

1/31/92

01-15-2003



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FR SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

10/148241

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Gerhard Beitel, Annette Saenger, Gerd Mainka  
and Rainer Florian Schnabel

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignments (4) ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date May 16 & 17 and June 21, 2002

## 2. Name and address of receiving party(ies):

Name: Infineon Technologies AGInternal Address: St.-Martin-Str. 5381669 Munich, Germany

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional Name(s) & Address(es) attached ☐ Yes ☒ No

## 4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 05/16&17/02 and 06/21/02

## A. Patent Application No.(s)

Atty. Docket P02,0191  
PCT/EP01/11071  
U.S. Serial No. 10/148,241

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

**SCHIFF HARDIN & WAITE**  
**Patent Department**  
6600 Sears Tower  
233 S. Wacker Drive  
Chicago, IL 60606-6473  
Telephone: (312) 258-5500  
**Customer Number: 26574**

## 6. Total number of applications and patents involved

1

## 7. Total Fee (37 CFR 3.41

\$40.00

- ☒ Enclosed  
☒ Authorized to be charged to deposit account any  
Additional fees

## 8. Deposit Account Number:

501519

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*James D. Hobart (Reg. No. 24,149 )  
Name of Person Signing

Signature

October 15, 2002

Date

Total number of pages: 5

Mail documents to be recorded and required cover sheet information to:

**Commissioner of Patents and Trademarks**  
**Box Assignments**  
**Washington, D.C. 20231**

## ASSIGNMENT

FOR a valuable consideration, the undersigned, **GERHARD BEITEL**, residing at Kamakura Yukinoshita Garden Flats #204, 1-2-30 Yukinoshita, Kamakura-shi, 248-0005, Japan; **ANNETTE SAENGER**, residing at Bischofsweg 68, 01099 Dresden, Germany; **GERD MAINKA**, residing at Am Wolfsberg 25, 64569 Nauheim, Germany and **RAINER FLORIAN SCHNABEL**, residing at Lerchenstr. 1a, 85635 Hoehenkirchen, Germany, hereby sell, assign and transfer unto **Infineon Technologies AG**, St.-Martin-Str. 53, 81669 Munich, Germany, a German corporation, the whole right, title and interest in and to a certain invention or improvement in

### "METHOD FOR CHEMICAL-MECHANICAL POLISHING OF LAYERS MADE FROM METALS FROM THE PLATINUM GROUP"

disclosed in an application for Letters Patent of the United States, prepared by the Patent Department of the Firm Schiff Hardin & Waite of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. **P02,0191** and in our own records as Case No. **INF-N10081US**, and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all rights and privileges under the Letters Patent that may be granted therefor, including the right to claim the benefit of an earlier filing date for the same invention in a foreign country.

I hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said **Infineon Technologies AG**, St.-Martin-Str. 53, 81669 Munich, Germany.

For the same consideration, I hereby agree that I will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns, at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

DATE: May, 17th, 2002

Gerhard Beitel  
Gerhard Beitel

DATE: \_\_\_\_\_

\_\_\_\_\_  
Annette Saenger

DATE: \_\_\_\_\_

\_\_\_\_\_  
Gerd Mainka

DATE: \_\_\_\_\_

\_\_\_\_\_  
Rainer Florian Schnabel

WITNESSES:

Rolf Winterhoff

ROLF WINTERHOFF

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DATE: \_\_\_\_\_

\_\_\_\_\_  
Gerhard Beitel

DATE: May, 16th, 2002

\_\_\_\_\_  
*Annette Saenger*  
Annette Saenger

DATE: \_\_\_\_\_

\_\_\_\_\_  
Gerd Mainka

DATE: \_\_\_\_\_

\_\_\_\_\_  
Rainer Florian Schnabel

WITNESSES:

May, 16th, 2002 Ulrich & Pohl

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DATE: \_\_\_\_\_

\_\_\_\_\_  
Gerhard Beitel

DATE: \_\_\_\_\_

\_\_\_\_\_  
Annette Saenger

DATE: 17.05.2002

  
\_\_\_\_\_  
Gerd Mainka

DATE: \_\_\_\_\_

\_\_\_\_\_  
Rainer Florian Schnabel

WITNESSES:

17.05.2002 Hartmut Neef

Hartmut Neef

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DATE: \_\_\_\_\_

\_\_\_\_\_  
Gerhard Beitel

DATE: \_\_\_\_\_

\_\_\_\_\_  
Annette Saenger

DATE: \_\_\_\_\_

\_\_\_\_\_  
Gerd Mainka

DATE: 21.06.02

Rainer Florian Schnabel  
Rainer Florian Schnabel

WITNESSES:

MINZONI ALESSANDRO

Minzeri Alessandra