

ATTORNEY DOCKET NO. DU4967

Form PTO-1595

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeRECORDATION FORM COVER SHEET  
PATENTS ONLY**CERTIFICATION OF FACSIMILE TRANSMISSION**I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office Assignment  
Branch at (703) 306-5995 on May 16, 2003 byAdmin's name here: Elaine MartensSign: Elaine Martens

Commissioner of Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

**Michael L. KING****Alan BALL****James Taylor LLOYD**

Additional names of conveying party(ies) attached?

☐ yes ☒ no

2. Name and address of receiving party(ies)

**GLAXO GROUP LIMITED****Glaxo Wellcome House****Berkeley Avenue****Greenford, Middlesex UB6 0NN****England**

Additional name(s) and addresses attached?

☐ yes ☒ no

3. Description of the interest conveyed:

☒ Assignment, please record and return☐ Security Agreement☐ Other☐ Merger☐ Change of NameExecution date of Assignment: **February 25, 2003, February 25, 2003 and February 25, 2003**4. Application number(s) or patent number(s). Additional Sheets attached? ☐ Yes ☒ No

A. Patent Application No.(s)

**29/172,860 filed December 18, 2002**

B. Patent No.(s)

If this document is being filed together with a new application, the execution date of the application is

5. Name and address of party to whom  
correspondence concerning documents should  
be mailed:

6.

**David J. Levy****GlaxoSmithKline****Corporate Intellectual Property-MAI-B475****Five Moore Drive****P.O. Box 13398****Research Triangle Park, NC 27709-3398**6. Total number of applications and patents involved 1.7. Total Fee (37 C.F.R. 3.41) **\$40.00**8. Please charge this fee to deposit account No. 07-1392.The Commissioner is hereby authorized to charge any additional fees  
under 37 CFR 1.16 or 1.17 which may be required by this paper, or  
credit any overpayment, to our Deposit Account No. 07-1392.

## DO NOT USE THIS SPACE

9. Statement and Signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy  
of the original document.*

Robert J. SMITH (Reg 40, 820)

Name of Person Signing

Signature

Robert J. Smith (em)May 16, 2003

Date

Total number of pages including cover sheet, attachments and document: 7

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DESIGN

## ASSIGNMENT

WHEREAS I/WE, **Michael L. KING** of Durham, North Carolina and **Alan BALL** and **James Taylor LLOYD** each of Somerville, Massachusetts, (hereinafter called "the inventor(s)") have invented "**DESIGN FOR A MOUTHPIECE FOR AN ORAL INHALER**" (hereinafter called "the design") for which an application for Design Patent in the United States of America was filed on December 18, 2002, having application No. 29/172,860 (hereinafter called "the application"), and

WHEREAS, **GLAXO GROUP LIMITED.**, a company incorporated in England, whose registered office is at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, is desirous of acquiring the whole right, title and interest in and to said invention and improvements and said application, and in and to any Design Patents to be obtained therefor, in the United States, its territories and possessions, and in and to any applications for said invention and improvements and any Design Patents and/or any Registrations to be obtained therefor, in all countries other than the United States, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I/we **Michael L. KING, Alan BALL and James Taylor LLOYD** for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said **GLAXO GROUP LIMITED**, throughout the United States of America, its territories and possessions, and in and to said application, and for any and all related Design Patents of the United States of America;

AND, my/our whole right, title and interest in and to said invention and improvements to **GLAXO GROUP LIMITED**, in all other countries throughout the world, and in and to any applications in said other countries, and, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Design Patents and/or Registrations of said all other countries which may be

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granted on said invention and improvements including any priority rights under the International Convention.



AND, I/we do hereby authorize and request the issue of any Design Patents and/or Registrations in the respective areas referred to, to said **GLAXO GROUP LIMITED**, as assignee of my/our whole right, title and interest in and to the same for the sole use and behoof of the said assignees, their successors and assigns as their interests appear herein;

AND, I/we warrant that I/we have not knowingly conveyed to others any right in said invention, improvements, applications or design patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that I/we have good right to assign the same to **GLAXO GROUP LIMITED**

AND, I/we the undersigned **Michael L. KING, Alan BALL and James Taylor LLOYD** for the consideration aforesaid, do hereby agree that I/we or my/our executors or legal representatives, will provide information and make, execute and deliver any and all other instruments in writing, and any and all further acts, application papers, affidavits, assignments and other documents which may be necessary or desirable to more effectually secure to and vest in said **GLAXO GROUP LIMITED**, their successors and assigns, the whole right, title and interest in and to the said invention and improvements, applications, Design Patents and/or Registrations, rights, title and interest hereby sold, assigned and conveyed, or intended so to be. *This assignment should be deemed effective as of December 18, 2002.*

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IN WITNESS WHEREOF, I/we have hereunto set my/our hand(s) and affixed my/our seal(s) on the date(s) indicated below.

   
\_\_\_\_\_  
Inventor: **Michael L. KING** Date

\_\_\_\_\_  
Inventor: **Alan BALL** Date

\_\_\_\_\_  
Inventor: **James Taylor LLOYD** Date

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## ASSIGNMENT

WHEREAS I/WE, **Michael L. KING** of Durham, North Carolina and **Alan BALL** and **James Taylor LLOYD** each of Somerville, Massachusetts, (hereinafter called "the inventor(s)") have invented "**DESIGN FOR A MOUTHPIECE FOR AN ORAL INHALER**" (hereinafter called "the design") for which an application for Design Patent in the United States of America was filed on December 18, 2002, having application No. 29/172,860 (hereinafter called "the application"), and

WHEREAS, **GLAXO GROUP LIMITED.**, a company incorporated in England, whose registered office is at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, is desirous of acquiring the whole right, title and interest in and to said invention and improvements and said application, and in and to any Design Patents to be obtained therefor, in the United States, its territories and possessions, and in and to any applications for said invention and improvements and any Design Patents and/or any Registrations to be obtained therefor, in all countries other than the United States, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I/we **Michael L. KING, Alan BALL and James Taylor LLOYD** for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said **GLAXO GROUP LIMITED**, throughout the United States of America, its territories and possessions, and in and to said application, and for any and all related Design Patents of the United States of America;

AND, my/our whole right, title and interest in and to said invention and improvements to **GLAXO GROUP LIMITED**, in all other countries throughout the world, and in and to any applications in said other countries, and, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Design Patents and/or Registrations of said all other countries which may be

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granted on said invention and improvements including any priority rights under the International Convention.

AND, I/we do hereby authorize and request the issue of any Design Patents and/or Registrations in the respective areas referred to, to said **GLAXO GROUP LIMITED**, as assignee of my/our whole right, title and interest in and to the same for the sole use and behoof of the said assignees, their successors and assigns as their interests appear herein;

AND, I/we warrant that I/we have not knowingly conveyed to others any right in said invention, improvements, applications or design patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that I/we have good right to assign the same to **GLAXO GROUP LIMITED**


AND, I/we the undersigned **Michael L. KING, Alan BALL and James Taylor LLOYD** for the consideration aforesaid, do hereby agree that I/we or my/our executors or legal representatives, will provide information and make, execute and deliver any and all other instruments in writing, and any and all further acts, application papers, affidavits, assignments and other documents which may be necessary or desirable to more effectually secure to and vest in said **GLAXO GROUP LIMITED**, their successors and assigns, the whole right, title and interest in and to the said invention and improvements, applications, Design Patents and/or Registrations, rights, title and interest hereby sold, assigned and conveyed, or intended so to be. *This assignment should be deemed effective as of December 18, 2002.*

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IN WITNESS WHEREOF, I/we have hereunto set my/our hand(s) and affixed  
my/our seal(s) on the date(s) indicated below.

Inventor: **Michael L. KING**

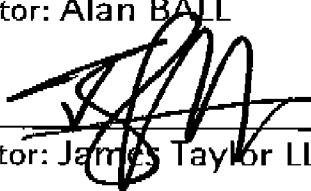
Date



2/25/03

Inventor: **Alan BALL**

Date



2/25/03

Inventor: **James Taylor LLOYD**

Date