

01-17-2003

Form PTO-1595 (Rev. 03/01) RE OMB No. 0651-0027 (exp. 5/31/2002) Tab settings



102341455

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Frederick J. Lawrence 01/10/03 Additional name(s) of conveying party(ies) attached? [ ] Yes [x] No

2. Name and address of receiving party(ies) Name: Gradco (Japan), Ltd. Internal Address: Street Address: 12-15, 2-chrome, shibuya-shibuya-ku, Tokyo, Japan City: State: Zip: Additional name(s) & address(es) attached? [ ] Yes [x] No

OFFICE OF PUBLIC RECORDS 2003 JAN 10 PM 3:55 FINANCE SECTION

3. Nature of conveyance: [x] Assignment [ ] Merger [ ] Security Agreement [ ] Change of Name [ ] Other Execution Date: 9/5/02

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: 9/17/02 A. Patent Application No.(s) 10/245,021 B. Patent No.(s) Additional numbers attached? [ ] Yes [ ] No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: William C. Cray Internal Address: Street Address: Gradco U.S.A., Inc. 39 Parker City: Irvine State: CA Zip: 92618

6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41).....\$ 40.00 [ ] Enclosed [x] Authorized to be charged to deposit account 8. Deposit account number: 071820

DO NOT USE THIS SPACE

9. Signature. 01/16/2003 DBYRNE 00000058 071820 10245021 40.00 William C. Cray Name of Person Signing

Signature

01/10/2003 Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

PATENT REEL: 013659 FRAME: 0964

**ASSIGNMENT**

**WHEREAS, I Frederick J. Lawrence** citizen of the U.S.A., whose post office address is 13372 Cindy Lane, Tustin, CA 92780, have made an invention together for which I are about to make application for Letters Patent of the United States entitled **"Image Processing Machine Having a Post-Processing Automated Sheet Stack Binding System;"** and

**WHEREAS, Gradco (Japan), Ltd.**, having a principal place of business at 12-15, 2-chrome, shibuya, shibuya-ku, Tokyo, Japan, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent thereof, and any Letters Patent which may be obtained therefrom;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the said **Frederick J. Lawrence** for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said **Gradco (Japan), Ltd.**, and other good and valuable consideration, and for the purpose of moving from said **Gradco (Japan), Ltd.**, at and before the execution and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents, do sell, assign, transfer and convey, unto said **Gradco (Japan), Ltd.**, its successors, assigns and heirs the entire right, title and interest in and to the said invention for which I are about to make application for Letters Patent of the United States entitled **"Image Processing Machine Having a Post-Processing Automated Sheet Stack Binding System"**, executed concurrently herewith, and any and all improvements thereon, and in and to said application and any divisional, continuation or continuation-in-part applications thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, **TO HAVE AND TO HOLD THE SAME** to the full end of the term or terms for which any and all said Letters Patent may be granted;

\*b209W?+

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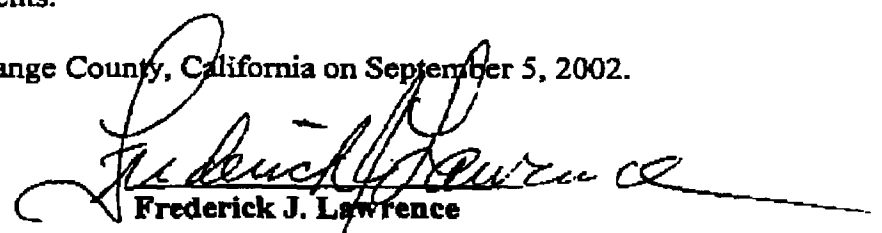
AND I, the said **Frederick J. Lawrence** do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said **Gradco (Japan), Ltd.**, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said **Gradco (Japan), Ltd.**, its successors and assigns;

AND I, the said **Frederick J. Lawrence**, for the considerations aforesaid, do hereby covenant and agree to and with said **Gradco (Japan), Ltd.**, its successors and assigns, that I have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that I, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said **Gradco (Japan), Ltd.**, its successors and assigns, may be required or necessary more effectively to secure to and vest in said **Gradco (Japan), Ltd.**, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that I will sign any applications for reissue which may be desired by the owner of the patent or patents which

(4/5)

may be issued for the said invention or improvements.

Subscribed and executed at Santa Ana, Orange County, California on September 5, 2002.



Frederick J. Lawrence

(5/5)

LAW OFFICES OF  
**GOODMAN & CRAY, LLP**  
BUSINESS & INTELLECTUAL PROPERTY MATTERS

*Across from the United States Federal Courthouse:*  
414 W. FOURTH STREET, SUITE A  
SANTA ANA, CALIFORNIA 92701

TELEPHONE (714) 836-0200  
FACSIMILE (714) 836-0400  
WWW.GOODMANCRAY.COM

Via: Express Mail

January 10, 2003

Box: Assignments  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Commissioner:

Enclosed is a response to a Notice of Non-Recordation from the Assignment Division, dated December 17, 2002.

Applicant(s): **Frederick J. Lawrence**

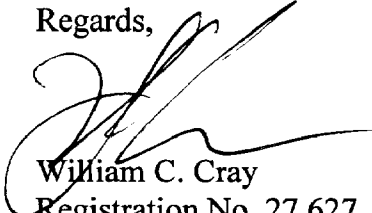
Titled: **Image Processing Machine Having a Post-Processing Automated Sheet Stack Binding System**

Serial No. 10/245,021

This correspondence contains:

Corrected Assignment Recordation form  
Copy of Notice of Non-Recordation  
Certificate of Mailing  
Self-addressed postcard

Regards,



William C. Cray  
Registration No. 27,627  
WCC/ca  
enclosures

**PATENT**  
**REEL: 013659 FRAME: 0967**

CERTIFICATE OF MAILING UNDER 37 CFR §1.10

Goodman & Cray, LLP  
414 W. Fourth Street, Suite A  
Santa Ana, 92701

Re: **Non-Provisional Patent Application: Image Processing Machine Having a  
Post-Processing Automated Sheet Stack Binding System**

Applicant(s): **Frederick J. Lawrence**

Related to Attorney Docket No.: Case 143

Ser. No.: 10/245,021

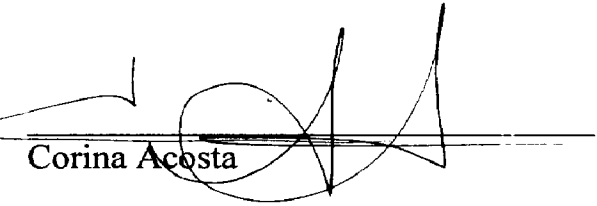
I hereby certify that the following attached correspondence comprising:

Cover Letter  
Response to Notice of Non-Recordation  
Copy of Notice of Non-Recordation  
Certificate of Mailing  
Self-addressed postcard

Is being deposited with the United States Postal Service "Express Mail Post Office" to  
Addressee service under 37 CFR §1.10, on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
Box: Patent Application  
Washington, D.C. 20231

Express Mail Label Number:  
Date of Deposit: December 10, 2003

  
Corina Acosta

09-24-2002



102230659

9-17-02

Form PTO-1595 (Rev. 03/01) RECORDATION FORM FOR U.S. PATENTS ONLY U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002) Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

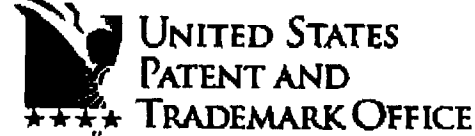
<p>1. Name of conveying party(ies): Frederick J. Lawrence</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies) Name: <u>Gradco (Japan), Ltd.</u> Internal Address: _____ _____ _____ Street Address: <u>12-15, 2-chrome, shibuya,</u> <u>shibuya-ku, Tokyo, Japan</u> City: _____ State: _____ Zip: _____ Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>9/5/02</u></p>	<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: <u>9/17/02</u> A. Patent Application No. (s) <u>10/245021</u> B. Patent No. (s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>William C. Cray</u> Internal Address: _____ _____ Street Address: <u>Gradco U.S.A., Inc.</u> <u>39 Parker</u> City: <u>Irvine</u> State: <u>CA</u> Zip: <u>92618</u></p>	<p>6. Total number of applications and patents involved: <input type="checkbox"/></p> <p>7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>071820</u></p>
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<p>9. Signature. <u>William C. Cray</u> _____ <u>9/17/02</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and documents: <u>3</u></p>	

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09/23/2002 6TON11 00000222 071820 10245021  
01 FC:581 40.00 CH

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Washington, D.C. 20231

(3/5)



DECEMBER 19, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

GRADCO U.S.A., INC.  
WILLIAM C. CRAY  
39 PARKER  
IRVINE, CA 92618



\*102230659A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 102230659

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

- 1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. THE PERSON SUBMITTING THE DOCUMENT MUST SIGN AND DATE THE DOCUMENT.

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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