

01-17-2003

FORM PTO – 1595 (ರ್ಣ. ಕಿ-ಆರ)	/ER U.S. DEPARTMENT OF COMMERCI Patent and Trademark Office
Ома но. 0641-0011 (в.р. 494) Тар settings Ф Ф Ф 10234099	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
1. Name of conveying party(les): 1-13-03	Name and address of receiving party(les)
PITHA & PITHA, LLC	Name: Roquette Freres
	Internal Address:
Actitional name(1) of conveying party(les) attached? © Yes (XNo	
3. Nature of conveyance:	A'
c¥Assignment ⊆ Merger	Stréet Address:
C) Security Agreement C) Change of Name	62136 Lestrem, Framce
© Other	City:State:ZIP:
Execution Data: November 18, 2002	Additional name(s) & address(ss) attached? ① Yes ② No
4. Application number(s) or patent number(s):	
It this document is being filed together with a new application, the execution date of the application is:	
A. Patent Application No.(s)	B. Palent No.(s)
	US 5,935,941
Additional numbers attached? © Yes CANO	
Name and address of party to whom correspondence concerning document should be malled:	6. Total number of applications and patents involved: 1
Mrs. Clarisse Doressamy	7. Total fee (37 CFR 3.41)
Internal Addr Mrs. Laurence Vercaemer	☐ Enclosed
Cabinet Plasseraud	
	 Authorized to be charged to deposit account
Street Address: 84 rue d'Amsterdam	8. Deposit account number:
75440 Paris Cedex 09	
City: France State: ZIP:	(Attach duplicate copy of this page if paying by deposit account)
DO NOT USE THIS BPACE	
9. Statement and signature. To the best of my knowledge and bellal, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
Glenna Hendricks	H. 1.0 11.0100
Name of Person Signing	Signature Date
Total number of pages including cover sheet, attachments, and document:	
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments	
Washington, D.C. 20231	

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Rev. 2, July 1996

PATENT REEL: 013653 FRAME: 0373

ASSIGNMENT

WHEREAS, Pitha & Pitha, LLC is the owner of all rights in an application for a patent which has obtained U.S. Patent No. 5,935,941, under the title "Alkylations of Cyclodextrins Leading to Derivatives Which Have a Rigidly Extended Cavity"; and

WHEREAS, Roquette Freres has provided \$1.00 (one dollar) and other valuable consideration under a separate agreement for receipt of this assignment to rights in said invention,

NOW, THEREFORE, Pitha & Pitha, LLC assigns and transfers to Roquette Freres, in accord with the Agreement signed May 21, 2002 between Pitha & Pitha, LLC and Roquette Freres, the right to make, sell and use the inventions described in said Patent, and any continuations of the application for said Patent, including divisions and reissues or extensions thereof, for the term of the said agreement.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

11/18/03

for Pitha & Pitha, LLC

Marcia Garwood-Pitha

Member and General Manager

Subscribed And Sworn To Before Me, In My Presence, This $\sqrt{8^n}$ Day of November 2002, A Notary Public In and for Montgomery County, Maryland.

PATRICIA A. GUESSFORD NOTARY PUBLIC STATE OF MARYLAND My Commission Expires January 4, 2003

> PATENT REEL: 013653 FRAME: 0374



AGREEMENT

Between

PITHA & PITHA LLC, having its office 10997 Mahlon Price Road, Chance, Maryland 21821 USA ((Mailing/Shipping Address: The Wisconsin, 5809 Nicholson Lane, Unit 1405, Rockville, MD 20852 USA) (hereinafter referred to as « PITHA & PITHA »))

And

ROQUETTE FRERES, a French corporation, having its registered office at 62136 LESTREM, France (hereinafter referred to as « ROQUETTE »)

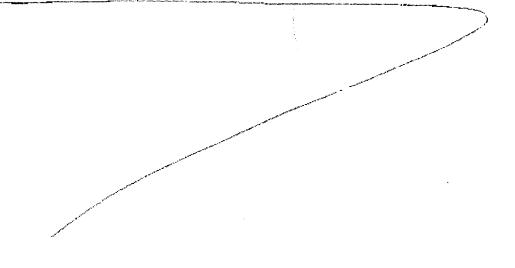
WHEREAS:

PITHA & PITHA is the owner of several patents related to methylated beta-cyclodextrin derivatives notably the US patent n°5,681,828, US patent n°5,935,941, US patent 6,001,821 and US patent application n°09/708,622, extended in several foreign countries (see Appendix 1).



NOW THEREFORE, IT IS AGREED BY AND BETWEEN THE PARTIES AS FOLLOWS:

ARTICLE 1 : DEFINITION



PATENT REEL: 013653 FRAME: 0375

ARTICLE 2: PURPOSE OF THE AGREEMENT

2.1 PITHA & PITHA hereby sells, assigns, transfers to ROQUETTE, who accepts full and unrestricted proprietary rights over the PATENTS defined in Appendix 1 hereto as well as over all the technical and/or legal documents necessary for the exploitation of such PATENTS.

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Signed in two originals containing seven (7) pages bearing the word ORIGINAL in red with Appendix 1 of one (1) page and Appendix 2 of twenty-two (22) pages.

For PITHA & PITHA

Date May alst appa

Name Marcia Garwood - Pitha

Title Member & General Manager

Marcia Carwood Riha

RECORDED: 01/13/2003

For ROQUETTE FRERES

Date May 13 RD 2

Name A. TRUCY

Title $C_1 \in \mathcal{O}$,

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