input checked="" type="checkbox"/> New Patent Application, execution date of application	<u>11-20-02, 11-20-02, 11-20-02</u> <u>12-11-02, 11-20-02</u>
<input type="checkbox"/> Patent Application Number: _____	Execution Date
<input type="checkbox"/> Patent Number: _____	Filing Date
	Issue Date

5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Robert M. Carwell, Esq. IBM Corporation Intellectual Property Law Zip 4054 11400 Burnet Road Austin, Texas 78758	7. Total fee (37 CFR 3.41). \$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Authorized to charge any deficiency to deposit account
	8. Deposit account number: <u>09-0447</u> (Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>		
<u>Robert M Carwell</u> Name of Person Signing	<u>Robert M Carwell</u> Signature	<u>12/18/02</u> Date
Total number of pages including cover sheet, attachments, and documents: <u>5</u>		

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013641 FRAME: 0634

ASSIGNMENT

Whereas, We,

- (1) Paul T. Baffes of Austin, Travis County, Texas;
- (2) John Michael Garrison of Austin, Travis County, Texas;
- (3) Michael Gilfix of Austin, Travis County, Texas;
- (4) Allan Hsu of Centerville, Montgomery County, Ohio; and
- (5) Tyron Jerrod Stading of Austin, Travis County, Texas

have invented certain improvements in

**DEVELOPING AND ASSURING POLICY DOCUMENTS
THROUGH A PROCESS OF REFINEMENT AND CLASSIFICATION**

and executed, respectively, a United States patent application therefor on (Date Inventor Signed Declaration) (1) November 20, 2002; (2) November 20, 2002; (3) November 20, 2002; (4) _____, 2002; and (5) November 20, 2002.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Austin on November 20, 2002.

Paul T. Baffes
Paul T. Baffes

(2) Signed at Austin on November 20, 2002.

John Michael Garrison
John Michael Garrison

(3) Signed at Austin on November 20, 2002.

Michael Gilfix
Michael Gilfix

(4) Signed at _____ on _____, 2002.

Allan Hsu

(5) Signed at Austin on November 20, 2002.

Tyron Jerrod Stading
Tyron Jerrod Stading

ASSIGNMENT

Whereas, We,

- (1) Paul T. Baffes of Austin, Travis County, Texas;
- (2) John Michael Garrison of Austin, Travis County, Texas;
- (3) Michael Gilfix of Austin, Travis County, Texas;
- (4) Allan Hsu of Centerville, Montgomery County, Ohio; and
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THROUGH A PROCESS OF REFINEMENT AND CLASSIFICATION**

and executed, respectively, a United States patent application therefor on (Date Inventor Signed Declaration) (1) _____, 2002; (2) _____, 2002; (3) _____, 2002; (4) 12-11-2002, 2002; and (5) _____, 2002.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at _____ on _____, 2002.

Paul T. Baffes

(2) Signed at _____ on _____, 2002.

John Michael Garrison

(3) Signed at _____ on _____, 2002.

Michael Gilfix

(4) Signed at Palo Alto, CA on 12-11, 2002.



Allan Hsu

(5) Signed at _____ on _____, 2002.

Tyron Jerrod Stading