

RECC

01-17-2003

SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



102340829

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alston E. Williams

1-14-03

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address:

Street Address: 1 River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: October 25, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

60/153,480 filed 09/10/1999; and 09/659,126 filed 09/11/2000

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. Beulick

Internal Address: Armstrong Teasdale, LLP.

Street Address: One Metropolitan Sq. Suite 2600

City: St. Louis State: MO ZIP: 63102

6. Total number of applications and patents involved: ☒ 2

7. Total fee (37 CFR 3.41)..... \$40.00 (for 09/659,126) and  
See Attached Request regarding fee for 60/153,480

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

01-2384

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas M. Fisher, Reg. No. 47,564

Signature

January 9, 2003

Date

01/16/2003 6TON11 00000076 012384 60153480

01 FC:8081 80.00 CH

Total number of pages including cover sheet, attachments, and document: ☒ 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 013657 FRAME: 0526

ASSIGNMENT

Attorney's Docket No.

9D-HR-19232

In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, I, **ALSTON E. WILLIAMS**, of **12 Carpenteria, Irvine, California 92602**, sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), its successors and assigns, the entire right, title and interest in and to the improvements in **ICE CRUSHER HOUSING**, invented by me, as described in the United States provisional application filed **September 10, 1999**, S.N. **60/153,480** as described in the application for the United States patent filed **September 11, 2000**, S.N. **09/659,126**, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

October 25, 2002

Alston E. Williams  
ALSTON E. WILLIAMS

STATE OF \_\_\_\_\_ )  
 ) ss.  
County of \_\_\_\_\_ )

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above-named **ALSTON E. WILLIAMS**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

\_\_\_\_\_  
Notary Public

See attached

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

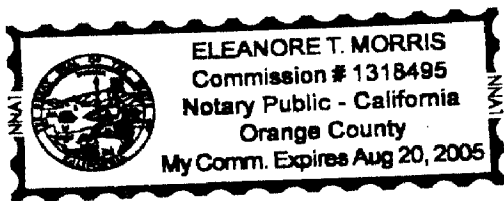
County of orange

SS.

On 10-25-02, before me, Eleanore T. Morris, Notary Public,  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Alston E. Williams,  
Name(s) of Signer(s)

- ☒ personally known to me  
☐ proved to me on the basis of satisfactory evidence



to be the person~~(s)~~ whose name~~(s)~~ is~~are~~ subscribed to the within instrument and acknowledged to me that he~~/she/they~~ executed the same in his~~/her/their~~ authorized capacity~~(ies)~~, and that by his~~/her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Eleanore T. Morris

Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Patent Assignment

Document Date: 10/25/02 Number of Pages: 1

Signer(s) Other Than Named Above: None

### Capacity(ies) Claimed by Signer

Signer's Name: Alston E. Williams

- ☒ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alston E. Williams :  
Serial No.: 09/659,126 : Art Unit: 3744  
Filed: September 11, 2000 : Examiner:  
For: ICE CRUSHER HOUSING :

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box: Assignments, Commissioner of Patents & Trademarks, Washington, D.C. 20231, on January 9, 2003.

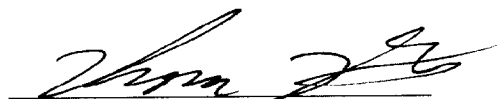
Thomas M. Fisher; Reg. No. 47,564

**REQUEST TO APPLY PREVIOUSLY PAID RECORDATION FEE**

Box: ASSIGNMENTS  
Commissioner of Patents & Trademarks  
Washington, D.C. 20231

Applicant's record indicates that an assignment recordation fee of \$40.00 (37 C.F.R. 3.41) was already submitted for the above-referenced application. Accordingly, Applicant requests that the enclosed Assignment be recorded without payment of any additional fee for the provisional application, Serial Number 60/153,480, and that the previously paid recordation fee be applied. The recordation fee only for the nonprovisional application, Serial Number 09/659,126, is authorized in the Recordation Form Cover Sheet submitted herewith.

Respectfully Submitted,



Thomas M. Fisher  
Registration No. 47,564  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, Missouri 63102-2740  
(314) 621-5070

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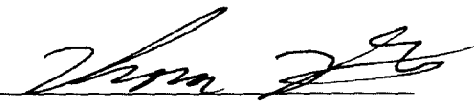
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