



OWNERSHIP AND ASSIGNMENT

324

01-17-2003

FORM PTO-1595 (Rev. 6-83) OMB No. 0631-0011 (exp. 4/04)		RE		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
Tax settings <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		102341004			
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): <u>1-13-03</u> <b>PITHA &amp; PITHA, LLC</b> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>Roquette Freres</u> Internal Address: _____ _____ Street Address: _____ <u>62136 Lestrem, France</u> City: _____ State: _____ ZIP: _____ Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____		Execution Date <u>November 18, 2002</u>			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) _____ B. Patent No.(s) <u>US 6,001,821</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Mrs. Clarisse Doressamy</u> Internal Address: <u>Mrs. Laurence Vercaemer</u> <u>Cabinet Plasseraud</u> Street Address: <u>84 rue d'Amsterdam</u> <u>75440 Paris Cedex 09</u> City: <u>France</u> State: _____ ZIP: _____		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: _____ (Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>Glenna Hendricks</u> Name of Person Signing <u></u> Signature <u>1/10/03</u> Date Total number of pages including cover sheet, attachments, and document: <u>7</u> Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231					

01/16/2003 LMUELLER 00000087 6001821

01 FC:8021

40.00 OP

**ASSIGNMENT**

WHEREAS, Pitha & Pitha, LLC is the owner of all rights in an application for a patent which has obtained U.S. Patent No. 6,001,821, under the title "Dioxane-Substituted Cyclodextrin Macromolecules and Inclusion Complexes"; and

WHEREAS, Roquette Freres has provided \$1.00 (one dollar) and other valuable consideration under a separate agreement for receipt of this assignment to rights in said invention,

NOW, THEREFORE, Pitha & Pitha, LLC assigns and transfers to Roquette Freres, in accord with the Agreement signed May 21, 2002 between Pitha & Pitha, LLC and Roquette Freres, the right to make, sell and use the inventions described in said Patent, and any continuations of the application for said Patent, including divisions and reissues or extensions thereof, for the term of the said agreement.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

11/18/02  
Date

Marcia Garwood-Pitha  
for Pitha & Pitha, LLC  
Marcia Garwood-Pitha  
Member and General Manager

Subscribed And Sworn To Before Me, In My Presence, This 18<sup>th</sup> Day of November 2002, A Notary Public In and for Montgomery County, Maryland.

Patricia A. Guessford  
PATRICIA A. GUESSFORD  
NOTARY PUBLIC STATE OF MARYLAND  
My Commission Expires January 4, 2003

ORIGINAL

**AGREEMENT**

Between

PITHA & PITHA LLC, having its office 10997 Mahlon Price Road, Chance, Maryland 21821 USA ((Mailing/Shipping Address: The Wisconsin, 5809 Nicholson Lane, Unit 1405, Rockville, MD 20852 USA) (hereinafter referred to as « PITHA & PITHA »))

And

ROQUETTE FRERES, a French corporation, having its registered office at 62136 LESTREM, France (hereinafter referred to as « ROQUETTE »)

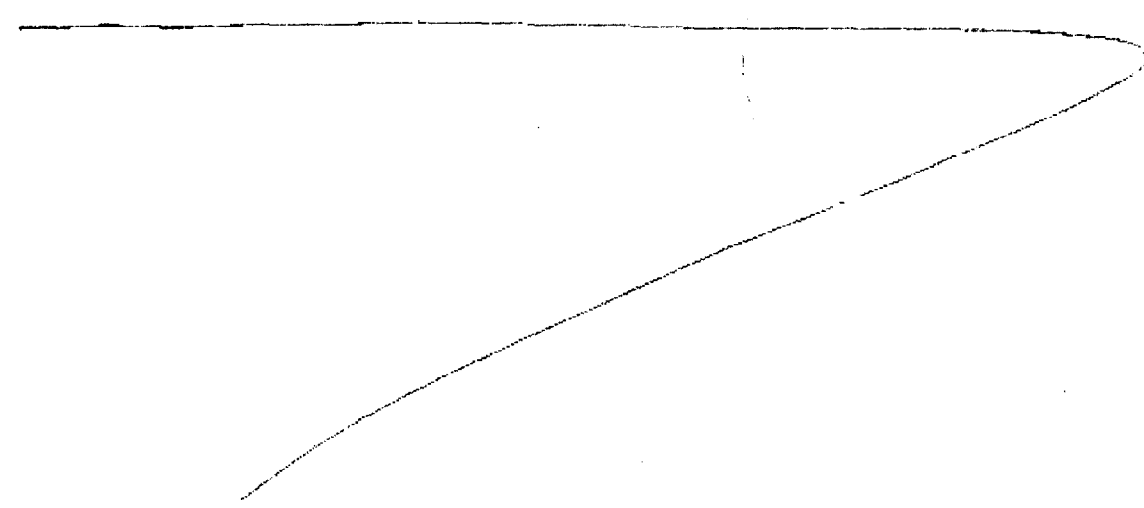
WHEREAS:

- PITHA & PITHA is the owner of several patents related to methylated beta-cyclodextrin derivatives notably the US patent n°5,681,828, US patent n°5,935,941, US patent 6,001,821 and US patent application n°09/708,622, extended in several foreign countries (see Appendix 1).



NOW THEREFORE, IT IS AGREED BY AND BETWEEN THE PARTIES AS FOLLOWS:

ARTICLE 1 : DEFINITION



ARTICLE 2 : PURPOSE OF THE AGREEMENT

2.1 PITHA & PITHA hereby sells, assigns, transfers to ROQUETTE, who accepts full and unrestricted proprietary rights over the PATENTS defined in Appendix 1 hereto as well as over all the technical and/or legal documents necessary for the exploitation of such PATENTS.

*JT* m6

Signed in two originals containing seven (7) pages bearing the word ORIGINAL in red with Appendix 1 of one (1) page and Appendix 2 of twenty-two (22) pages.

For PITHA & PITHA

Date May 21<sup>st</sup>, 2002

Name Marcia Garwood-Pitha

Title Member & General Manager

*Marcia Garwood-Pitha*

For ROQUETTE FRERES

Date May 13<sup>rd</sup>, 2002

Name A. TRUCY

Title C. E. O.

*A. Trucy*