

Attorney Docket No. 016285-001710US

Form PTO-1595

(Rev. 10-02)

OMB No. 0651-0027 (exp. 5/31/2002)

# Recordation Form Cover Sheet PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Hsiao Chang Chan

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No.

3. Nature of conveyance:

- ☒ Assignment
 ☐ Merger  
☐ Security Agreement
 ☐ Change of Name  
☐ Other:

Execution Date: April 28, 2003

2. Name and address of receiving party(ies)

Name: The Chinese University of Hong Kong

Internal Address: Research &amp; Technology Dept.

Street Address: Room 226, Pl Ch'lu Bldg.

City: Shatin, New Territories  
Kong, SAR

State: Hong

ZIP:

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/313,772

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Chuan Gao  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Matthew E. Hinsch

Name of Person Signing  
Atty. Reg. No. 47,651

Signature

Date

Total number of pages including cover sheet, attachments and document 5

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PATENT

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1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Jing Mei Song  
Paul Pui-Hay But  
Yiu Wa Chung

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

SF 1460612 v1

Attorney Docket No.: 016285-001710US

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Hsiao Chang Chan of 13A Residence 14, The Chinese University of Hong Kong, Shatin, New Territories, Hong Kong SAR; Jing Mei Song of 9101 Patterson Avenue, Apt. 48, Richmond, VA 23229; Paul Pui-Hay But of 1A The Paragon, 9 Shan Yin Road, Tai Po, New Territories, Hong Kong; SAR; Yiu Wa Chung of Room 1608, Block A, Sunshine City, Ma On Shan, New Territories., Hong Kong SAR, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: ACTIVE COMPOUNDS OF BAO-JI-WAN FOR ANTI-DIARRHEA AND RELIEVING GASTROINTESTINAL SYMPTOMS

Date(s) of execution of Declaration: April 8, 2003

Filing Date: December 6, 2002

Application No.: 10/313,772; and

WHEREAS, The Chinese University of Hong Kong of Shatin, New Territories, Hong Kong, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same:

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

Assignment

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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 28/4/03

  
Hsiao Chang Chan

Dated: April 28, 2003

  
Jing Mei Song

Dated: 28/4/03

  
Paul Pui-Hay But

Dated: April 28 2003.

  
Yiu Wa Chung

SF 1414544 v1