



OWNERSHIP AND ASSIGNMENT

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

PITHA & PITHA, LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: November 18, 2002

2. Name and address of receiving party(ies)

Name: Roquette Freres

Internal Address:

Street Address:

62136 Lestrem, France

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

US 5,935,941

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mrs. Clarisse Doressamy

Internal Address: Mrs. Laurence Vercaemer

Cabinet Plasseraud

Street Address: 84 rue d'Amsterdam

75440 Paris Cedex 09

City: France State: ZIP:

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Glenna Hendricks

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 1

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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40.00 DP

ASSIGNMENT

WHEREAS, Pitha & Pitha, LLC is the owner of all rights in an application for a patent which has obtained U.S. Patent No. 5,935,941, under the title "Alkylations of Cyclodextrins Leading to Derivatives Which Have a Rigidly Extended Cavity"; and

WHEREAS, Roquette Freres has provided \$1.00 (one dollar) and other valuable consideration under a separate agreement for receipt of this assignment to rights in said invention,

NOW, THEREFORE, Pitha & Pitha, LLC assigns and transfers to Roquette Freres, in accord with the Agreement signed May 21, 2002 between Pitha & Pitha, LLC and Roquette Freres, the right to make, sell and use the inventions described in said Patent, and any continuations of the application for said Patent, including divisions and reissues or extensions thereof, for the term of the said agreement.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

11/18/02
Date

Marcia Garwood-Pitha
for Pitha & Pitha, LLC
Marcia Garwood-Pitha
Member and General Manager

Subscribed And Sworn To Before Me, In My Presence,
This 18th Day of November 2002, A Notary Public In and for
Montgomery County, Maryland.

Patricia A. Guessford
PATRICIA A. GUESSFORD
NOTARY PUBLIC STATE OF MARYLAND
My Commission Expires January 4, 2003

ORIGINAL

AGREEMENT

Between

PITHA & PITHA LLC, having its office 10997 Mahlon Price Road, Chance, Maryland 21821 USA ((Mailing/Shipping Address: The Wisconsin, 5809 Nicholson Lane, Unit 1405, Rockville, MD 20852 USA) (hereinafter referred to as « PITHA & PITHA »))

And

ROQUETTE FRERES, a French corporation, having its registered office at 62136 LESTREM, France (hereinafter referred to as « ROQUETTE »)

WHEREAS:

- PITHA & PITHA is the owner of several patents related to methylated beta-cyclodextrin derivatives notably the US patent n°5,681,828, US patent n°5,935,941, US patent 6,001,821 and US patent application n°09/708,622, extended in several foreign countries (see Appendix 1).

NOW THEREFORE, IT IS AGREED BY AND BETWEEN THE PARTIES AS FOLLOWS:

ARTICLE 1 : DEFINITION

ARTICLE 2 : PURPOSE OF THE AGREEMENT

2.1 PITHA & PITHA hereby sells, assigns, transfers to ROQUETTE, who accepts full and unrestricted proprietary rights over the PATENTS defined in Appendix 1 hereto as well as over all the technical and/or legal documents necessary for the exploitation of such PATENTS.

Signed in two originals containing seven (7) pages bearing the word ORIGINAL in red with Appendix 1 of one (1) page and Appendix 2 of twenty-two (22) pages.

For PITHA & PITHA

Date May 21st 2002

Name Marcia Garwood-Pitha

Title Member & General Manager

Marcia Garwood-Pitha

For ROQUETTE FRERES

Date May 13RD 2002

Name A. TRUCY

Title C. E. O.

A. Trucy